



**Transport**  
Roads & Maritime  
Services

# **COMPLIANCE TRACKING PROGRAM**

## Woolgoolga to Ballina – Stage 1

### Halfway Creek to Glenugie - Six Monthly Construction Compliance Report

JUNE TO DECEMBER 2015



## Document control

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1	9/6/15	Woolgoolga to Ballina Stage 1 – HC2G Pre-Construction Compliance Report	
2	7/7/15	Woolgoolga to Ballina Stage 1 – HC2G Pre-Construction Compliance Report [Updated to address Dept of Planning comments]	
3	28/4/16	Woolgoolga to Ballina Stage 1 – HC2G 1 <sup>st</sup> Six Monthly Construction Compliance Report	

# Contents

1	Introduction.....	1
1.1	Project description.....	1
1.2	Staging.....	2
1.3	Purpose.....	6
1.4	Environmental management system overview.....	6
1.5	Relevant documentation.....	6
1.6	Scope of the activities undertaken during the reporting period.....	6
1.7	Performance of environmental controls that have been implemented.....	8
2	Program requirements.....	12
2.1	Secretary notification.....	13
2.2	Period compliance review.....	13
2.3	Period compliance reporting.....	13
2.4	Independent environmental auditing.....	14
2.5	Incident reporting and response.....	15
2.6	Incident reporting to Secretary.....	16
2.7	Addressing non-compliance.....	17
2.8	Employee inductions.....	17
3	Environmental Monitoring.....	18
3.1	Water Quality.....	18
3.2	Noise Monitoring.....	18
3.3	Air Quality.....	19
3.4	Flora and Fauna.....	19
4	Environmental Complaints.....	20

## Appendices

Appendix A	Compliance tables
Appendix B	Environmental Monitoring Results
Appendix C	ICAM and Incident Report

## Glossary / Abbreviations

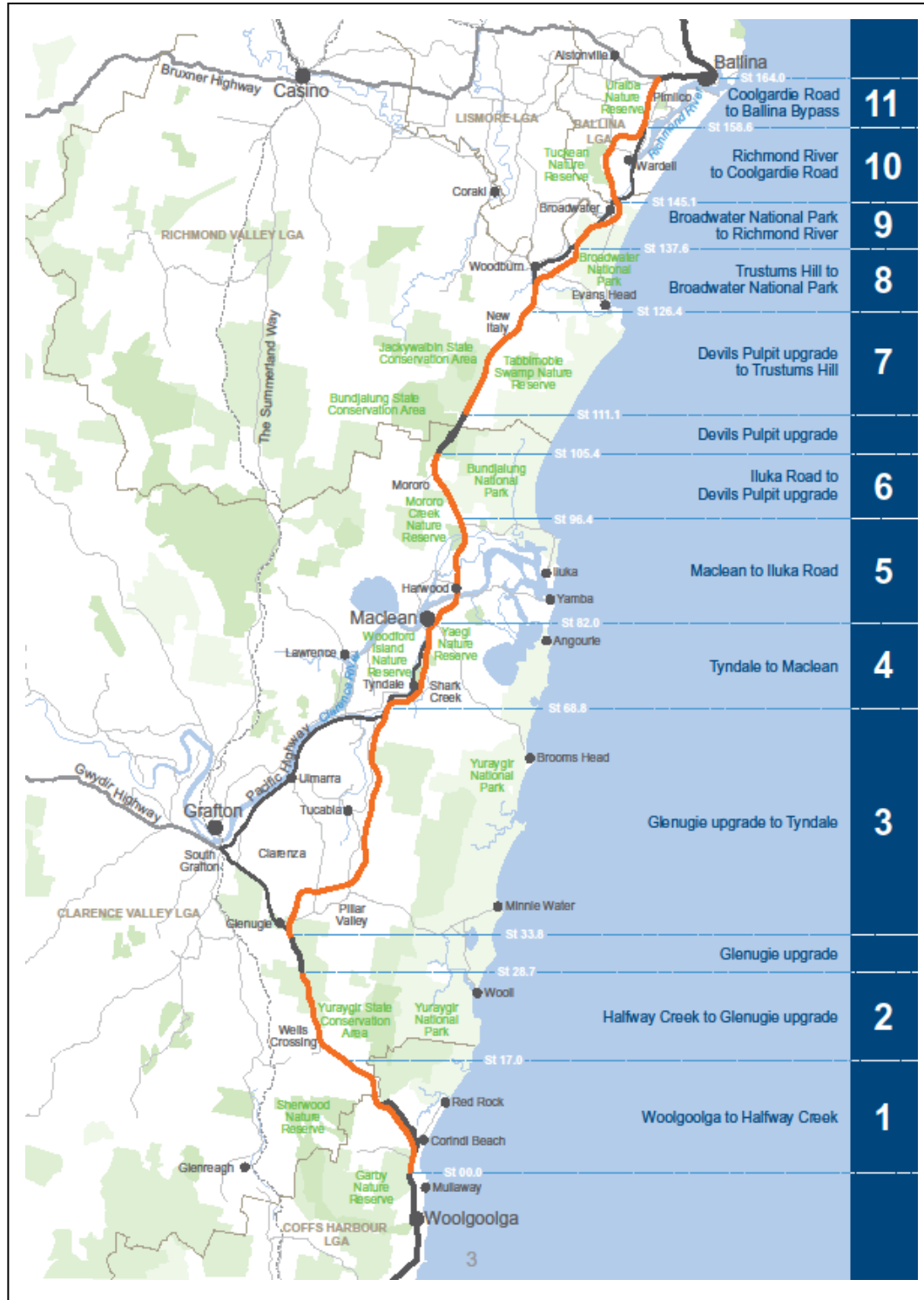
ASS	Acid sulfate soils
CEMP	Construction environmental management plan
Compliance audit	Verification of how implementation is proceeding with respect to a construction environmental management plan (CEMP) (which incorporates the relevant approval conditions).
CoA	Conditions of approval
DP&E	Department of Planning and Environment
EA	Environmental Assessment
Ecological sustainable development	Using, conserving and enhancing the community's resources so that the ecological processes on which life depends are maintained and the total quality of life now and in the future, can be increased (Council of Australian Governments, 1992).
EPA	NSW Environment Protection Authority
ERG	Environmental Review Group – comprising representatives of RMS, Environmental Representative, Project delivery team, regulatory authorities (EPA, DPI – Fisheries Conservation and Aquaculture, NOW) and council (Clarence Valley Shire Council). The ERG will be maintained for the duration of the Project and will meet regularly and undertake environmental inspections. The role the ERG is to provide proactive advice on environmental management issues and review the environmental performance of the Project.
EMM	Environmental Management Measures
EMS	Environmental management system
Environmental aspect	Defined by AS/NZS ISO 14001:2004 as an element of an organisation's activities, products or services that can interact with the environment.
Environmental impact	Defined by AS/NZS ISO 14001:2004 as any change to the environment, whether adverse or beneficial, wholly or partially resulting from an organisation's environmental aspects.
Environmental incident	An unexpected event that has, or has the potential to, cause harm to the environment and requires some action to minimise the impact or restore the environment.
Environmental objective	Defined by AS/NZS ISO 14001:2004 as an overall environmental goal, consistent with the environmental policy, that an organisation sets itself to achieve.
Environmental policy	Statement by an organisation of its intention and principles for environmental performance.
Environmental target	Defined by AS/NZS ISO 14001:2004 as a detailed performance requirement, applicable to the organisation or parts thereof, that arises from the environmental objectives and that needs to be set and met in order to achieve those objectives.
Environmental Representative	A suitably qualified and experienced person independent of project design and construction personnel employed for the duration of construction. The principal point of advice in relation to all questions and complaints concerning environmental performance.
EP&A Act	<i>Environmental Planning and Assessment Act 1979</i>
EPL	Environment Protection Licence

Minister, the	Minister for Planning
Non-compliance	Failure to comply with the requirements of the Project approval or any applicable license, permit or legal requirements.
Non-conformance	Failure to conform to the requirements of Project system documentation including this CEMP or supporting documentation.
NOW	NSW Office of Water
OEH	Office of Environment and Heritage
Project, the	The Woolgoolga to Ballina Project
RMS	Roads and Maritime Services
Secretary	Secretary of the NSW Department of Planning and Environment ( <i>or delegate</i> )
Stage 1 of the Woolgoolga to Ballina Upgrade	<p>Section 1 – Woolgoolga to Halfway Creek</p> <p>Section 2 – Halfway Creek to Glenugie</p> <p>Wave 1- Soft soils works at Harwood</p> <p>Wave 2- Soft soils works at Whytes Road to Pimlico</p> <p>Wave 3- Soft soils works between Tyndale and Iluka Road and at Tuckombil Canal, Woodburn</p>

# 1 Introduction

## 1.1 Project description

NSW Roads and Maritime Services is upgrading the Pacific Highway between Woolgoolga and Ballina on the NSW North Coast. This is known as the Woolgoolga to Ballina Pacific Highway upgrade project. An overview of the project is shown in Figure 1-1.



**Figure 1-1 Woolgoolga to Ballina Pacific Highway Upgrade**

The project would upgrade around 155 kilometres of highway and represents the last priority (known as 'Priority 3' in the upgrade program) in achieving a four-lane divided road between

Hexham and the NSW/Queensland Border. The project therefore forms a major part of the overall upgrade program and when constructed, would complete the four-lane divided road program. It would be jointly funded by the NSW and Australian governments.

The Woolgoolga to Ballina project is Australia's largest regional infrastructure project and will duplicate about 155 kilometres to four-lane divided road. The project starts about six kilometres north of Woolgoolga (north of Coffs Harbour) and ends about six kilometres south of Ballina.

When complete, the project will:

- Reduce overall length from 180 kilometres to about 167 kilometres, saving about 13 kilometres in travel distance
- Allow for a higher posted speed limit of up to 110 km/h
- Reduce travel time from 130 minutes to about 105 minutes, saving 25 minutes
- Reduce crash rates by an expected 27 per cent due to divided carriageways
- Improve travel reliability through better flood immunity, fewer incidents and more readily available alternative routes.

Key features of the upgrade include:

- Duplication of 155 kilometres of the Pacific Highway to a motorway standard (Class M) or arterial road (Class A), with two lanes in each direction and room to add a third lane if required in the future
- Split-level (grade-separated) interchanges at Range Road, Glenugie, Tyndale, Maclean, Yamba / Harwood, Woombah (Iluka Road), Woodburn, Broadwater and Wardell
- Bypasses of South Grafton, Ulmarra, Woodburn, Broadwater and Wardell
- About 40 bridges over rivers, creeks and floodplains, including major bridges crossing the Clarence and Richmond rivers
- Fifty-five underpasses and bridges over and under the highway to maintain access to local roads that crossing the highway
- Access roads to maintain connections to existing local roads and properties
- Structures designed to encourage animals over and under the upgraded highway where it crosses key animal habitat or wildlife corridors
- Rest areas located at about 50 kilometre intervals at Arrawarra, Pine Brush (Tyndale), north of Mororo Road and north of the Richmond River
- A heavy vehicle checking station near Halfway Creek and north of the Richmond River.

The Woolgoolga to Ballina upgrade does not include the completed Devils Pulpit and Glenugie upgrade projects.

Sections of the project are located adjacent to previously approved highway upgrades. As a result, the following approvals will also apply to the relevant sections of the project:

- Sapphire to Woolgoolga Pacific Highway upgrade – NSW Approval (06\_0293) 13 January 2009
- Glenugie Pacific Highway upgrade – NSW Approval (09/0073) 17 December 2009, Commonwealth Approval (2009/5002) 13 January 2010
- Devils Pulpit Pacific Highway upgrade – NSW Approval (09\_0179), 1 February 2011, Commonwealth Approval (2010/8586) 20 January 2012
- Ballina Bypass Pacific Highway upgrade – NSW Approval 22 May, 2003.

## 1.2 Staging

A Staging Report has been prepared and approved in accordance with the requirements of the NSW Condition of Approval A7 which states:

*The Applicant may elect to construct and/or operate the SSI in stages. Where staging is proposed, the Applicant shall submit a Staging Report to the Secretary prior to the commencement of each proposed stage. The Staging Report shall provide details of:*

*(a) how the SSI would be staged, including general details of work activities associated with each stage and the general timing of when each stage would commence; and*

*(b) details of the relevant conditions of approval, which would apply to each stage and how these shall be complied with across and between the stages of the SSI.*

*Where staging of the SSI is proposed, these conditions of approval are only required to be complied with at the relevant time and to the extent that they are relevant to the specific stage(s).*

The project is also approved under the Commonwealth Environment Protection and Biodiversity Act 1999 (012/6394 approval dated 14/08/14).

*The Staging Report as required by NSW approval condition A7 must be submitted to the Minister prior to the commencement of each of the proposed stage(s). In accordance with NSW approval condition A7 the Staging Report must outline how the proposal will be staged. The Staging Report must also outline the threatened species and communities, and migratory species impact in each stage.*

The Staging Report describes the activities associated with the project stages and how compliance will be address across and between these.

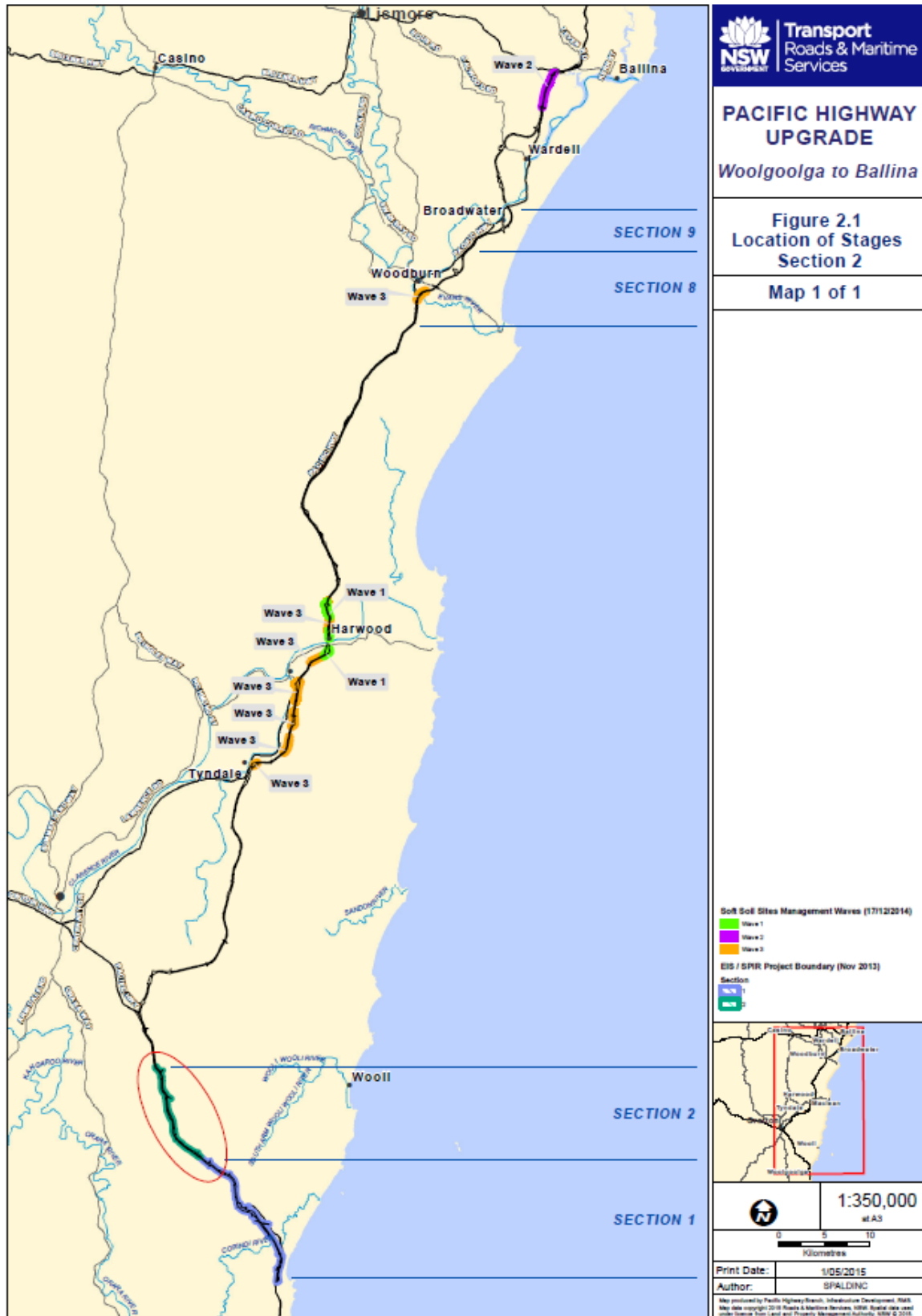
Roads and Maritime proposes to construct the project in a number of stages. Given the nature of the project and range of procurement and delivery options involved, Roads and Maritime will update the staging report progressively as further details are confirmed. Stage 1 of the Woolgoolga to Ballina upgrade includes three construction activities. The general location of these stages is shown in Figure 1-2.

#### **Stage 1:**

- 1). Section 1 – Woolgoolga to Halfway Creek
- 2). Section 2 – Halfway Creek to Glenugie
- 3). Soft Soil preload construction undertaken in three waves of construction packaging to suit
  - a). Wave 1- Soft soils works at Harwood
  - b). Wave 2- Soft soils works at Whytes Road to Pimlico
  - c). Wave 3- Soft soils works between Tyndale and Iluka Road and at Tuckombil Canal, Woodburn

This Compliance Tracking Report is for Halfway Creek to Glenugie - Section 2 of the W2B Project as highlighted in Figure 1-2.





**Figure 1-2: Location of all Stage 1 activities, specifically highlighting Section 2 Halfway Creek to Glenugie.**

## Halfway Creek to Glenugie Project (Section 2)

The Halfway Creek to Glenugie (HC2G) project involves upgrading approximately 12 kilometres of HW10 Pacific Highway to median separated dual carriageway standard, including the construction of lean mix concrete subbase, plain concrete base, associated finishes and furniture.

The HC2G project also features twin bridges over Halfway Creek and twin bridges over Wells Crossing (Fig 1-3). Other major items of work include the construction of 15 reinforced concrete box culverts that will facilitate fauna movements / act as drainage structures, public utility adjustments, bridge demolition and design & construction of heavy vehicle inspection station facilities.

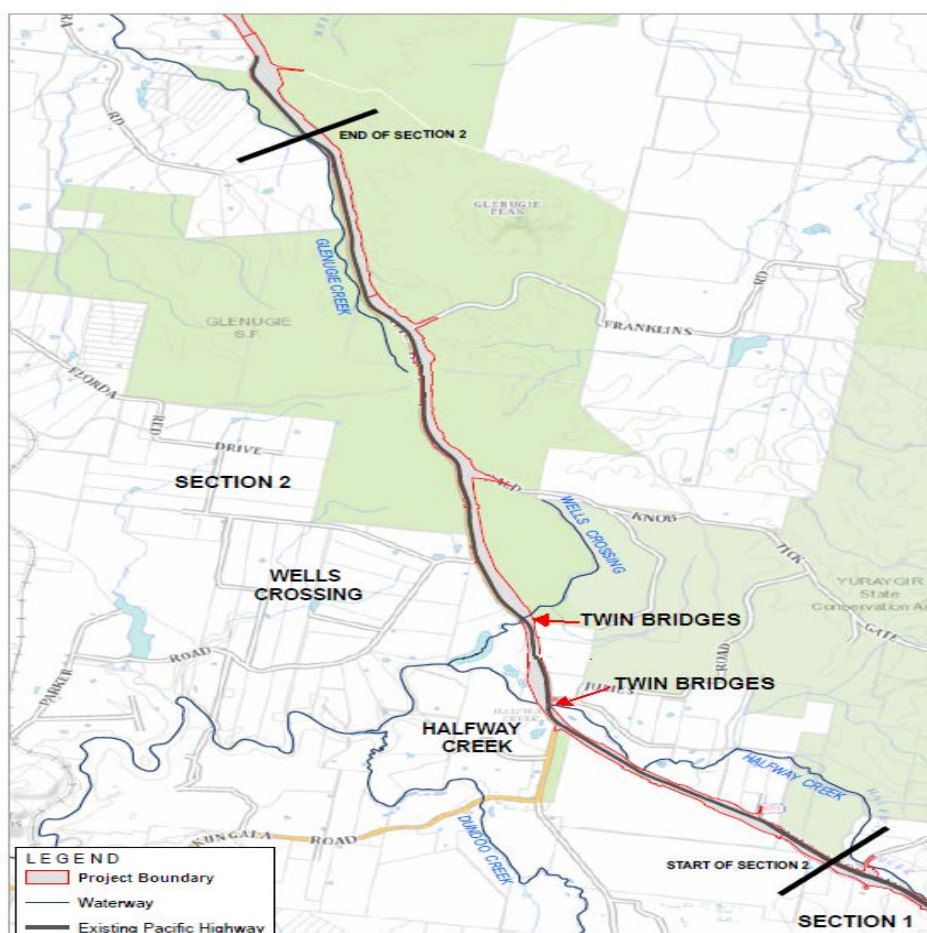


Figure 1-3 – Overview of the Halfway Creek to Glenugie Project

### Stage 2 onwards:

Delivery of the remaining sections of the Pacific Highway Upgrade will be tailored to the project, based on the model used to build infrastructure for the London Olympics. The upgrade will be built using an industry partner contract model to harness the best ideas and solutions from the private sector and draw on knowledge from within government.

Current practice would be to deliver the Woolgoolga to Ballina upgrade as four or five separate packages using design and build or build only contracts. Under this new model, a major provider with design, building and management expertise will be engaged to oversee the project in collaboration with Roads and Maritime's Pacific Highway Office, managing multiple contracts for professional services, supply, and building of the highway. The delivery partner model will offer better value for money and drive project efficiencies.

Once the delivery partner has been engaged and the future stages scoped, the Staging Report will be updated to describe the proposed staging of the remaining sections between Woolgoolga and Ballina.

### **1.3 Purpose**

The key objective of this Compliance Tracking Program is to track compliance with the requirements of the Minister's Conditions of Approval during the design and each stage of construction of the Project. This report addresses the first six months of construction of the HC2G project from 22 June 2015 to 22 December 2015.

### **1.4 Environmental management system overview**

The Construction Environmental Management Plan (CEMP) is the primary system to manage and control the environmental aspects of the Project during construction. It also provides the overall framework for the system and procedures to ensure environmental impacts are minimised and legislative and other requirements are fulfilled. The strategies defined in the CEMP have been developed with consideration of the Project approval requirement, safeguards and mitigation measures presented in the environmental assessment and approval documents. The CEMP establishes the system for implementation, monitoring and continuous improvement to minimise impacts from the Project on the environment.

This Compliance Tracking Program is separate to the CEMP, but is part of a suite of environmental management documents prepared for the Project.

### **1.5 Relevant documentation**

Documentation relevant to the Compliance Tracking Program includes:

- RMS, *Woolgoolga to Ballina. Upgrading the Pacific Highway. Environmental Assessment* (December 2012)
- RMS, *Woolgoolga to Ballina. Upgrading the Pacific Highway. Submissions and Preferred Infrastructure Report* (November 2013)
- New South Wales *Environmental Planning and Assessment Act 1979* (SSI-4963), approval dated 24 June 2014
- Commonwealth *Environment Protection and Biodiversity Act 1999* (012/6394), approval dated 14 August 2014

### **1.6 Scope of the activities undertaken during the reporting period**

Throughout the six-month reporting period, a range of works have occurred across the project. A summary of these activities is listed below.

#### **Structures**

- Completed piling for Halfway Creek bridge and commenced piling at Wells Crossing bridge
- Commenced preparations for headstock pours at Halfway Creek
- Bridge girders being progressively fabricated at pre-cast yard in Coffs Harbour.

#### **Paving**

- Commenced establishment of the wet mix batch plant at CH 24120 (north of Parker Road)
- Paver ordered and being shipped to the project site.

#### **Clearing Works**

- Clearing works have been completed on approximately 99 per cent of the project.

#### **Drainage**

- Work on box and pipe culverts continues across the project.

### **Blasting**

- Two blasts have been fired in Cut 10 in first six (6) months
- Blasted rock is being processed for use throughout the project.

### **Sediment Basins and erosion/ sediment controls**

- 27 licensed sediment basins have been commissioned to date across the project in consultation with the project soil conservationist and EPA. One (1) operational licensed basin is yet to be built.
- EPA is regularly issued the licensed basin register by CMC.
- Progressive erosion and sedimentation control continues throughout the project.

### **Environment Training**

- Erosion and sediment control training
- Clearing and grubbing
- Spill control and clean up
- Sedimentation basin installation and maintenance
- Incident learning sessions.

## 1.7 Performance of environmental controls that have been implemented

### **Erosion and sediment control**

Progressive erosion and sediment control plans are continually being implemented by CMC in consultation with the Project Soil Conservationist and RMS. The Project Soil Conservationist continues to assist CMC by providing advice on erosion and sedimentation controls, particularly in sensitive areas. Engineers, environment personnel and foreman continue to work collaboratively in developing erosion and sediment control plans to ensure effective onsite implementation. A range of erosion and controls continue to be adopted, including the use of mulch throughout the project.

### **Sediment basins**

Twenty seven (27) licensed basins are currently commissioned on the project. To meet project design requirements, one (1) additional licensed sediment basin will be constructed during the next reporting period between Halfway Creek and Wells Crossing.

### **Protection of waterways**

Transverse drainage works have commenced along the entire HC2G alignment, with both box culverts and pipe culverts being constructed. Prior to each culvert works, a series of onsite planning sessions are undertaken, which detail the environmental controls and requirements for the installation of the culverts. Throughout the works, weekly environmental joint inspections occur, involving earthworks and drainage teams to provide interface in managing culvert works.

### **Translocation of rare plant *Lepidosperma sp. Coaldale***

While not listed as Threatened under the TSC Act, the rare species *Lepidosperma sp. Coaldale* was identified on the south bank of Wells Crossing from botanic specimens sent for analysis during pre-construction works. An exclusion area was established and the identified plants subsequently translocated for relocation following consultation with the ERG. The plants were dug up shovel deep and the placed in plastic bags with the sandy soil kept around the rhizomes. The plants were removed from 17 separate locations. Some locations had individual plants and in other locations there were clumps of *Lepidosperma*. A total of 36 plants were removed and tagged.

### ***Eucalyptus tetrapleura* seed collection**

Together with RMS, ecologists collected seed from the felled Eucalyptus at Franklins road North. The capsules were still green in appearance with the biggest or oldest ones collected. The ecologist advised they may ripen up and estimates it may take about a month for the capsules to open. Translocation ecologists will sow a percentage of the seeds and will store the rest in the seed fridge.

### **Fauna**

Fish and fauna passage connectivity continues to be progressed throughout the project.

In accordance with the approved Nest Box Management Plan, 70 per cent of nest boxes were installed prior to commencement of clearing operations to provide alternative habitat resources for native fauna that may be displaced. 170 nest boxes were installed, with a variety of nest box sizes, entry hole diameters and landings to mimic natural habitat features.

A total of 160 terrestrial fauna individuals, comprising 38 species, were recovered during clearing activities. 87 per cent of individuals were released or dispersed on their own choice. 7.25 per cent

of individuals were taken to a veterinarian or a carer, and 5.80 per cent of individuals were dead when found or had to be humanely euthanised. Terrestrial fauna recorded included 47 amphibians, 15 birds, one insect, 35 mammals and 62 reptiles. Specific details of all recorded threatened species, status, age and outcome are shown below in Table 1-1.

Table 1-1 Threatened Species recorded during clearing activities.

Date of sighting	Species (common name)	Species (scientific name)	Number individuals	TSC Act	EPBC Act	Age	Health	Sex	Outcome	Vegetation community code
3/08/15	squirrel glider	<i>Petaurus norfolcensis</i>	3	Vulnerable	n/a	1 female adult with baby and 1 juvenile	Healthy	1 female and 2 unknown	Released	NR246
19/08/15	squirrel glider	<i>Petaurus norfolcensis</i>	1	Vulnerable	n/a	Juvenile	Healthy	Male	Taken to carer	NR246
4/09/15	little lorikeet	<i>Glossopsitta pusilla</i>	4	Vulnerable	n/a	Chicks	No external injuries	Unknown	Taken to carer	NR227
7/09/15	squirrel glider	<i>Petaurus norfolcensis</i>	4	Vulnerable	n/a	Adults and joeys	Healthy	Male and female with joeys	Released	NR228
7/09/15	squirrel glider	<i>Petaurus norfolcensis</i>	4	Vulnerable	n/a	Adults and joeys	Healthy	Male and female with joeys	Released	NR200
8/09/15	yellow-bellied glider	<i>Petaurus australis</i>	5 (2 caught, 3 self-dispersed)	Vulnerable	n/a	Adult and joey	Healthy	Female with male joey	Released	NR200
8/09/15	Stephens' banded snake	<i>Hoplocephalus stephensii</i>	1	Vulnerable	n/a	Sub adult	Healthy	Unknown	Released	NR228

The project ecologist's were involved in the monitoring of temporary frog fencing installation on both sides of the project area for approximately 1,300 metres (2,600 metres in total). Frog fencing was installed to exclude frogs, including the threatened giant barred frog (*Mixophyes iteratus*) and green-thighed frog (*Litoria brevipalmata*) from the project area.

### **Aquatic Fauna Salvage**

A total of six freshwater fish species were translocated from three of the five dams during the dewatering program. No fish were present at the other two dams, though tadpoles of three frog species were retained and translocated. Two exotic fish species were retained during dewatering including gambusia (*Gambusia holbrooki*) and goldfish (*Carassius auratus*). Individuals retained were euthanased and disposed of in accordance with dewatering methods and the ecologist's permit conditions.

A total of 619 freshwater fish representing six native species were captured and translocated to the receiving dam. Of these, the firetail gudgeon (*Hypseleotris galii*) was the most abundant, with 609 individuals retained and translocated.

### **Aquatic Habitat Creation Project**

CMC are proud to be able to assist NPWS in fish habitat creation projects at the newly gazetted Everlasting Swamp National Park near Lawrence in the Clarence Valley. The project donated 20 root balls from the clearing operation for the project including trimming, cleaning and transport to the Everlasting Swamp National Park. Update on the fish habitat creation project will be included in the next six monthly report.

### **Air Quality**

To manage dust onsite, the use of multiple water carts has been working well throughout the project to minimise generation of dust from construction activities. Cover of exposed surfaces

(using cover crop seed, etc) is continuing, which also assists in dust control. Dust from internal roads and the site is also minimised through enforcement of speed limits onsite.

Weekly toolbox talks continue to emphasise the importance of speed limits onsite for safety and environmental reasons. Stabilised haul roads are also assisting with dust control as well as street sweepers at these locations. Other measures include stabilised access points throughout the project and use of soil binders to suppress dust.

Water generated from sedimentation basins and sediment traps has preferentially been reused as another source of dust suppression across the project.

### **Noise and Vibration**

Prior to each production blast, detailed blast designs have been completed and approved to ensure the primary impacts, air blast and vibration levels are managed according to the rock type, weather and distance to sensitive receivers. Noise monitoring results are outlined in Section 6.2. Noise results are tabulated and discussed in the Environmental Review Group meetings.

### **Heritage**

An archaeological sub-surface excavation was completed at the former Cobb and Co station on the south side of Halfway Creek during the reporting period.

During demolition of a shed at 5411 Pacific Highway an old double handled saw was discovered. The Heritage Management Tool was implemented with the find reported to RMS. Consultation and review with RMS Heritage determined the object to be a "non-relic" "moveable object". RMS intends to donate the saw to a local heritage group or Clarence Valley Council.

### **Waste**

The waste hierarchy is continually being adopted onsite, specifically Reduce, Reuse, Recycle.

Where possible, waste reuse is prioritised onsite, particularly for surplus unsuitable soils, concrete, old asphalt pavements, steel and timber as this also has cost benefits. Waste oil and oily materials are transported to the project workshops and removed regularly by a local waste recycling operator. Purchasing materials which have a recycled content also occurs where possible. Some materials (excess spoil and reclaimed asphalt, for example) have been sought by external parties with development consents and addressed under waste procedures and s143 permits, which also assist the project in reducing the volume of waste while supporting beneficial reuse opportunities.

Mulch is continually being used onsite site for erosion control and is working well.

Concrete waste generated by the project is also reused for embankment construction after being crushed. There have been reasonable volumes of concrete waste recycled on the project for reuse on the project. Steel recycling also occurs on the project. A licensed waste metal contractor collects the material regularly..

### **Mulch Composting Trial**

HC2G is undertaking trial of nutrient addition to mulch stockpiles including comprehensive data collection to assess relative effectiveness of high input composting process. The purpose is to produce a high quality composted soil product from the site won hardwood mulch for reuse in landscaping to achieve high quality re-establishment of native vegetation with ground cover greater than 70 per cent, which is the target established in the "Blue Book" to prevent erosion in the operational phase. This innovative process has been highly praised by the ERG and is expected to generate significant learning's for future projects.

Seven different ameliorant mixes are being assessed these are:

- nutrients, lime and soil builder microbes
- Cow (feedlot weed free) manure, lime and plus microbes
- Cow manure and lime

- Urea and lime
- Dynamic lifter and lime
- Water only
- “Do nothing” as baseline



## 2 Program requirements

The Compliance Tracking Program has been prepared as a requirement of CoA D27. The requirements, as stipulated by this CoA, are detailed in Table 2-1.

**Table 2-1 CoA requirements for the Compliance Tracking Program**

CoA No.	Requirement	Reference
D27	The Applicant shall prepare and implement a <b>Compliance Tracking Program</b> , to track compliance with the requirements of this approval, prior to the commencement of construction and operate from the date of its approval to a minimum of one year following commencement of operation, or as otherwise agreed by the Secretary. The Program shall be prepared for the approval of the Secretary, and include, but not necessarily be limited to:	This document
	(a) provisions for the notification of the Secretary prior to the commencement of construction and prior to the commencement of operation of the SSI (including prior to each stage, where works are being staged);	Section 2.1
	(b) provisions for periodic review of the compliance status of the SSI against the requirements of this approval;	Section 2.2
	(c) provisions for periodic reporting of compliance status to the Secretary, including a Pre-Construction Compliance Report, prior to the commencement of construction, and a Pre-Operation Compliance Report prior to the commencement of operation. These reports may be staged to suit the staged construction/operation of the SSI;	Section 2.3
	(d) a program for independent environmental auditing in accordance with ISO 19011:2003 - Guidelines for Quality and/or Environmental Management Systems Auditing;	Section 2.4
	(e) mechanisms for recording environmental incidents during construction and actions taken in response to those incidents;	Section 2.5
	(f) provisions for reporting environmental incidents to the Secretary and relevant public authorities during construction;	Section 2.6
	(g) procedures for rectifying any non-compliance identified during environmental auditing, review of compliance or incident management; and	Section 2.7
	(h) provisions for ensuring all employees, contractors and sub-contractors are aware of, and comply with, the conditions of this approval relevant to their respective activities.	Section 2.8

## 2.1 Secretary notification

CoA D27 (a) requirement:

*“provisions for the notification of the Secretary prior to the commencement of construction and prior to the commencement of operation of the SSI (including prior to each stage, where works are being staged)”*

Construction will commence on each stage of the Project according to the Staging Report following approval by the Secretary of the relevant CEMP, associated environmental plans and other relevant documentation required by the approval.

The CEMP for Section 2 was conditionally approved by the Department of Planning and Environment on 4 June 2015, with the Environment Protection Licence 20599 subsequently issued on 19 June 2015. The Secretary was notified of the intention to commence construction on the 19 June 2015. Construction subsequently commenced on 22 June 2015.

## 2.2 Period compliance review

CoA D27 (b) requirement:

*“provisions for periodic review of the compliance status of the SSI against the requirements of this approval”*

RMS will review the status of compliance and submit periodic compliance reports to the Secretary as follows-

- Prior to the commencement of construction.
- Six months after the commencement of construction and then at six monthly intervals thereafter.
- Prior to the commencement of operation.

This report captures the first six months of construction for the period 22 June 2015 to 22 December 2015. The compliance tracking tables (contained to Appendix A) form an integral part of this periodic review.

These tables establish a format for recording compliance and include:

- Description of the environmental obligation.
- The stage of the project to which it relates.
- Status.
- Responsibility

## 2.3 Period compliance reporting

CoA D27 (c) requirement:

*“provisions for periodic reporting of compliance status to the Secretary, including a Pre-Construction Compliance Report, prior to the commencement of construction, and a Pre-Operation Compliance Report prior to the commencement of operation. These reports may be staged to suit the staged construction/operation of the SSI”*

Revision 2 (dated 7 July 2015) of this Compliance Tracking Report documents the pre-construction compliance status. This report (Revision 3) is for the first six of construction and captures details relating to the construction compliance status over the period 22 June 2015 to 22 December 2015.

At intervals prescribed in Section 2.2 the status of compliance will be reviewed and reported to the Secretary in the form of a Compliance Tracking Report. The Compliance tracking report includes:

- Scope of the activities undertaken during the reporting period. (Section 1.6)
- Performance of environmental controls that have been implemented. (Section 1.7)

- Compliance with CoA, revised EMMs as recorded in the compliance tracking tables. (Appendix A)
- Non-compliances during the reporting period. (Section 2.7)
- Detail of all incidents recorded and action taken during the reporting period. (Section 2.5)
- Outcomes of monitoring undertaken over the reporting period and review of compliance against relevant criteria. (Section 3)
- Significant outcomes of audits and ERG inspections undertaken during the reporting period. (Section 2.4)
- Detail of substantiated environmental complaints received, responses taken and current status (ie open or closed). (Section 4)

## 2.4 Independent environmental auditing

CoA D27 (d) requirement:

*“a program for independent environmental auditing in accordance with ISO 19011:2003 - Guidelines for Quality and/or Environmental Management Systems Auditing”*

RMS will ensure that independent audits are undertaken in accordance with ISO 19011:2003 - *Guidelines for Quality and/or Environmental Management Systems Auditing* at six monthly intervals throughout construction. The audits will assess compliance against the CoA and EMMs.

### **Environmental Audits**

A Roads and Maritime Services (RMS) audit took place on 23 September 2015 on MCoA, RMS Specification G36 and G38, the CEMP and the Environmental Protection Licence 20599. The audit team complimented CMC on its high standard of documentation, safety, quality, environmental and traffic management on the site. One Corrective Action Report (CAR) was raised and two (2) OoC (Observation of Concern) were noted in relation to environmental matters.

The CAR was raised for “no consolidation of corrective actions into a register or log to enable tracking of close out”, the CAR was closed to the satisfaction of the Auditor on 9 October 2015.

The first OoC was a recommendation that CMC develop an EWMS for the management of tannin leachate from mulched vegetation, CMC responded that this was not required as this issue is appropriately addressed in Appendix D of Construction Soil and Water Management Plan. It should also be noted that tannin management is reviewed during each monthly ERG. The HC2G ERG have provided positive feedback over the past year that tannin management on this project is some of the best on the Pacific Highway as recorded in meeting minutes.

The second OoC related to project access to a service that provides updates regarding changes to both NSW and Commonwealth legislation. CMC head office was subscribed to Workplace Enviro Australia Pty Ltd - Enviro Alert Service, with site Environmental Management Team added to subscription list, thus closing this OoC.

### **Environmental Review Group Meetings/Inspections**

The Environmental Review Group (ERG) for the project consists of representatives from the CMC, Environment Protection Authority (POEO), Environment Protection Authority (biodiversity), DPI (Fisheries), Clarence Valley Council, Department of Planning and Environment (DP&E) and their Environmental Representative (ER), Soil Conservation Service and Roads & Maritime Services (RMS).

Monthly ERG meetings/inspections have occurred on the following dates, during the reporting period:

- 23 June 2015
- 28 July 2015
- 25 August 2015
- 22 September 2015

- 27 October 2015
- 24 November 2015

Significant issues:

- Halfway Creek abutment A scour protection works (Aug, Sep, Oct ERG)
- Establishment of Ancillary Facilities
- Bat boxes in new culvert structures – design and type
- Fauna connectivity Issues
- Glider Pole optimisation
- Wells Crossing access platform design
- Mulch composting trial
- Aquatic fauna rescue and salvage

### **Fortnightly Environmental Inspections**

CMC, RMS and Soil Conservation Service conduct fortnightly environmental inspections throughout the project. All actions are recorded on an Actions Register, which is managed by the Environment team. Where actions are not addressed within the requested timeframe, they are elevated to senior management until the item is addressed.

## **2.5 Incident reporting and response**

CoA D27 (e) requirement:

*“mechanisms for recording environmental incidents during construction and actions taken in response to those incidents”*

RMS’s Environmental Incident Classification and Reporting Procedure will be implemented for all environmental incidents for the Project. The full procedure is provided in Appendix A6 of CEMP.

<http://home.rta.nsw.gov.au/dts/cserv/os/original/environment/ems-tp-07.pdf>

Typically, environmental incidents will be notified verbally immediately and in writing within 1 hour of any incident occurring to the RMS Representative and the Environmental Representative. Incident reports will be provided to RMS Representative and the Environmental Representative within 24 hours of the incident occurring, including lessons learnt from each environmental incident and proposed measures to prevent the occurrence of a similar incident. All efforts will be undertaken immediately to avoid and reduce impacts of incidents and suitable controls put in place. Incidents will be close out as quickly as possible, taking all required action to resolve each environmental incident.

The EPA will be notified of any environmental incidents or pollution incidents on or around the site via the EPA Environment Line (telephone 131 555) in accordance with Part 5.7 of the *Protection of the Environment Operations Act 1997* (NSW) (POEO Act). The circumstances where this will take place include:

- If the actual or potential harm to the health or safety of human beings or ecosystems is not trivial.
- If actual or potential loss or property damage (including clean-up costs) associated with an environmental incident exceeds \$10,000.

Five (5) environmental incidents were reported during the six-monthly period. These are listed below and are reported to the EPA and ERG meetings. Incident reports are retained on CMC’s reporting system.

- On 31 July 2015, clearing incident (by cut stump) of five (5) trees outside of cadastral acquisition boundary but within approved clearing boundary and approved project boundary near Bald Knob Road (ICAM Report attached in Appendix C) . Ground cover

and small shrubs remained undisturbed. No threatened species or EEC were affected. Note that this area of 210m<sup>2</sup> was within the EPL premises boundary, as the EPL premises boundary was defined by the “approved project boundary” which incorporates public utility connections, ancillary facilities, local road connections etc. which are outside the RMS road reserve which is defined by the cadastral/acquisition boundary. Note that EPA requires these project related construction activities to be included in the EPL premises boundary in order to comply with legislative requirements of the Protection of the Environment Operations Act (1997), with this requirement being a driving force behind the development of the “approved project boundary” concept. During incident investigation a similar situation was discovered near Franklins Road (Incident Report attached in Appendix C). A comprehensive ICAM report was prepared and distributed to ERG members, with ERG confirming satisfaction with the corrective actions and noting quality of investigation.

- On 8 September 2015, a scrapper earthmoving plant burst a hydraulic hose while loading the bowl. This caused the scrapper to halt operations. Approximately 30L of hydraulic oil was spilled and cleaned up with absorbent pads from the spill kit. Oil affected absorbent spill material and small portion of surface soil was removed in heavy duty plastic bags for disposal to licenced facility. Spill kit was subsequently restocked.
- On 19 October 2015, an off road haul truck (moxie) burst a hydraulic hose while conducting tipping operation. Approximately 2.5-5L of hydraulic oil was spilled and cleaned up with absorbent pads from spill kit. Oil affected absorbent spill material and small portion of surface soil was removed in heavy duty plastic bags for disposal to licenced facility. Spill kit was subsequently restocked.
- On 27 October 2015, construction works at three locations outside of EPL premises boundary were identified as completed prior to approved modification of the EPL premises boundary, these locations were:
  - Parker Road Ancillary Facility Access [note the Ancillary Facility itself is within the current EPL 20599 (version 19 June 2015) premises boundary as per EPL Condition A2.2], however required shoulder widening of Parker Road for local through traffic and access ramps into ancillary facility are not included due to omission from Minister’s Conditions of Approval A2(d) Site 5a assessment. The full ancillary facility was approved by the Environmental Representative on 28 July 2015.
  - Kungala Access Track in accordance with approved Minor Consistency Review
  - Wells Crossing welded High Density Poly-Ethylene (HDPE) pipe placed on surface and pump to stand pipe in accordance with NSW Office of Water Approval 30PE002493

A show cause report was forwarded to EPA on 13 November 2015, with EPA issuing a formal warning.

- On 12 November 2015, a grader burst a hydraulic steering hose while conducting trimming operation. Approximately 2.5-5L of hydraulic oil was spilled and cleaned up with absorbent pads from spill kit. Oil affected absorbent spill material and small portion of surface soil was removed in heavy duty plastic bags for disposal to licensed facility. Spill kit was subsequently restocked.

There have been a number of learning’s from a number of these incidents, which have been used as preventative actions for this project and others.

The Project team comprising Roads and Maritime and its Delivery Partner will maintain all records relating to environmental incidents. Roads and Maritime Environment Branch will also provide assistance with maintaining records relating to environmental incidents.

## 2.6 Incident reporting to Secretary

CoA D27 (f) requirement:

*“provisions for reporting environmental incidents to the Secretary and relevant public authorities during construction”*

The Secretary will be notified of incidents in writing in circumstances where:

- The actual or potential harm to the health or safety of human beings or ecosystems is not trivial.
- The actual or potential loss or property damage (including clean-up costs) associated with an environmental incident exceeds \$10,000.

An initial notification to the Secretary will be made verbally within two working days. The written notification will be made within 10 working days.

Where incidents are considered to be minor, ie do not meet the criteria above, they will be reported to the Secretary in accordance with the compliance tracking program at frequencies prescribed in Section 2.2.

## 2.7 Addressing non-compliance

CoA D27 (g) requirement:

*“procedures for rectifying any non-compliance identified during environmental auditing, review of compliance or incident management”*

Section 8.4 of the CEMP describes in detail the system for tracking compliance prior to and during construction.

Where a non-compliance has been identified, a corrective/preventative action (or actions) will be implemented.

Corrective/preventative actions will be entered into the contractor's quality system database and include detail of the issue, action required and timing and responsibilities. The record will be updated with date of close out and any necessary notes. The database will be reviewed regularly to ensure actions are closed out as required.

The close-out of required actions will be reviewed during forums including Environmental Representative and ERG inspections, and the Environmental Representative will be actively involved in the review and resolution of non-compliances.

The only significant non-compliance during the reporting period was regarding works outside the EPL premises boundary as detailed in Section 2.5 for incident dated 27 October 2015.

## 2.8 Employee inductions

CoA D27 (h) requirement:

*“provisions for ensuring all employees, contractors and sub-contractors are aware of, and comply with, the conditions of this approval relevant to their respective activities”*

Section 5.1 of the CEMP describes in detail how all personnel working on the Project are aware of their environmental obligations.

During construction, the Environmental Manager (or delegate) will conduct the environmental component of the site inductions. The environmental component will include, but not limited to, an overview of:

- Relevant details of the CEMP including purpose and objectives.
- Key environmental issues.
- Conditions of environmental licences, permits and approvals.
- Specific environmental management requirements and responsibilities.
- Mitigation measures for the control of environmental issues.
- Incident response and reporting requirements.
- Information relating to the location of environmental constraints.

A record of all environment inductions is maintained and kept on-site.

### 3 Environmental Monitoring

Monitoring and testing has been undertaken for surface water quality, noise, dust and flora and fauna in accordance with the Construction Environmental Management Plan (CEMP) during the reporting period. Monitoring results are provided in Appendix B. Further details on monitoring during the reporting period are provided below.

#### 3.1 Water Quality

CMC undertake monthly surface water quality monitoring at predetermined locations throughout the project, in accordance with the Construction Soil, Erosion and Water Quality Management Plan. Three waterways are monitored at upstream and downstream locations. Water quality results are presented in Appendix B. The monitoring results are uploaded onto the CMC environmental monitoring database and conveyed to the Environmental Review Group (ERG) on a monthly basis. Water quality parameters include - pH, turbidity, temperature, dissolved oxygen, electrical conductivity and nutrients.

The water quality meter is calibrated on-site prior to any water quality monitoring and is serviced by qualified technicians recommended by the manufacturer. The following information provides a discussion on results.

Overall, there appears to be minor differences between the upstream and downstream water quality with some exceptions:

- Increased nutrients observed at Glenugie Creek downstream associated with microbat colony roosting within bebo arch structure
- Increased turbidity/TSS in Wells Crossing downstream following three rainfall runoff events greater than EPL 5 day threshold in November 2015 and one in December 2015
- As agreed at the ERG meeting on Tuesday 24 November 2015, CMC re-flocculated Wells Crossing pool beneath the existing highway., this process was repeated in December following rainfall event greater than EPL design storms. As noted during the ERG these pools were retaining the discharges from the runoff events over EPL 5 day threshold. This was due to there being no flow in Wells Crossing following an extended dry period over previous months, creating series of disconnected pools. Enhanced controls have been implemented in this catchment including rock/geotextile lining of open channel north east of Wells Crossing, increased contour banks and catch drains, dewatering of all traps and sumps to reinstate capacity after each rainfall event, dosing catchment with gypsum to treat runoff water and flocculation of retained pools in Wells Crossing as noted above.

Controls are constantly monitored and reviewed as part of the rainfall inspection process, taking into account water quality results.

In relation to sediment basins, flocculation is being undertaken to ensure the discharge criteria is met, within or before the five (5) day discharge criteria. Note that pH correction has not been required to date on the project for sedimentation basin discharge. Results are provided to EPA in the EPL monthly reports. The use of gypsum at inlets and use of fine gypsum continues to assist in reducing timeframes for release of sediment basins. Early flocculation and release of basins has greatly assisted in reducing water quality impacts.

#### 3.2 Noise Monitoring

Attended noise monitoring was undertaken during the first three months of operation of the Parker Road ancillary facility which incorporates a dry mix concrete batch plant. The results are included in Appendix B, with results for each month below the relevant noise management level of 56dB(A) specified in the Construction Noise and Vibration Management Plan. These results were reviewed at each monthly ERG meeting, with the ERG agreeing at the end of the first three months of operation that monitoring will be discontinued at the ancillary facility and would be undertaken if any complaints are received. It should be noted that consultation with the adjacent residents during the first three months of operation confirms no issues with the dry mix concrete batch plant operation.

Section 10.3 of the CNVMP refers to Section 4.1 for identification of sensitive receivers. Section 4.1.2 of the CNVMP includes "Relative to the other 10 sections of the overall W2B Project, the Halfway Creek to Glenugie upgrade (HC2G) has a small number of noise and vibration sensitive receivers.

Along almost 12 kilometres of HC2G there are 29 receivers identified within the 600 metres zone from the upgraded highway. This includes four commercial/non-residential receivers." Note also that (i) there have been no noise complaints for the duration of HC2G construction to date (ii) this has been confirmed by HC2G Community relations team, who have directly consulted with each of the 29 identified sensitive receivers during community engagement for Out of Hours Works. All residents have confirmed no noise impact from HC2G construction activities.

Based on the above it has been agreed with the ERG that routine noise monitoring is not required and that further noise monitoring will be undertaken in response to noise complaints. As noted above there have not been any noise complaints during the reporting period. This will continue to be reviewed as an agenda Item at each monthly ERG meeting.

### **3.3 Air Quality**

Monthly dust monitoring occurs at six (6) locations across the project. The results of dust monitoring are compared to the prescribed dust criteria of 4g/m<sup>2</sup>/month for the project (Refer Appendix B).

In summary, dust results were exceeded eight (8) times from June 2015 to December 2015, however only two (2) are considered related to construction. These exceedances were at dust gauge 2 (5415 Pacific Highway), with some contamination by flying ants and landowner activities (mowing). Multiple contaminations of DD1 were recorded due to gravel access road to service station, ploughing of adjacent paddock and contamination with gravel sized/non-airborne particles as reviewed during ERG meetings. Due to this repeated contamination, the ERG agreed it was best to relocate DD1. The November 2015 result for DD6 was also contaminated with horse manure. This result was therefore not attributed to construction activities.

On all occasions, dust results/ exceedances and mitigation measures have been tabulated and discussed at the next meeting of the ERG. *There were no issues raised by the ERG with respect to dust in the reporting period to December 2015. The only comments were to "monitor dust around site" by Pacific Complete in October ERG, with the note also in October that "no dust complaints" had been received.*

Water carts are being used to reduce dust emissions across the project with good results. It should also be noted that there have not been any dust complaints for the Halfway Creek to Glenugie project to date.

### **3.4 Flora and Fauna**

Nest box, micro-bat and threatened flora monitoring will commence in 2016, with results to be reported in the next six monthly report.



## 4 Environmental Complaints

During the six months reporting period, there has been a total of one (1) recorded complaint relating to the 12 km HC2G project. A summary of this complaint and the CMC response is summarised below. All complaints are recorded into Consultation Manager and are tracked by assigning any actions to the appropriate person until they are closed out to the satisfaction of the resident and CMC. All the complaints received during the reporting period have been closed out.

- A complaint was received on 11 November 2015 about construction trucks parking in at the Halfway Creek Community Hall during school bus pick up time and not leaving enough space for parents to park. CMC investigated the complaint and found that the trucks were parked up waiting to deliver culverts to the site. This was a one off occurrence.

### **Community consultation activities from June to December 2015**

CMC attended the Halfway Creek local markets in June, September and December 2015 with a community display to provide information to local residents about the highway upgrade

A number of consultation activities were also undertaken with local businesses and residents for controlled blasting activities, project construction updates and upcoming traffic switches.

Feedback about the project from the local community has been very positive.

# Appendix A

## Compliance tables

# COMPLIANCE TRACKING - CONDITIONS OF APPROVAL PART A

## Woolgoolga to Ballina SSI-4963

Ministers Condition Of Approval	Requirement	W2B Section	Stage	Timing	Responsibility	Comment
A1	In addition to meeting the specific performance criteria established under this approval, the Applicant shall implement all feasible and reasonable measures to prevent and/or minimise any harm to the environment that may result from the construction or operation of the SSI.	All	All	Pre-construction Construction Operation	RMS	This is addressed within the contract documents eg. CEMP/sub plans, design drawings specifications etc.
A2	The Applicant shall carry out the SSI generally in accordance with the: (a) State significant infrastructure application SSI-4963; (b) Pacific Highway Upgrade Woolgoolga to Ballina Environmental Impact Statement Volumes 1A, 1B, 2, 3, 4A, 4B, 5, 6A, 6B, 6C, 7A, 7B and 8, prepared by Roads and Maritime Services, dated December 2012; (c) Pacific Highway Upgrade Woolgoolga to Ballina Submissions/Preferred Infrastructure Report Main Volume and Appendices, prepared by Roads and Maritime Services, dated November 2013; (d) Ancillary facility sites listed in Woolgoolga to Ballina Pacific Highway Upgrade - Ancillary descriptions and impact assessment, prepared by Roads and Maritime Services, dated 13 December 2013; (e) Connectivity structures listed in Woolgoolga to Ballina Alliance Update 20 Feb 2014 Structures Inventory (except Sections 1 and 2) and Woolgoolga to Glenugie Fauna Connectivity Tracking Register 11/02/2014, prepared by Roads and Maritime Services, and email correspondence from Roads and Maritime Services dated 14 March 2013; (f) Pacific Highway Upgrade Woolgoolga to Ballina: Utilities impact native vegetation (D00395_0102_Utilities Clearing Vegetation_v9), prepared by Roads and Maritime Services, dated 21 May 2014, (g) Modification request and letter dated 17 November 2014 to modify the definition of construction under subclause f in relation to section 4 utility adjustments and replacement of all references to OEH with EPA; (h) Modification request and letter dated 24 September 2015 to modify the approval to capture additional works outside the project boundary that may impact on heritage items to require archaeological investigations; and (i) conditions of this approval.	All	All	Pre-construction Detailed Design Construction Operation	RMS	Part (e) of this condition does not apply to Sections 1 and 2, however part (e) applies to Sections 1 and 2 of the project with regard to the document Woolgoolga to Glenugie Fauna Connectivity Tracking Register 11/02/2014.
A3	If there is any inconsistency between the above documents, the more recent document shall prevail to the extent of the inconsistency. However, the conditions of this approval shall prevail to the extent of any inconsistency.	All	All	Pre-construction Construction Operation	RMS	Noted
A4	The Applicant shall comply with any reasonable requirement(s) of the Secretary arising from the Department of Planning and Environment's assessment of: (a) any strategies, plans, programs, reviews, audits, reports or correspondence that are submitted in accordance with this approval; and (b) the implementation of any actions or measures contained in these documents.	All	All	Pre-construction Construction Operation	RMS RMS	Noted
A5	This approval shall lapse 10 years after the date on which it is granted, unless the works the subject of this SSI approval are physically commenced on or before that date.	All	All	Pre-construction	RMS	The project has physically commenced.
A6	The Applicant shall ensure that all licences, permits and approvals are obtained as required by law and maintained as required throughout the life of the SSI. No condition of this approval removes the obligation for the Applicant to obtain, renew or comply with such licences, permits or approvals.	All	All	Pre-construction Construction Operation	RMS	Licences have been obtained for the EPL, water use and State Forest occupation permits and further licences/ permits will be applied for as construction proceeds.
A7	The Applicant may elect to construct and/or operate the SSI in stages. Where staging is proposed, the Applicant shall submit a Staging Report to the Secretary prior to the commencement of each proposed stage. The Staging Report shall provide details of: (a) how the SSI would be staged, including general details of work activities associated with each stage and the general timing of when each stage would commence; and (b) details of the relevant conditions of approval, which would apply to each stage and how these shall be complied with across and between the stages of the SSI. Where staging of the SSI is proposed, these conditions of approval are only required to be complied with at the relevant time and to the extent that they are relevant to the specific stage(s).	All	All	Pre-construction	RMS	The Stage 1 Staging report was acknowledged by the Secretary on 30/04/2015.
A8	The Applicant shall ensure that any strategy, plan, program or other document required by the conditions of this approval and relevant to each stage (as identified in the Staging Report) is submitted to the Secretary no later than one month prior to the commencement of the relevant stage(s), unless otherwise agreed by the Secretary. Notes: • While any strategy, plan or program may be submitted on a progressive basis, the Applicant will need to ensure that the existing operations on site are covered by suitable strategies, plans or programs at all times; and • If the submission of any strategy, plan or program is to be staged, then the relevant strategy, plan or program shall clearly describe the specific stage to which the strategy, plan or program applies, the relationship of this stage to any future stages, and the trigger for updating the strategy, plan or program.	All	All	Pre-construction	RMS	Noted. No further stage proposed for Section 2 at this time.
A9	The Applicant shall ensure that employees, contractors and sub-contractors are aware of, and comply with, the conditions of this approval relevant to their respective activities.	All	All	Pre-construction Construction Operation	RMS	This is addressed within the contract documents eg. CEMP/sub plans, design drawings, Specifications, contractors training /induction packages and also in documents such as EWMS's and Blast MP.
A10	The Applicant shall be responsible for environmental impacts resulting from the actions of all persons that it invites onto the site, including contractors, sub-contractors and visitors.	All	All	Construction	RMS	This is addressed within the contract documents eg. CEMP/sub plans, EWMS, ESCPlans, specifications, contractors training /inductions toolboxes, daily prestarts, etc.
A11	In the event of a dispute between the Applicant and a public authority, in relation to an applicable requirement in this approval or relevant matter relating to the SSI, either party may refer the matter to the Secretary for resolution. The Secretary's determination of any such dispute shall be final and binding on the parties.	All	All	Construction	RMS	Noted
A12	The Applicant shall notify the Secretary and relevant public authorities of any incident with actual or potential significant off-site impacts on people or the biophysical environment within 24 hours of becoming aware of the incident. The Applicant shall provide full written details of the incident to the Secretary within seven days of the date on which the incident occurred. Note: • Where an incident also requires reporting to the EPA and/or OEH, the incident report prepared for the purposes of notifying the EPA and/or OEH would meet this requirement.	All	All	Construction Operation	RMS Contractors	This is addressed in RMS Specification G36 Clause 3.10, 4.14  Also addressed in the contractors CEMP and RMS environmental incident classification and reporting procedure. There was a clearing incident on HC2G into Forest Corp Lands ( 210m <sup>2</sup> involving 5 trees) which was thoroughly investigated by RMS and CMC and an ICAM investigation undertaken. In addition, this matter was thoroughly discussed at the August 2015 ERG with EPA and regulatory agencies.
A13	The Applicant shall meet the requirements of the Secretary or relevant public authority (as determined by the Secretary) to address the cause or impact of any incident, as it relates to this approval, reported in accordance with condition A12, within such period as the Secretary may require.	All	All	Construction Operation	RMS Contractors	Noted.

# COMPLIANCE TRACKING - CONDITIONS OF APPROVAL PART B

## Woolgoolga to Ballina SSI-4963

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
B1	The clearing of native vegetation shall be minimised with the objective of reducing impacts to any threatened species or EECs where feasible and reasonable, consistent with the following: (a) clearing of native vegetation shall be limited to a total area of 931.7 hectares, within the SSI boundary defined in the document referred to in condition A2(c), subject to condition B1(b); (b) clearing of native vegetation for ancillary facilities specified in the document referred to in condition A2(d) and outside the SSI boundary defined in the document referred to in condition A2(c) shall be limited to 4.75 hectares; (c) clearing of threatened ecological communities shall be limited to the areas specified in Table 6-1 (under the column titled: Revised—direct impact (hectares)) of Appendix J of the document referred to in condition A2(c), subject to condition B1(d); (d) clearing of the Littoral Rainforest in the New South Wales North Coast, Sydney Basin and South East Corner Bioregions shall be limited to a total area of 0.5 hectares; and (e) clearing of Koala ( <i>Phascolarctos cinereus</i> ) primary and secondary habitat shall be limited to a total area of 375 hectares.	All	All	Pre-construction Detailed Design	RMS Detailed Designers Contractors	RMS and the Contractor will ensure compliance with the approved clearing limits under the Planning Approval.  Clearing of native vegetation has been minimised with a detailed design objective being to reduce impacts to any threatened species or EECs where feasible and reasonable.  Clearing limits are clearly shown on relevant construction drawings and closely tracked throughout the project. Clearing limits may change slightly with more detailed assessment.  Not all clauses of this condition will apply to each stage. An assessment will be made as to the applicability of specific clauses prior to construction.  Clearing has been reduced in some part of the project from the clearing limit as per detailed design. Some areas include Halfway Creek and Wells Crossing, which is a positive outcome for the project, and this includes EECs and threatened species. There have been other reductions to the detailed design clearing limit at Bald Knob Rd and Franklins Rd. Some additional vegetation has been retained beside fauna underpasses.
B2	Where feasible and reasonable, remnant vegetation shall be retained between the SSI boundary and the SSI footprint.	All	All	Pre-construction Detailed Design	RMS Detailed Designers Contractors	Vegetation clearing limits have been defined during detailed design for Stage 1-4. Roads and Maritime is satisfied that this condition has been met. Clearing has been closely monitored throughout construction.
B3	Native vegetation shall be established in or adjacent to disturbed areas within the SSI boundary to provide habitat for wildlife following the completion of construction in the vicinity of the disturbed area, consistent with the Urban Design and Landscape Plan required under condition D20.	All	All	Construction	RMS Detailed Designers Contractors	Measures for native vegetation are included in the UDLP. Progressive rehabilitation / stabilisation has been initiated on Section 1 and is expected to start shortly on Section 2. Wave 1,2, and 3 soft soil works is an early construction phase and does not include an Urban Design and Landscape Plan.
B4	Light spill from the SSI shall be avoided on Pink Underwing Moth and Atlas Rainforest Ground Beetle habitat, where feasible and reasonable.	10	Stage 2	Detailed Design Construction	RMS Detailed Designers Contractors	Stage 2
B5	Prior to construction, pre clearing surveys and inspections for endangered and threatened species shall be undertaken. The surveys and inspections, and any subsequent relocation of species, shall be undertaken under the guidance of a suitably qualified ecologist and shall be in accordance with the methodology incorporated into the approved Construction Flora and Fauna Management Plan. All clearing of Koala habitat trees shall be undertaken in the presence of a Koala spotter.	All	All	Pre-construction	RMS Contractors	Suitably Qualified Ecologist engaged by the Contractor to be present prior to commencement of all clearing in any area to complete inspections and complete checklist and also during clearing of any habitat trees in accordance with the Construction Flora and Fauna Management Plan.  The qualified project ecologists were on site during all clearing activities including pre-clearing inspections in each area immediately prior to clearing. A post clearing report is being prepared and will be forwarded to EPA (biodiversity) and will also form part of the annual ecological monitoring report.
B6	Incidental or unanticipated threatened flora and fauna finds shall be immediately reported and clearing work stopped in the vicinity of the find to allow for an evaluation of an appropriate response in accordance with the Construction Flora and Fauna Management Plan.	All	All	Pre-construction Construction	RMS/ Contractors	While not listed as Threatened the rare species <i>Lepidosperma</i> sp. Coaldale was identified on the south bank of Wells Crossing from botanic specimens sent for analysis during pre-construction works. An exclusion area was established and the identified plants subsequently translocated for relocation following consultation with the ERG.
B7	High risk construction activities in known Oxleyan Pygmy Perch habitat shall not be undertaken during the Oxleyan Pygmy Perch spawning period, or on days when the relevant Bureau of Meteorology site predicts a 90% chance of 10mm of rain or more, unless otherwise agreed by DPI (Fisheries).	6, 7, 8, 9	Stage 2	Construction	RMS/ Contractors	Stage 2
B8	Temporary bridge or arch structures in known Oxleyan Pygmy Perch habitat shall be used if the crossing is intended to be in place for more than 3 months.	6, 7, 8, 9	Stage 2	Construction	RMS/Contractors	Stage 2
B9	Where temporary crossings in known Oxleyan Pygmy Perch habitat are proposed with culverts or pipes, the Applicant shall, in consultation with DPI (Fisheries): (a) determine the size of the culverts or pipes to facilitate fish passage; and (b) identify the minimum size of clean rock to be used to ensure that rock material will not wash into the waterway in periods of high flows. Temporary culvert or pipe crossings shall be removed prior to the start of the Oxleyan Pygmy Perch spawning period.	6, 7, 8, 9	Stage 2	Pre-construction Construction	RMS/Contractors	Stage 2
B10	Subject to conditions B11 and B12, the Applicant shall revise the Connectivity Strategy identified in the documents listed in condition A2(e), based on the outcomes of the Mitigation Framework required by condition D1. Note: • The requirements for the Connectivity Strategy are contained in condition D2.	All	All	Pre-construction Detailed Design	RMS	Connectivity Strategy for Sections 1 & 2 was approved by DP&E on 11/5/15
B11	As part of detailed design, the Applicant shall further investigate design refinements for fauna crossings and associated exclusionary measures, between station 41.500 and station 80.000 to improve connectivity for the Coastal Emu, and in the proximity of station 96.000 and between station 137.800 and station 159.700 to improve connectivity for the Koala. Any changes to fauna crossings and exclusionary measures shall be included in the Connectivity Strategy required under condition D2.	3,4, 5, 9, 10, 11	Stage 2	Pre-construction Detailed Design	RMS/Detailed Designers	Stage 2
B12	Investigations into the location and design of connectivity structures, including but not limited to those identified in the documents listed under conditions A2(c) and A2(e), shall be undertaken during detailed design with the input of a suitably qualified and experienced ecologist. The investigations shall be undertaken in consultation with the OEH, DPI (Fisheries) and DoE and include workshops and on-site ground verification. The results of these investigations shall be detailed in the Connectivity Strategy required under condition D2.	All	All	Pre-construction Detailed Design	RMS/Detailed Designers	Connectivity Strategy approved by DP&E on 11/5/15.  Required structures will be installed as per the Connectivity Strategy, if any issues are identified with structures during construction phase then consultation would be undertaken with the EPA and the ER to determine appropriate course of action.
B13	The Applicant shall minimise riparian vegetation clearing during construction and undertake a targeted rehabilitation program post construction to restore in-stream and riparian habitat to at least the pre-construction condition or better, unless otherwise agreed by DPI (Fisheries). All areas disturbed by the SSI that are in the vicinity of known Oxleyan Pygmy Perch habitat waterways shall be stabilised prior to the Oxleyan Pygmy Perch spawning period.	All	All	Pre-construction Detailed Design Construction Operation	RMS/Detailed Designers/Contractors	Clearing has been reduced in some part of the project from the clearing limit as per detailed design. Some areas include Halfway Creek and Wells Crossing, which is a positive outcome for the project, and this includes EECs and threatened species. Not applicable to known Oxleyan Pygmy Perch habitat on Sections 1 & 2.

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
B14	The SSI shall be constructed with the aim of achieving the construction noise management levels detailed in the Interim Construction Noise Guideline (DECC, 2009). All feasible and reasonable noise mitigation measures shall be implemented and any activities that could exceed the construction noise management levels shall be identified and managed in accordance with the Construction Noise and Vibration Management Plan. Note: • The Interim Construction Noise Guideline identifies 'particularly annoying' activities that require the addition of 5dB(A) to the predicted level before comparing to the construction Noise Management Level.	All	All	Construction	RMS/Contractors	The NVMP for the Section 2 has been approved by DPE.  All works for Section 2 are being undertaken in accordance with the approved NVMP.
B15	Construction activities associated with the SSI shall be undertaken during the following standard construction hours: (a) 7:00am to 6:00pm Monday to Friday, inclusive; and (b) 8:00am to 5:00pm Saturday; and (c) at no time on Sunday or public holidays.	All	All	Construction	RMS/Contractors	These conditions have been addressed in the approved NVMP/ App D Out of Hours Work. Extended hours of work have been allowed in strategic locations and discussed with adjacent residents, EPA, the ER and the ERG. Refer to MCoA B16 below for details.
B16	Construction works outside the standard construction hours may be undertaken in the following circumstances: (a) construction works that generate noise that is: (i) no more than 5 dB(A) above rating background level at any residence in accordance with the Interim Construction Noise Guideline (DECC, 2009); and (ii) no more than the noise management levels specified in Table 3 of the Interim Construction Noise Guideline (DECC 2009) at other sensitive receivers; or (b) for the delivery of materials required outside the standard construction hours by the NSW Police Force or other authorities for safety reasons; or (c) where it is required in an emergency to avoid the loss of lives, property and/or to prevent environmental harm; or (d) between 6.00am and 7.00am and 6.00pm and 7.00pm Monday to Friday (except public holidays) in sparsely populated areas (these construction hours may be reviewed and/or revoked by the Secretary in consultation with the EPA in the case of unresolved noise complaints); or (e) low noise impact activities and work between: (i) 6.00am and 7.00am Monday to Friday; and/or (ii) 6.00pm and 7.00pm Monday to Friday; or (f) works approved through an EPL; or (g) works approved by a Construction Environment Management Plan or Construction Noise and Vibration Management Plan for the SSI.	All	All	Construction	RMS/Contractors	Addressed in the approved NVMP/ App D Out of Hours Work. Extended work hours have been approved at HC2G in accordance with the NVMP/ App D Out of Hours Work Procedure which implements the Conditions of MCoA B16 and EPL 20599, in particular B16 (d) and (e) and EPL L5.2 and L5.3.  No complaints have been received regarding the approved extended hours to date.
B17	Construction activities which cannot be undertaken during the standard construction hours for technical or other justifiable reasons (Out of Hours work) may be permitted outside the standard construction hours with the approval of the Environmental Representative. Out of Hours work shall be undertaken in accordance with an approved Construction Environment Management Plan or Construction Noise and Vibration Management Plan for the SSI, where that plan provides a process for the consideration of Out of Hours work. This consideration includes: (a) process for obtaining the Environmental Representative's approval for Out of Hours work; (b) details of the nature and need for activities to be conducted during the varied construction hours; (c) justifies the varied construction hours in accordance with the Interim Construction Noise Guideline (DECC, 2009); (d) provides evidence that consultation with potentially affected receivers and notification of the relevant council has been undertaken, that the issues raised have been addressed and all feasible and reasonable mitigation measures have been put in place; and (e) provides evidence of consultation with the EPA on the proposed variation in standard construction hours.	All	All	Construction	RMS/Contractors	Addressed in the approved NVMP/ App D Out of Hours Work. A small number of Out of Hours Work permits have been issued and approved.
B18	Construction activities resulting in impulsive or tonal noise emission (such as rock breaking, rock hammering, pile driving) shall only be undertaken: (a) between the hours of 8:00am to 5:00pm Monday to Friday; (b) between the hours of 8:00am to 1:00pm Saturday; and (c) in continuous blocks not exceeding three hours each with a minimum respite from those activities and works of not less than one hour between each block. For the purposes of this condition 'continuous' includes any period during which there is less than a one hour respite between ceasing and recommencing any of the work the subject of this condition. The works subject to this condition may be undertaken in sparsely populated areas within the standard construction hours.	All	All	Construction	RMS/Contractors	Addressed in the approved NVMP/ App D Out of Hours Work. Works have been undertaken in accordance with the approved NVMP.
B19	The Applicant shall, where feasible and reasonable, limit high noise impact activities and work to the mid-morning and mid-afternoon periods, except in sparsely populated areas.	All	All	Construction	RMS/Contractors	Addressed in the approved NVMP/ App D Out of Hours Work. Blasting has been restricted to these hours as per the Blast MP.
B20	The SSI shall be constructed with the aim of achieving the following construction vibration goals: (a) for structural damage to heritage structures, the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration – Part 3 Effects of vibration on structures; (b) for damage to other buildings and/or structures, the vibration limits set out in the British Standard BS 7385-1:1990 – Evaluation and measurement of vibration in buildings—Guide for measurement of vibration and evaluation of their effects on buildings (and referenced in Australian Standard 2187.2 – 2006 Explosives – Storage and use – Use of explosives); and (c) for human exposure, the acceptable vibration values set out in Assessing Vibration: A Technical Guideline (Department of Environment and Conservation, 2006).	All	All	Construction	RMS/Contractors	Addressed in the approved NVMP. Works have been undertaken in accordance with the approved NVMP.
B21	Blasting associated with the SSI shall only be undertaken during the following hours: (a) 9:00am to 5:00pm, Monday to Friday, inclusive; (b) 9:00am to 1:00pm on Saturday; and (c) at no time on Sunday or public holidays. Blasting outside the above hours and in accordance with the standard construction hours where: (i) no sensitive receivers in sparsely populated areas would be impacted by blasting; or (ii) an agreement has been made with receivers within 200 metres of the blast zone to permit blasting in accordance with the standard construction hours. This condition does not apply in the event of a direction from the NSW Police Force or other relevant authority for safety or emergency reasons to avoid loss of life, property loss and/or to prevent environmental harm.	All	All	Construction	RMS/Contractors	Addressed in the approved NVMP. Also addressed in the Blast MP, which has been approved by RMS. All blasts have and will comply with the specified time restrictions. Note that blasting on HC2G is only occurring in Cuts 9 and 10 within the State Forest area at the northern end of the project. The nearest sensitive receivers are located more than 2000m from the blasting activities, with no impact expected as confirmed in Section 7.3 of the CNVMP.
B22	The Applicant shall ensure that Air blast overpressure generated by blasting associated with the SSI shall not exceed the criteria specified in Table 1 when measured at the most affected residence or other sensitive receiver. Note • a sensitive site includes houses and low rise residential buildings, theatres, schools and other similar buildings occupied by people.	All	All	Construction	RMS/Contractors	Addressed in the approved NVMP. Also addressed in the Blast MP, which has been approved by RMS. Blast Monitoring confirms that Air Blast Overpressure has complied with the specified limits for all blasts at the nearest residence/sensitive receiver. Monitoring results are reported at monthly ERG meetings.

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
B23	The Applicant shall ensure that Ground vibration generated by blasting associated with the SSI shall not exceed the criteria specified in Table 2 and Table 3 when measured at the most affected residence or other sensitive receiver. Note • a sensitive site includes houses and low rise residential buildings, theatres, schools and other similar buildings occupied by people.	All	All	Construction	RMS/Contractors	Addressed in approved NVMP. Also addressed in the Blast MP, which has been approved by RMS. Blast Monitoring confirms that Ground Vibration has complied with the specified limits for all blasts at the nearest residence/sensitive receiver. Monitoring results are reported at monthly ERG meetings.
B24	The blasting criteria specified in conditions B22 and/or B23 may be increased where the Applicant has obtained the written agreement of the relevant landowner to increase the criteria. In obtaining the agreement the Applicant shall make available to the landowner: (a) details of the proposed blasting program and justification for the proposed increase to blasting criteria including alternatives considered (where relevant); (b) the environmental impacts of the increased blast limits on the surrounding environment and most affected residences or other sensitive receivers including, but not limited to noise, vibration and air quality and any risk to surrounding utilities, services or other structures; and (c) the blast management and mitigation measures, and the procedures to be implemented to monitor blasting impacts. The Applicant shall provide a copy of the written agreement to the Secretary and the EPA, including details of the consultation undertaken (with clear identification of proposed blast limits and potential property impacts) prior to commencing blasting at the increased limits. Unless otherwise agreed by the Secretary, the following exclusions apply to the application of this condition: (a) Any agreements reached may be terminated by the landowner at any time should concerns about the increased blasting limits be unresolved. Should an agreement be terminated by a landowner, the Applicant shall not exceed the criteria specified in conditions B22 and/or B23 for future blasting at that receiver. (b) The blasting limit agreed to under any agreement for an occupied residential building can at no time exceed a maximum Peak Particle Velocity vibration level of 25 mm/s or maximum Air blast Overpressure level of 125 dBL.	All	All	Construction	RMS/Contractors	Addressed in approved NVMP. Also addressed in the Blast MP, which has been approved by RMS. No modification of B22 or B23 is currently proposed.
B25	Wherever feasible and reasonable, piling activities shall be undertaken using quieter construction methods, such as bored piles or vibrated piles rather than impact or percussion piling methods.	All	All	Construction	RMS/Contractors	Quieter piling methods are being used on the HC2G Project.
B26	Prior to the use of the dynamic compaction construction method, the Applicant shall undertake an assessment of vibration generated by dynamic compaction on nearby sensitive receivers. Feasible and reasonable mitigation measures shall be implemented to minimise vibration impacts.	All	All	Construction	RMS/Contractors	Assessment has been completed and included in Section 7.3 of the CNVMP
B27	During construction, affected educational institutions shall be consulted and reasonable steps taken to ensure that noise generating construction works in the vicinity of affected buildings are not timetabled during examination periods where practicable, unless other reasonable arrangements to the affected institutions are made at no cost to the affected institution.	4, 5, 8, 9	Stage 2	Construction	RMS/Contractors	Stage 2
B28	The SSI shall be designed and operated with the objective of not exceeding the road noise criteria outlined in the NSW Road Noise Policy (DECCW, 2011).	All	All	Detailed Design Operation	RMS/Contractors	Operational Noise Management Report (ONMR) was submitted to DP&E and approved on 2 June 2015. Community consultation is being scheduled and the procurement process is underway. Identified noise attenuation works will then commence following consultation on the ONMR.
B29	Where feasible and reasonable, operational noise mitigation measures shall be implemented at the start of construction (or at other times during construction) to minimise construction noise impacts.	All	All	Detailed Design Operation	RMS/Contractors	RMS is currently finalising the delivery method for noise mitigation works Identified within the Operational Noise Management Report, mitigation works will be undertaken as soon as is practicable.
B30	Except as may be expressly provided by an EPL, the Applicant shall comply with section 120 of the Protection of the Environment Operations Act 1997.	All	All	Construction	RMS/Contractors	This is addressed in EPL. Project works are undertaken to ensure compliance with S 120 of the POEO Act.
B31	The hydrological and flooding impacts resulting from the SSI are to be assessed during detailed design against the 'Design Objectives for Flood Management' described in Section 2.1 of the EIS Working Paper – Hydrology and Flooding. This shall include assessment against the 'Flood Management Objectives' and the 'Other Flood Impact Considerations' as well as the other requirements of this section of the EIS. The hydrology assessment shall include the refinement of or development of new flood models (where required) for the 14 catchments investigated during the EIS. These models shall be operated for the same design floods considered in the EIS, as well as the 2000 year ARI and the probable maximum flood (PMF) design events.	All	All	Pre-construction Detailed Design	RMS/Detailed Designers	Hydrological Mitigation Report for Corindi (Section 1 ) was submitted for approval to DP&E on <b>1/05/15</b> .  No mitigation report is required for Section 2.
B32	For the Corindi, Shark Creek and Farlows Flat areas, flooding and hydrological impacts resulting from existing highway infrastructure shall be assessed. As part of this assessment, flood models shall assess the impacts of recent highway upgrades in this area. Where the existing highway in these areas has resulted in adverse flooding and/or hydrological impacts, opportunities to reduce the quantum of these impacts shall be considered during the detailed design of the SSI, where feasible and reasonable.	1,4,5	All	Pre-construction Detailed Design	RMS/Detailed Designers	Corindi Creek is within the Section 1 project area. Farlows Flat and Shark Creek are within the Wave 1 and Wave 3 project areas.  The Hydrological Mitigation Report for Corindi was submitted for approval to Dept of Planning on the <b>1/5/15</b> . As outlined in the report, RMS is undertaking community consultation on the Blackadder Safety works mitigation. This work is proposed to be undertaken following the upgrade of Section 1.
B33	Where the objectives and considerations referred to in condition B31 cannot be complied with, the Applicant shall: (a) achieve compliance through modified embankment or drainage design. This might include new or duplicated drainage structures designed to minimise afflux and other impacts to waterways that traverse the road alignment, to the greatest extent practicable; or (b) achieve an acceptable level of mitigation of impacts through alternative design measures (e.g. raised access tracks) in consultation with the affected land-owner; or (c) reach agreement with affected landowners on impacts to property.	All	All	Pre-construction Detailed Design	RMS/ RMS/Detailed Designers	Hydrological Mitigation Report for Corindi was submitted for approval to DP&E on <b>1/05/15</b> .  Where the flood management objectives have not been achieved for Corindi, land -owner consent has either been granted (for property already acquired) or is being sought for those currently in acquisition.  Contractors are responsible for ensuring any temporary access tracks do not impact on flood levels.
B34	Soil and water management measures consistent with Managing Urban Stormwater - Soils and Construction Vols 1 and 2, 4th Edition (Landcom, 2004) and Managing Urban Stormwater Soil and Construction Vols 2A and 2D Main Road Construction (Department of Environment and Climate Change, 2008) shall be employed during the construction of the SSI to minimise soil erosion and the discharge of sediment and other pollutants to land and/or water.	All	All	Detailed Design Construction	RMS/Contractors	Addressed in CEMP and SWMP, regular and updated ESCPs and regular inspections by the Contractor and RMS . Inspections also undertaken during ERG's with Agencies. In addition, RMS and CMC each employ a soil conservationist to assist in soil conservation issues on HC2G.
B35	Where available, and of appropriate chemical and biological quality, stormwater, recycled water or other water sources shall be used, where feasible and reasonable, in preference to potable water for construction activities, including concrete mixing and dust control.	All	All	Construction	RMS/Contractors	Collected runoff water from sediment basins, tannin treatment areas and other areas is being reused periodically on the project.
B36	All surface water and groundwater shall be adequately treated as far as is practicable, prior to entering the stormwater system to protect the receiving water source quality.	All	All	Construction	RMS/Contractors	Addressed in the approved SWMP, ESCPs and EPL 20599. Discharges from sediment basins are in accordance with EPL 20599.

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
B37	<p>Prior to the commencement of site preparation and excavation activities, or as otherwise agreed by the Secretary, in areas identified as having a moderate to high risk of contamination, a site audit shall be carried out by a suitably accredited contaminated site auditor. A Site Audit Report is to be prepared by the site auditor detailing the outcomes of Phase 2 contamination investigations within these areas. The Site Audit Report shall detail, where relevant, whether the land is suitable (for the intended land use) or can be made suitable through remediation.</p> <p>Where the investigations identify that the site is suitable for the intended operations and that there is no need for a specific remediation strategy, measures to identify, handle and manage potential contaminated soils, materials and groundwater shall be identified in the Site Audit Report and incorporated into the Construction Environmental Management Plan.</p> <p>Where the investigations identify that the site is suitable for the intended operations and that a remediation strategy is required, the Site Audit Report shall include a remediation strategy for addressing the site contamination, and how the environmental and human health risks will be managed during the disturbance, remediation and/or removal of contaminated soil or groundwater, and be incorporated into the Construction Environmental Management Plan.</p> <p>Where remediation is required, a Site Audit Statement(s) shall be prepared verifying that the site has been remediated to a standard consistent with the intended land use.</p> <p>Note</p> <ul style="list-style-type: none"> <li>• Terms used in this condition have the same meaning as in the Contaminated Land Management Act 1997.</li> </ul>	All - TBC	All	Pre-construction Construction	RMS/Contractors	<p>Contamination investigations have not identified any moderate to high risk areas within the section 1 and 2 project areas.</p> <p>For Section 2, An additional area of potential contamination was investigated at 6 Mile Tick Gate by contamination specialists but no contamination was identified.</p>
B38	<p>Watercourse crossings shall be designed and constructed in consultation with the DPI (Fisheries), EPA, NOW and DoE, and where feasible and reasonable, be consistent with the Guidelines for Controlled Activities Watercourse Crossings (Department of Water and Energy, February 2008), Why do Fish Need to Cross the Road? Fish Passage Requirements for Waterway Crossings (Fairfull and Witheridge, 2003), Policy and Guidelines for Fish Friendly Waterway Crossings (NSW Fisheries, February 2004), and Policy and Guidelines for Fish Habitat Conservation and Management (DPI Fisheries, 2013). Where multiple cell culverts are proposed for crossings of fish habitat streams, at least one cell shall be provided for fish passage, with an invert or bed level that mimics watercourse flows.</p>	All	All	Pre-construction Detailed Design	RMS/Detailed Designers/Contractors	<p>This is relevant to the construction of permanent crossings and where temporary crossings are proposed by the contractor.</p> <p>Significant consultation with agencies has occurred during detailed design for permanent crossings, and will also be undertaken during construction phase by the contractor.</p> <p>A meeting was undertaken on-site at Corindi Creek, on the 2/6/15, to discuss the options for works in &amp; around the creek and the method for crossing the creek. Representatives from Department of Primary Industries [Fisheries] and the Environmental Protection Agency [Biodiversity] met with RMS &amp; the construction team to discuss the options. The final strategies for the works around the Creek will continue to be developed with the agencies, RMS &amp; the contractor.</p> <p>The most recent meetings with agencies for bridges on section 2 for Wells Crossing and Halfway Creek watercourses were on 2 June 2015 and 28 July 2015.</p> <p>There are contact Specifications for the construction and maintenance of temporary waterway crossings. The contractors CEMP also has specific requirements for the construction and maintenance of temporary waterway crossings.</p>
B39	<p>All crossings of known Giant Barred Frog habitat or waterways with the confirmed presence of the species shall be designed and constructed with bridges. Should the Applicant construct a crossing structure other than a bridge, the Applicant shall demonstrate maintained connectivity for the Giant Barred Frog upstream and downstream of that crossing for a monitoring period of three consecutive years, or such other period agreed by the Secretary in consultation with the OEH.</p> <p>Demonstration of maintained habitat connectivity shall:</p> <p>(a) be based on baseline data that confirms the presence, nature and distribution of Giant Barred Frog population using a survey methodology that has been endorsed by the OEH, and detailed in the Mitigation Framework required in condition D1, and an assessment of the connectivity of the crossing site prior to commencement; or, if adequate baseline data is not provided to the satisfaction of the Secretary, be based on the assumption of occurrence of a population on either side of the crossing site; and</p> <p>(b) be based on evidence that the Giant Barred Frog has remained present upstream and downstream of the crossing site for the monitoring period, with periodic monitoring to occur at least biannually. Should the results of any instance of periodic monitoring record an absence of the Giant Barred Frog, the Applicant shall be required to demonstrate that this change is not as a result of the SSI within one month of the completion of that instance of periodic monitoring, to the satisfaction of the Secretary. Should the Secretary not be satisfied that the change is not a result of the SSI, the SSI will be deemed as the cause of the impact and the Applicant shall offset the loss of the habitat in accordance with this approval.</p>	1	Stage 1	Pre-construction Detailed Design		<p>For section 1 and Section 2, this has been addressed in detailed design to avoid impact to known GBFrog habitat. Bridges at Halfway Creek are used in GBF habitat.</p>
B40	<p>Unless otherwise agreed by DPI (Fisheries), all crossings of Class 1 watercourses in known Oxleyan Pygmy Perch habitat shall be designed and constructed with a bridge or arch structure and, where feasible and reasonable, no supporting structures shall be installed within affected waterways.</p>	6, 7, 8, 9	Stage 2	Pre-construction Detailed Design	RMS/Detailed Designers/Contractors	Stage 2

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
B41	Where an Oxleyan Pygmy Perch habitat waterway is realigned or its stream profile is changed, or an in-stream structure is installed in the waterway (both permanent and temporary construction structures), the Applicant shall ensure that the final design of that waterway does not result in water velocities exceeding 0.4 metres per second under normal flow conditions. The Applicant shall determine normal flow conditions to the satisfaction of DPI (Fisheries) through baseline monitoring of known Oxleyan Pygmy Perch habitat waterways.	6, 7, 8, 9	Stage 2	Pre-construction Detailed Design	RMS/Detailed Designers	Stage 2
B42	The Applicant shall ensure that the SSI does not increase the afflux of waterways with known Oxleyan Pygmy Perch habitat by more than the relevant flood management objective in the documents referred to in condition A2 for flood events up to the 1 in 100 year event.	6, 7, 8, 9	Stage 2	Pre-construction Detailed Design	RMS/Detailed Designers/Contractors	Stage 2
B43	The Applicant shall investigate the removal of the proposed embankment at station 145.2 and its replacement with an extension of the Richmond River bridge. The investigation shall consider issues around hydrology and flooding (including meeting the flooding objectives for bridges), constructability, cost, funding arrangements and visual impacts. The investigation shall include consideration of other relevant environmental impacts (noise, heritage, biodiversity, traffic etc.) and consider any alternative options. A copy of the investigation shall be submitted to the Secretary prior to the commencement of any bridge approach or embankment works in the vicinity.	10	Stage 2	Pre-construction Detailed Design	RMS/Detailed Designers	Stage 2
B44	Prior to the commencement of construction affecting PAD site WWC Dirty Creek 1 and ancillary facilities at Section 4, Site 1; Section 4, Site 3; Section 7, Site 1; Section 10, Site 1a; and Section 11, Site 1a, the Applicant shall: (a) undertake field investigation, and where required, an archaeological investigation of the site(s) using a methodology generally consistent with testing undertaken for the Environmental Impact Statement, and prepared in consultation with the OEH (Aboriginal heritage) and the Registered Aboriginal Parties; and (b) prepare a report on the results of the archaeological investigation, including recommendations (such as further archaeological work) in consultation with the OEH and to the satisfaction of the Secretary, and shall include, but not necessarily be limited to: (i) consideration of measures to avoid or minimise disturbance to Aboriginal objects where objects of moderate to high significance are found to be present; (ii) recommendations for further investigations under condition B45 where impacts cannot be avoided; and (iii) details of management and mitigation measures to ensure there are no additional impacts due to pre-construction and construction activities; and (c) submit the report to the Registered Aboriginal Parties, the OEH (Aboriginal heritage) and the Secretary.	1, 4, 7, 10, 11	All	Pre-construction	RMS/RMS	Test excavations have been undertaken on WWC Dirty Creek 1, which was assessed as being of no archaeological potential and no archaeological significance. All PAD sites in section 1 will be cleared by the 3/7/15.  Remaining ancillary sites to be undertaken by Contractor during construction.  PAD sites identified in B44 do not occur in section 2.
B45	Prior to the commencement of construction activities affecting Aboriginal sites WWC39, WWC46, Tyndale 2 site, IR2W4, Site 11, E2/2, WWC37, Dubaljeen site (New Italy 1), The Gap Road 1, WX21 Site 8, Site 1, Site 2, Site 3 and Site 4 and sites recommended by condition B44 for further investigation, the Applicant shall: (a) develop a detailed salvage strategy, prepared in consultation with the OEH (Aboriginal heritage) and the Registered Aboriginal Parties. The salvage strategy shall be prepared to the satisfaction of the Secretary; and (b) undertake any further archaeological excavation works recommended by the results of the detailed salvage strategy. Within twelve months of completing the above work, unless otherwise agreed by the Secretary, the Applicant shall prepare a report containing the findings of the excavations, including artefact analysis and Aboriginal Site impacts Recording Forms (ASIR), and the identification of final storage location for all Aboriginal objects recovered (testing and salvage), in consultation with the Registered Aboriginal Parties, the OEH (Aboriginal heritage) and to the satisfaction of the Secretary. The report shall be submitted to the Registered Aboriginal Parties, the OEH (Aboriginal heritage) and the Secretary. Note: • Where archaeological testing has occurred as part of the environmental assessment and the results are included in the documents listed in condition A2, the sites tested shall be included in the final report prepared under condition B45.	3, 4, 7, 8, 9, 10, 11	All	Pre-construction	RMS/RMS	Salvage strategy approved by DP&E in late August 2014. Salvage not anticipated to be completed until June 2015 for W2IR area and September 2015 for IR2W area.
B46	Identified impacts to Aboriginal heritage, shall be minimised to the greatest extent practicable through both detailed design and construction, particularly with regard to the Aboriginal sites Gittoes Jali and the Melino site, and the Aboriginal culturally significant places identified as Corindi Massacres (section 1), Burials (section 1), Halfway Creek Ceremonial Site, Birrugan and Mindi spiritual sites (sections 1, 2, 5 and 10), Pillar Valley men's and women's sites, Place H, Place I and Place J. Where impacts are unavoidable, works shall be undertaken in accordance with the strategy outlined in the Construction Heritage Management Plan.	1, 2, 3, 8, 9, 10, 11	All	Pre-construction Detailed Design Construction	RMS/Detailed Designers/Contractors	The EA process and Detailed design has been undertaken with the objective to minimise to the greatest extent practicable impacts to Aboriginal heritage. <b>All Aboriginal heritage investigations have been completed for Section 2.</b>  Where impacts are unavoidable in construction, works would be undertaken in accordance with the strategy outlined in the Construction Heritage Management Plan.
B47	The Applicant shall not destroy, modify or otherwise physically affect Aboriginal sites WWC5, WWC7, WWC26, WWC92, WWC115, WWC139, Tyndale 1, Scarred/engraved Tree (section 7), C3/2/2, Saw Pit Creek / New Italy, Gittoes Jali 2, Cooks Hill, Broadwater, Law PAD, Law Scarred Tree, MST 3, C21, Melino Scarred Tree 4, MST 2, MST1, Rudgley Scarred Tree or Saezza 1.	2, 4, 7, 8, 9, 10, 11	All	Pre-construction Detailed Design Construction	RMS/Detailed Designers/Contractors	These sites have been identified within the contract documents, CEMP, design packages and sensitive area plans. Also captured within training packages and inductions for contractors.
B48	Prior to the commencement of construction affecting the Convent (12-14 Rivers Street), Harwood (item 21), the Applicant shall carry out further historical research and investigate the options for relocation of the convent building, in consultation with the Department of Planning and Environment and the OEH (Heritage Division), to the satisfaction of the Secretary.	5	Stage 2	Pre-construction Detailed Design Construction	RMS/RMS	Stage 2
B49	Prior to the commencement of construction in proximity to the following heritage items: 21; 23 (Roder's well and orchard); 26; 28; 29; and 43, the Applicant shall complete all archival recordings, including photographic recording of these heritage items, unless otherwise agreed by the Secretary. The archival recording shall be undertaken by an experienced heritage consultant, in accordance with the Guidelines issued by the Heritage Council of NSW. The areas containing these items shall be clearly identified and/or fenced until the completion of the archival recordings. Within 6 months of completing the archival recording, the Applicant shall submit a report containing the archival and photographic recordings and the historical research, where required, to the Department of Planning and Environment, the Heritage Council of NSW, and the local library and the local Historical Society in the relevant local government area(s).	5, 7, 9, 10	Stage 2	Pre-construction	RMS/RMS	Stage 2



Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
B50	<p>Prior to construction affecting the following heritage items: 7; 23 (Roder's well and orchard) and 28, the Applicant shall carry out further historical and physical archaeological investigations of these heritage items, in consultation with the Department of Planning and Environment and the OEH (Heritage Division), to the satisfaction of the Secretary. These investigations shall:</p> <p>(a) include archaeological investigations and excavation in accordance with the Heritage Council's Archaeological Assessments Guideline (1996) using a methodology prepared, in consultation with the OEH (Heritage Division), and to the satisfaction of the Secretary. The archaeological investigation shall be undertaken by an archaeological heritage consultant, whose appointment has been endorsed by the Secretary. The nomination for the Excavation Director shall demonstrate ability to comply with the Heritage Council's Criteria for the Assessment of Excavation Directors (July 2011);</p> <p>(b) provide for the detailed analysis of any heritage items discovered during the investigations;</p> <p>(c) include management options for these heritage items (including options for relocation and display); and</p> <p>(d) if the findings of the investigations are significant, provide for the preparation and implementation of a heritage interpretation plan.</p> <p>Within 12 months of completing the above work, unless otherwise agreed by the Secretary, the Applicant shall prepare a report containing the findings of the excavations, including artefact analysis, and the identification of a final repository for finds, prepared in consultation with the OEH (Heritage Division) and to the satisfaction of the Secretary. The report shall be submitted to the Department of Planning and Environment, the Heritage Council of NSW, and the local library and the local Historical Society in the relevant local government area(s).</p> <p>Note:</p> <ul style="list-style-type: none"> <li>Where archaeological testing has occurred as part of the environmental impact assessment for the SSI and the results are included in the documents listed in condition A2, the sites tested shall still form part of the methodology and final report prepared for the non-Aboriginal archaeological investigation program.</li> </ul>	2, 7, 9	All	Pre-construction	RMS/RMS	<p>For Item 7 (Service Station Complex, Halfway Creek) further investigations have been undertaken for historical and archaeological heritage items to determine if further action is required, prior to construction works in this area. Following is a brief summary of the European heritage site at Halfway Creek outside of the Matilda Service Station:</p> <ul style="list-style-type: none"> <li>The area is thought to contain evidence of remains of the original coach way station such as post holes, footings etc. and the early coach road</li> <li>Salvage excavation was required in an area immediately along the highway frontage of the existing buildings (see attached plan) to record any sub-surface remains present prior to construction commencing at this location</li> <li>Salvage methodology submitted to agencies for review on 12 June 2015.</li> <li>Salvage methodology was approved by the Secretary, DP&amp;E on 8 July 2015</li> <li>Jacobs completed the archaeological excavation of historical heritage Item 7 – Service Station Complex, Halfway Creek, in accordance with the Minister's Conditions of Approval and the methodology approved by Department of Planning.</li> <li>Archaeological excavation and recording of the site was undertaken by Dr Iain Stuart and Dr Karen Murphy on 14-15 July 2015. Excavation revealed several possible posthole features, a rectangular pit feature (possibly related to installation of a former tank or petrol bowser), multiple former road surfaces, and a timber feature in the southern corner of the excavation area close to the former restaurant building. The timber feature comprised two timber planks supported by a shorter cross beam. Given the lack of other features or postholes at that depth it appears unlikely this feature is related to the former coach station. It is more likely related to the former tank/petrol bowser as it was situated in line with the rectangular pit feature. No other evidence likely to be related to the coaching station was located or identified. No further archaeological excavation is required, and the site has been backfilled.</li> <li>The physical investigations are now complete and as such, it is appropriate for construction works to proceed at the site.</li> </ul>
B51	The Applicant shall not destroy, modify or otherwise physically affect the heritage items listed in Table 5-1, Historic (non-Aboriginal) Heritage Assessment Working Paper and Table 3-38, Submissions/Preferred Infrastructure Report (RMS, November 2013).	1, 5, 7, 10	All	Pre-construction Detailed Design Construction	RMS/Detailed Designers/Contractors	<p>For section 1, management and mitigation of these items will be addressed within the Construction Heritage Management Plan - for section 1 impact to be avoided on Tree stumps at Milleara/Halfway Creek</p> <p>Post office Lane stockyards, Corindi Beach is within the Section 1 project area.</p>
B52	Identified impacts to heritage sites shall be minimised where feasible and reasonable through both detailed design and construction, particularly with regard to the historic site known as the North Coast Railway Branch Tramway, Glenugie. Where impacts are unavoidable, works shall be undertaken in accordance with the actions to manage heritage construction impacts required by condition D26(d) and under the guidance of an appropriately qualified heritage specialist.	2	Stage 1	Pre-construction Detailed Design Construction		Impacts to heritage sites have been minimised wherever possible during the detailed design process. For section 2, management and mitigation of these sites is being addressed within the Construction Heritage Management Plan
B53	This approval does not allow the Applicant to destroy, modify or otherwise physically affect human remains as part of the SSI.	All	All	Pre-construction Detailed Design Construction	RMS/Contractors	Noted. Addressed in the Construction Heritage Management Plan.
B54	The Applicant shall not destroy, modify or otherwise physically affect any heritage items outside the SSI footprint, unless otherwise agreed by the Secretary in accordance with condition B54A.	All	All	Pre-construction Detailed Design Construction	RMS/Detailed Designers/Contractors	Noted. Addressed in the Construction Heritage Management Plan.
B54A	<p>The Applicant may undertake archaeological investigations at sites outside the SSI boundary where the following works associated with the construction of the highway are proposed:</p> <p>i. ancillary sites that do not meet the criterion set out in condition B73; or</p> <p>ii. utilities or services, or</p> <p>iii. access and service roads and driveways; or</p> <p>iv. or similar works required for the project that are located within 5 metres of the SSI boundary (with the exception of drainage works in flood prone areas where such activities can be investigated within 20 metres of the SSI boundary).</p> <p>These investigations are permitted where this is required to assess the potential Aboriginal and non-Aboriginal archaeological impacts of the ancillary facility or other works on previously unidentified heritage sites, provided:</p> <p>(a) any archaeological investigations undertaken under this condition shall be consistent with the requirements in condition B44 for Aboriginal heritage and condition B50 for non-Aboriginal heritage and with the Construction Heritage Management Plan or a methodology prepared to the satisfaction of the Secretary in consultation with OEH; and</p> <p>(b) the results of any relevant archaeological investigations undertaken under this condition shall be consistent with the reporting requirements of condition B45 for Aboriginal heritage and condition B50 for non-Aboriginal heritage, and for ancillary sites, be described in the assessment of the ancillary facility required under conditions B74 and B75.</p>	All	All	Pre-construction Detailed Design Construction	RMS/Contractors	Noted. Addressed in the Construction Heritage Management Plan.
B55	The measures to protect heritage sites near or adjacent to the SSI during construction shall be detailed in the Construction Heritage Management Plan.		All	Pre-construction		Addressed in the Construction Heritage Management Plan.
B56	The SSI shall be designed with the objective of minimising adverse changes to existing access arrangements and services for other transport modes and, where feasible and reasonable, facilitate an improved level of access and service to other transport modes comparable to or better than the existing situation.	All	All	Pre-construction Detailed Design Construction	RMS/Contractors	This has been achieved and addressed during detailed design.
B57	Safe pedestrian and cyclist access through or around worksites shall be maintained during construction. In circumstances where pedestrian and cyclist access is restricted due to construction activities, a satisfactory alternate route shall be provided and signposted.	All	All	Pre-construction Detailed Design	RMS/Detailed Designers	Addressed via Traffic Management Plan and traffic control plans via compliance with G10 specification.
B58	Construction vehicles (including staff vehicles) associated with the SSI shall be managed to:	All	All	Pre-construction Construction	RMS/Contractors	This has been achieved by providing ample parking on the construction site resulting in no parking on local roads or idling vehicles in this area. A key initiative to minimise heavy vehicles on local roads includes 500m of piping to standpipe from NOW approved water source to eliminate water cart movements on Parker Road. An access onto the new alignment was approved that improved safe access at Kunga Rd. Haulage routes are via the Pacific Highway, with movements via site haul roads maximised to limit impact to Pacific Highway Traffic and associated safety risks with merging.

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
B59	In relation to new or modified local road, parking, pedestrian and cycle infrastructure, the SSI shall, where feasible and reasonable, be designed: (a) in consultation with the relevant council; (b) take into consideration existing and future demand, road safety and traffic network impacts; (c) to meet relevant design, engineering and safety guidelines, including Austroads Guide to Traffic Engineering Practice; and (d) be certified by an appropriately qualified person that has considered the above matters.	All	All	Pre-construction	RMS/Contractors	This has been achieved and addressed during detailed design.
B60	The Applicant shall ensure that the SSI is designed to minimise land take impacts to surrounding properties (including agricultural properties) as far as feasible and reasonable, in consultation with the affected landowners.	All	All	Pre-construction Detailed Design	RMS/Detailed Designers	This has been a consideration during the EA, concept design through to the detailed design and Implementation phase. The project has been able to reduce clearing at an adjacent property has assisted a local landowner.
B61	Where the viability of existing agricultural operations are identified to be impacted by the land requirements of the SSI, the Applicant shall, at the request of these landowners, employ a suitably qualified and experienced independent agricultural expert, whose appointment has been endorsed by the Secretary, to assist in identifying alternative farming opportunities for the land, including purchase of other residual land to enable existing agricultural activities to continue.	All	All	Pre-construction Detailed Design	RMS/Detailed Designers	During the consultation process for the EIS/SPIR, and as required during the acquisition process, agricultural needs have been considered and addressed by design changes and/or compensation.
B62	Unencumbered access to private property shall be maintained during construction unless otherwise agreed with the landowner in advance. A landowner's access that is physically affected by the SSI shall be reinstated to at least an equivalent standard, in consultation with the landowner.	All	All	Pre-construction	RMS/Detailed Designers	This has been achieved throughout construction and shall continued through duration of construction. No issues or complaints received from any residents.
B63	The Applicant shall, in consultation with relevant landowners, construct the SSI in a manner that minimises intrusion and disruption to agricultural operations/activities in surrounding properties (e.g. stock access, access to farm dams, etc.), unless otherwise agreed by the landowner.	All	All	Detailed Design Construction	RMS/Detailed Designers/Contractors	Impact to agricultural activities has been minimised as far as possible. Positive outcomes include the retention of group of trees within the acquired road reserve and approved clearing limit north of Lemon Tree Road following request from adjacent landowner
B64	Any damage caused to property as a result of the SSI shall be rectified or the landowner compensated, within a reasonable timeframe, with the costs borne by the Applicant. This condition is not intended to limit any claims that the landowner may have against the Applicant.	All	All	Construction	RMS/Detailed Designers	No issues to date. Pre-construction building condition inspections have been completed for all structures within the zones specified within Specification G36, with post construction inspections to be completed following construction. Any identified damage will be rectified.
B65	Where the SSI traverses a state forest, the Applicant shall, in consultation with the NSW Forestry Corporation, ensure that construction does not unduly disrupt existing forestry activities, access for fire fighting and access for other activities within state forests, unless otherwise agreed by the NSW Forestry Corporation.	All	All	Construction	RMS/Contractors	There has been no disruption to State Forest activities. 4.5Ha of land has been approved by Forest Corporation by Forest Occupation Permit for construction of temporary sedimentation basins. These areas will be rehabilitated to satisfaction of Forestry Corporation as per lease conditions prior to completion of construction.
B66	The SSI shall be constructed in a manner that minimises dust emissions from the site, including wind-blown and traffic-generated dust and tracking of material onto public roads. All activities on the site shall be undertaken with the objective of preventing visible emissions of dust from the site. Should such visible dust emissions occur at any time, the Applicant shall identify and implement all feasible and reasonable dust mitigation measures, including cessation of relevant works, as appropriate, such that emissions of visible dust cease.	3, 6, 7	All	Construction	RMS/Contractors	Addressed in Air Quality MP and construction mitigation measures used on site.
B67	Dangerous goods, as defined by the Australian Dangerous Goods Code, shall be stored and handled strictly in accordance with: (a) all relevant Australian Standards; (b) for liquids, a minimum bund volume requirement of 110% of the volume of the largest single stored volume, within the bund; and (c) the Environment Protection Manual for Authorised Officers: Bunding and Spill Management, technical bulletin (Environment Protection Authority, 1997). In the event of an inconsistency between the requirements listed from (a) to (c) above, the most stringent requirement shall prevail to the extent of the inconsistency.	All	All	Pre-construction Construction	RMS/Contractors	Addressed in Waste and Energy MP.
B68	Waste generated outside the site shall not be received at the site for storage, treatment, processing, reprocessing, or disposal on the site, except as expressly permitted by a licence or waste exemption under the Protection of the Environment Operations Act 1997, if such a licence is required in relation to that waste.	All	All	Construction	RMS/Contractors	No waste from outside the site has been received within HC2G premises boundary.
B69	The reuse and/or recycling of waste materials generated on site shall be maximised as far as practicable, to minimise the need for treatment or disposal of those materials off site.	All	All	Construction	RMS/Contractors	Addressed in Waste and Energy MP. Waste rock material, and small quantities of spoil from the Glenugie Upgrade have been reused on the HC2G Upgrade.
B70	All liquid and/or non-liquid waste generated on the site shall be assessed and classified in accordance with Waste Classification Guidelines (Department of Environment, Climate Change and Water, 2009).	All	All	Construction Operation	RMS/Contractors	All waste disposed of in accordance with Construction Waste and Energy Management Plan.
B71	All waste materials removed from the site shall only be directed to a waste management facility or premises lawfully permitted to accept the materials.	All	All	Construction Operation	RMS/Contractors	Waste is managed in accordance with Construction Waste and Energy Management Plan. Some waste can be beneficially reused as per POEO s143 permit in accordance with G36 4.11.
B72	Utilities, services and other infrastructure potentially affected by construction and operation shall be identified prior to construction to determine requirements for access to, diversion, protection, and/or support. Consultation with the relevant owner and/or provider of services that are likely to be affected by the SSI shall be undertaken to make suitable arrangements for access to, diversion, protection, and/or support of the affected infrastructure as required. The cost of any such arrangements shall be borne by the Applicant.	All	All	Construction Operation	RMS/Contractors	This has been addressed during detailed design and continues to be addressed during construction.

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
B73	<p>The sites for ancillary facilities that are associated with the construction of the SSI and that have not been identified and assessed in the documents listed in condition A2 shall:</p> <p>(a) be located more than 50 metres from a waterway (100 metres for a State Environmental Planning Policy No. 14 wetland or known Oxleyan Pygmy Perch habitat waterway);</p> <p>(b) not impact on connectivity structures or vegetation leading to a connectivity structure;</p> <p>(c) be located within or adjacent to the SSI boundary;</p> <p>(d) have ready access to the road network;</p> <p>(e) be located in areas of low ecological significance and require no clearing of native vegetation;</p> <p>(f) be located more than 50 metres from threatened species and endangered ecological communities and their habitats;</p> <p>(g) be located on relatively level land;</p> <p>(h) be separated from the nearest residences by at least 200 metres (or at least 300 metres for a temporary batching plant) and comply with construction noise management levels at sensitive receivers;</p> <p>(i) be above the 20 year ARI flood level unless a contingency plan to manage flooding is prepared and implemented;</p> <p>(j) have minor impacts on flood storage and not result in obstruction of floodplain flow or blockage of culverts and drains;</p> <p>(k) not unreasonably affect the land use of adjacent properties;</p> <p>(l) operate in accordance with the construction hours set out in conditions B15 and B16;</p> <p>(m) provide sufficient area for the storage of material to minimise, to the greatest extent practical, the number of deliveries required outside standard construction hours; and</p> <p>(n) be located in areas of low heritage conservation significance (including areas identified as being of Aboriginal cultural value) and not impact on heritage sites beyond those already impacted by the SSI.</p> <p>The Applicant shall undertake an assessment of the facility against the above criteria in consultation with the relevant public authority(s) and the relevant council. The assessment shall be approved by the Environmental Representative and included in the Ancillary Facilities Management Plan required under condition D21.</p>	All	All	Detailed Design Construction	RMS/Contractors	The main compound and dry batch plants were selected using approved sites nominated in the project approvals. A wet batch plant site has been approved and included in the updated Ancillary MP.
B74	<p>Ancillary facilities that have not been previously identified and assessed in the documents listed in condition A2, and do not meet the criteria set out under condition B73, shall be approved by the Environmental Representative prior to its establishment. In obtaining this approval, the Applicant shall consult with the relevant public authority(s) and the relevant council, and demonstrate to the satisfaction of the Environmental Representative, how the potential environmental impacts can be mitigated and managed to acceptable standards. The outcomes of the assessment shall be documented in a report and include, but not necessarily be limited to:</p> <p>(a) details on the site location and access arrangements;</p> <p>(b) a description of the activities to be undertaken;</p> <p>(c) outcomes of the assessment of the site against the locational criteria set out in condition B73;</p> <p>(d) an assessment of the environmental impacts on the site and the surrounding environment, including, but not limited to noise, vibration, air quality, traffic and access during site establishment and operation, flora and fauna, heritage, erosion and sedimentation, water quality and light spill;</p> <p>(e) details of the mitigation, monitoring and management procedures specific to the ancillary facility that would be implemented to minimise environmental impacts; and</p> <p>(f) demonstrated overall consistency with the approved SSI (including impacts identified in the documents listed in condition A2).</p> <p>A copy of the report shall be included in the Ancillary Facilities Management Plan.</p>	All	All	Detailed Design Construction	RMS/ Contractors	The main compound and dry batch plants were selected using approved sites nominated in the project approvals. The proposed wet batch site is currently being progressed in accordance with the requirements of this condition. The wet batch plant site has been approved and included in the updated Ancillary MP.
B75	<p>Notwithstanding condition B74, ancillary facilities that that have not been previously identified and assessed in the documents listed in condition A2 and result in additional impacts to biodiversity, heritage, flooding and noise beyond those approved for the SSI, shall be approved by the Secretary prior to their establishment. In order to obtain this approval, the Applicant shall undertake an assessment of the ancillary facility in accordance with condition B74 and forward a copy of the assessment report to the Secretary, as part of the approval submission, at least one month prior to the establishment of the facility.</p>	All	All	Detailed Design Construction	RMS/ Contractors	The wet batch plant site has been approved and included in the updated Ancillary MP.
B76	<p>The land on which ancillary facilities are located shall be rehabilitated to at least their pre-construction condition or better, unless otherwise agreed by the landowner.</p>	All	All	Detailed Design Construction	RMS/ Contractors	Shall be undertaken following use of the sites in consultation with RMS.
B77	<p>Where changes are made to the boundary or use of an ancillary facility, including facilities identified in the documents listed in condition A2, the Applicant shall assess the facility against the criteria set out in condition B73. If the ancillary facility site:</p> <p>(a) does not meet the criteria set out under condition B73 the Applicant shall seek the approval of the Environmental Representative in accordance with condition B74; or</p> <p>(b) results in impacts to biodiversity, heritage, flooding and noise beyond those approved for the SSI, the Applicant shall seek the approval of the Secretary in accordance with condition B75.</p> <p>The relevant approval shall be obtained prior to the establishment of the ancillary facility.</p>	All	All	Detailed Design Construction	RMS/ Contractors	Not applicable to current or proposed Ancillary Facility sites.
B79	<p>The Applicant shall ensure that material extracted from the borrow sites established for the SSI, is only used for the construction of the SSI subject to this approval, and no other sections of the Pacific Highway or other works.</p>	All	All	Construction	RMS/ Contractors	Not applicable to Section 2 HC2G
B80	<p>The Applicant shall ensure that all plant and equipment used at the site is:</p> <p>(a) maintained in a proper and efficient condition; and</p> <p>(b) operated in a proper and efficient manner.</p>	All	All	Pre-construction Construction	RMS/ Contractors	This has been achieved in accordance with commitments within the CNVMP.
B81	<p>The Applicant shall ensure that during the operation of the SSI, water quality risks to the Woodburn Borefield drinking water catchment are minimised to the satisfaction of Rous Water.</p>	8	Stage 2	Detailed Design	RMS RMS	Stage 2

# COMPLIANCE TRACKING - CONDITIONS OF APPROVAL PART C

## Woolgoolga to Ballina SSI-4963

Ministers Condition Of Approval	Requirement	W2B Section	Project Stage	Timing	Responsibility	Comment
C1	<p>Prior to the commencement of construction or as otherwise agreed by the Secretary, the Applicant shall prepare and implement a Community Communication Strategy to the satisfaction of the Secretary. The Strategy shall provide mechanisms to facilitate communication between the Applicant (and its contractor(s)), the Environmental Representative (see condition D22), the relevant council and community stakeholders (particularly adjoining landowners) on the construction environmental management of the SSI. The Strategy shall include, but not be limited to:</p> <p>(a) identification of stakeholders to be consulted as part of the Strategy, including affected and adjoining landowners;</p> <p>(b) procedures and mechanisms for the regular distribution of information to community stakeholders on construction progress and matters associated with environmental management;</p> <p>(c) the formation of community-based focus groups for key environmental management issues for the SSI. The Strategy shall provide detail on the structure, scope, objectives and frequency of the community-based focus groups;</p> <p>(d) procedures and mechanisms through which the community stakeholders can discuss or provide feedback to the Applicant and/or Environmental Representative in relation to the environmental management and delivery of the SSI;</p> <p>(e) procedures and mechanisms through which the Applicant can respond to enquiries or feedback from the community stakeholders in relation to the environmental management and delivery of the SSI; and</p> <p>(f) procedures and mechanisms that would be implemented to resolve issues/ disputes that may arise between parties on the matters relating to environmental management and the delivery of the SSI. This may include the use of an appropriately qualified and experienced independent mediator.</p> <p>Issues that shall be addressed through the Community Communication Strategy include (but are not necessarily limited to):</p> <p>(i) traffic management (including property access, pedestrian access);</p> <p>(ii) heritage matters;</p> <p>(iii) landscaping and urban design matters;</p> <p>(iv) construction staging, hours and activities;</p> <p>(v) noise and vibration mitigation and management;</p> <p>(vi) air quality and dust;</p> <p>(vii) water quality, hydrology and flooding matters; and</p> <p>(viii) biodiversity matters.</p> <p>The Applicant shall maintain and implement the Strategy throughout construction of the SSI.</p>	All	All	Pre-construction	RMS	<p>An overarching Woolgoolga to Ballina Woolgoolga to Ballina Communication and Stakeholder Engagement Strategy has been prepared by Roads and Maritime Services. Strategy approved by DoEP 12 May 2015.</p> <p>Community Action Plan for section 2 was approved by Roads and Maritime on 29 April 2015</p>
C2	<p>Prior to the commencement of pre-construction and construction, or as otherwise agreed by the Secretary, the Applicant shall ensure that the following are available for community enquiries and complaints for the duration of construction:</p> <p>(a) a 24 hour telephone number(s) on which complaints and enquiries about the SSI may be registered;</p> <p>(b) a postal address to which written complaints and enquires may be sent;</p> <p>(c) an email address to which electronic complaints and enquiries may be transmitted; and</p> <p>(d) a mediation system for complaints unable to be resolved.</p> <p>The telephone number, the postal address and the email address shall be published in newspaper(s) circulating in the local area prior to the commencement of construction and prior to the commencement of operation. This information shall also be provided on the website (or dedicated pages) required by this approval.</p>	All	All	Pre-construction Construction	RMS	<p>24 hour number established - 1800 778 900, and email address W2B@rms.nsw.gov.au</p> <p>postal address advertised and available on website <a href="http://www.rms.nsw.gov.au/projects/northern-nsw/woolgoolga-to-ballina/index.html">http://www.rms.nsw.gov.au/projects/northern-nsw/woolgoolga-to-ballina/index.html</a></p> <p>Roads and Maritime has created a page for HC2G under the main Woolgoolga to Ballina website. Email, post and phone details are provided on this page.</p> <p>Please refer to Woolgoolga to Ballina Communication and Stakeholder Engagement Strategy</p>
C3	<p>Prior to the commencement of pre-construction and construction, or as otherwise agreed by the Secretary, the Applicant shall prepare and implement a Construction Complaints Management System consistent with AS 4269: Complaints Handling and maintain the System for the duration of construction and up to 12 months following completion of the SSI.</p> <p>Information on all complaints received, including the means by which they were addressed and whether resolution was reached, with or without mediation, shall be maintained in a complaints register and included in the construction compliance reports required by this approval. The information contained within the System shall be made available to the Secretary on request.</p>	All	All	Pre-construction	RMS	<p>Roads and Maritime has developed an overarching Woolgoolga to Ballina Construction Complaints Management System.</p> <p>Please refer to Woolgoolga to Ballina Communication and Stakeholder Engagement Strategy .</p> <p>The Complaint procedure is addressed in Section 6.3.2 of the CEMP.</p> <p>Refer to the approved Community Action Management Plan for HC2G for the complaints management procedure for the project.</p>
C4	<p>Prior to the commencement of pre-construction and construction, or as otherwise agreed by the Secretary, the Applicant shall establish and maintain a new website, or dedicated pages within an existing website, for the provision of electronic information associated with the SSI, for the duration of construction and for 12 months following completion of the SSI. The Applicant shall, subject to confidentiality, publish and maintain up-to-date information on the website or dedicated pages including, but not necessarily limited to:</p> <p>(a) information on the current implementation status of the SSI;</p> <p>(b) a copy of the documents listed in condition A2, and any documentation supporting modifications to this approval that may be granted from time to time;</p> <p>(c) a copy of this approval and any future modification to this approval;</p> <p>(d) a copy of each relevant environmental approval, licence or permit required and obtained in relation to the SSI;</p> <p>(e) a copy of each current strategy, plan, program or other document required under this approval;</p> <p>(f) the outcomes of compliance tracking in accordance with condition D27 of this approval; and</p> <p>(g) details of contact point(s) to which community complaints and enquiries may be directed, including a telephone number, a postal address and an email address.</p>	All	All	Pre-construction Construction	RMS	<p>An overarching web site addressing all active project stages has been developed. <a href="http://www.rms.nsw.gov.au/projects/northern-nsw/woolgoolga-to-ballina/index.html">http://www.rms.nsw.gov.au/projects/northern-nsw/woolgoolga-to-ballina/index.html</a></p> <p>Copies of the project approvals, plans and licenses are available on the W2B Project Web site. This web site is regularly updated to include latest approved project documents.</p>

# COMPLIANCE TRACKING - CONDITIONS OF APPROVAL PART D

## Woolgoolga to Ballina SSI-4963

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
D1	The Applicant shall develop a framework for finalising mitigation measures for threatened species. This Mitigation Framework shall be developed by a suitably qualified and experienced ecologist in consultation with DPI (Fisheries), OEH and DoE, and submitted to the satisfaction of the Secretary prior to commencement of detailed design of the relevant stage, unless otherwise agreed by the Secretary. The Mitigation Framework shall detail the process for finalising the biodiversity strategies, plans and programs required under this approval. The Mitigation Framework shall include: (a) a description of the methodology of all proposed pre-construction species and habitat surveys, including surveys undertaken in the 2013-2014 spring and summer seasons and as otherwise required under this project approval, and with reference where relevant to compliance with relevant NSW and Commonwealth field survey methods and guidelines; (b) a summary of potential changes to the avoidance, mitigation and/or offset measures specified in the documents listed in condition A2, as justified by the results of surveys described in condition D1(a); (c) a summary of the potential avoidance, mitigation and/or offset measures for all species for which the proposed level of impact or mitigation required differs from that assessed in the documents listed in condition A2, including evidence that those measures would achieve the same or an improved biodiversity outcome; (d) provision for updating the relevant Threatened Species Management Plans required under condition D8; and (e) a schedule for submission of all biodiversity strategies, plans and programs required under this approval in accordance with the requirements for submission in the conditions below.	All	All	Pre-construction	RMS	The Mitigation Framework for Sections 1 & 2 was approved by the Department of Planning & Environment on the 8/5/15. This document forms part of the approved FFMP for Sections 1 & 2.
D2 (a)-(g)	The Applicant shall prepare and implement a Connectivity Strategy, to be submitted and approved by the Secretary prior to the commencement of construction. The strategy shall describe the rationale for, and final design and location of, fauna connectivity structures for the SSI and shall demonstrate the effectiveness of connectivity measures for the species targeted for the crossing. The Strategy shall be developed from the draft Connectivity Strategy in the documents listed in condition A2 in consultation with the OEH, DPI (Fisheries) and DoE, to the satisfaction of the Secretary. The Strategy shall include: (a) details of all crossings for terrestrial and aquatic fauna, including but not limited to land bridges, bridge, arch and culvert crossings, and crossings for arboreal fauna; (b) justification for the location and design, and spacing of the connectivity structures, with reference to relevant State and Commonwealth threatened species guidelines and the results of on-ground surveys as required by D2(d); (c) demonstration of the effectiveness of the connectivity structures (including exclusionary fencing) in terms of location, design and number of connectivity structures to mitigate impacts to the relevant threatened species, and that the crossings: (i) maintain or improve connectivity and movement pathways; (ii) reduce the risk of mortality for threatened species; (iii) are located at locations, at sufficient frequency along the alignment, based on the ecological requirements of the targeted species, including but not limited to home range size, movement patterns, and habitat use; (d) the results of surveys undertaken to determine the habitat, species movement patterns, distribution of species to confirm the design and location; (e) consideration of connectivity under the existing highway, service roads and local roads (servicing over 100 vehicles per day); (f) commitment that pathways to connectivity structures are not to be impeded by ancillary facilities, rest areas or service roads, or local roads (servicing over 100 vehicles per day) that are realigned as part of the SSI or experience an increase in traffic volumes during operation of the SSI; (g) commitment to implement the landscaping of vegetation leading to connectivity structures;	All	All	Pre-construction	RMS	The Connectivity Strategy for Sections 1 & 2 was approved by the Department of Planning & Environment on the 11/5/15. This document forms part of the approved FFMP for Sections 1 & 2.
D2 (h)-(m)	(h) a fencing strategy, describing the location, design and length of fencing, which must extend beyond the edges of habitat for threatened species; (i) the maintenance of connectivity measures and fencing for the life of the impact of the action, including the timing and frequency; (j) an assessment of the flooding risk for proposed structures, and measures to confirm and provide for flood immunity of those structures in light of this assessment. The agreement of the OEH on flood immunity levels shall be obtained prior to the commencement of construction of the relevant stage; (k) commitment that all bridges in identified wildlife corridors, or adjacent to threatened species habitat, or are likely to provide connectivity for threatened species based on surveys undertaken in accordance with the Mitigation Framework required in condition D1, shall provide a minimum three metre wide dry passage from toe of the scour protection to the top of the bank, with natural substrate and refuge features. Where this criteria cannot be achieved and with the agreement of the OEH, consideration shall be given to the use of suitable materials in, and the final form of, the scour protection to provide for the safe and effective passage of fauna; (l) detailed consideration of the effects of connectivity structures on the maintenance or improvement of population viability and gene flow; and (m) incorporate the outcomes of the Mitigation Framework required under condition D1. Unless connectivity measures can be demonstrated to be effective at successfully mitigating the barrier and fragmentation impact to relevant species, in accordance with the requirements of the construction flora and fauna management plan required under condition D26(e), and threatened species management plans required under conditions D8 and D9, the residual impact to connectivity shall be offset. Where the location and/or design of connectivity structures has changed from that identified in the documents listed under conditions A2(c) and A2(e), the Strategy shall demonstrate how the new location and/or design would result in an improved biodiversity outcome. The Strategy shall clearly identify how the connectivity structures will work in conjunction with other biodiversity measures, such as complementary fauna exclusion fencing measures and the regeneration/replanting of native vegetation, to be implemented for the SSI. The Applicant shall demonstrate to the satisfaction of the Secretary how public authority comments on the Strategy have been addressed. The Strategy may be submitted in stages to suit the staging of the SSI.	All	All	Pre-construction	RMS	The Connectivity Strategy for Sections 1 & 2 was approved by the Department of Planning & Environment on the 11/5/15. This document is part of the FFMP and requirements as per this approved plan are being addressed during the construction phase.
D3	The Applicant shall prepare and implement a Biodiversity Offset Strategy to outline how the ecological values lost as a result of the SSI will be offset in perpetuity. The Strategy shall be developed from the draft Biodiversity Offset Strategy in the documents listed in condition A2, in consultation with the OEH, DPI (Fisheries) and DoE, to the satisfaction of the Secretary. Unless otherwise agreed to by the OEH, DPI (Fisheries) and DoE, offsets shall be provided on a like-for-like basis and at a minimum ratio of 4:1 for native vegetation (including salt marsh) impacted by the SSI or as required by the Environment Protection and Biodiversity Conservation Act 1999 Environmental Offsets Policy (Commonwealth of Australia 2012) and Offsets Assessment Guide (Commonwealth of Australia 2012), whichever is the greater. The Strategy shall include, but not necessarily be limited to: (a) the objectives and outcomes that would be sought through a biodiversity offset package, including to achieve a neutral or net beneficial outcome for all threatened species and endangered ecological communities likely to be impacted directly or indirectly during both the construction and operation of the SSI; (b) confirmation of the vegetation type/habitat (in hectares) to be cleared and their condition, and the size of offsets required (in hectares); (c) details of the available offset measures that have been selected to compensate for the loss of existing native vegetation (including mangroves, salt marsh and riparian vegetation), threatened and vulnerable species and Endangered Ecological Communities and their habitats, and identification of potential offset sites; (d) consideration of contingency measures for offsets to address potential changes to impacted areas as a result of detailed design changes; (e) a process for addressing and incorporating offset measures arising from changes in biodiversity impacts (where these changes are generally consistent with the biodiversity impacts identified for the SSI in documents listed under condition A2), including: (i) changes to the SSI footprint due to detailed design; (ii) changes to predicted impacts as a result of changes to mitigation measures; (iii) the identification of additional species/habitat through pre-clearance surveys and construction; and (iv) additional impact associated with the establishment of ancillary facilities; (f) the decision-making framework that would be used to select the final suite of offset measures to achieve the objectives and outcomes established within the Strategy, including the ranking of offset measures; and (g) options for securing and management of biodiversity offsets in perpetuity. The Applicant may elect to satisfy the requirements of this condition by identifying a suitable offset strategy which addresses impacts from multiple Pacific Highway Upgrade projects within the North Coast bioregion. Any such strategy, including an agreement made with OEH and DoE, shall be approved by the Secretary within a timeframe agreed to by the Secretary. The Biodiversity Offset Strategy shall be submitted to, and approved by, the Secretary prior to the commencement of construction work that would result in the disturbance of the relevant existing ecological communities, threatened species, or their habitat, unless otherwise agreed by the Secretary.	1,2,3,4,6,9,10,11	All	Pre-construction and Construction	RMS	Department of Planning and Environment and Department of the Environment approved approved a variation for the submission of the Biodiversity Offset Strategy and Offset Status Report within 3 months of commencement of sections 1 and 2 and approval of the Biodiversity Offset Strategy and Offset Status Report prior to commencement of Stage 2 works. The Biodiversity Offset Strategy and Offset Status Report (D4) were both submitted as per the variation timeline.  The Biodiversity Offset Strategy was approved by the Department of Planning & Environment on the 6/1/16  The Biodiversity Offset Strategy was approved by the Department of the Environment the 7/1/16

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
D4	<p>Prior to the commencement of construction work that would result in the disturbance of the relevant existing ecological communities, threatened species, or their habitat, unless otherwise agreed by the Secretary, the Applicant shall submit for the approval of the Secretary, the offset sites for the species listed under condition D4(a). The selection of the offset sites should be undertaken in consultation with the OEH, DPI (Fisheries) and DoE. Submission of the offset sites for approval shall be accompanied by:</p> <p>(a) details of offset sites to compensate the impacts on:</p> <p>(i) Koala populations in Coolgardie/Bagotville, Broadwater and Woombah/Illuka;</p> <p>(ii) Moonee Quassia (Quassia sp. Moonee Creek);</p> <p>(iii) Sandstone Rough-Barked Apple (Angophora robur);</p> <p>(iv) Singleton Mint Bush (Prostanthera cineolifera); and</p> <p>(v) Lowland Rainforest in Sub-tropical Australia;</p> <p>(b) a map that defines the locations and boundaries of the sites;</p> <p>(c) demonstration, through ground truthing survey or an alternative method(s), the adequacy of the site(s), in terms of habitat suitability and presence of the relevant species, to offset the impacts of the SSI;</p> <p>(d) consideration of how the offsets achieve the outcomes required by the Environment Protection and Biodiversity Conservation Act 1999 Environmental Offsets Policy to the satisfaction of DoE; and</p> <p>(e) details of how the offset sites would be secured and managed in perpetuity.</p>	1,2, 3, 4, 6, 9,10,11	All	Pre-construction and Construction	RMS	<p>Department of Planning and Environment and Department of the Environment approved approved a variation for the submission of the Biodiversity Offset Status Report within 3 months of commencement of sections 1 and 2 and approval of the Biodiversity Offset Status Report prior to commencement of Stage 2 works.</p> <p>The Biodiversity Offset Status Report (D4) was both submitted as per the variation timeline.</p>
D5 (a)-(g)	<p>The Applicant shall prepare and implement (following approval) a Biodiversity Offset Package, within twenty-four months of approval of the Biodiversity Offset Strategy, or as otherwise agreed by the Secretary. The package shall detail how the ecological values lost as a result of the SSI will be offset. The Biodiversity Offset Package shall be prepared in consultation with the OEH, DPI (Fisheries) and DoE, for the approval of the Secretary, and shall (unless otherwise agreed by the Secretary) include, but not necessarily be limited to:</p> <p>(a) the identification of the extent and types of habitat that would be lost or degraded as a result of the final design of the SSI;</p> <p>(b) the objectives and biodiversity outcomes to be achieved;</p> <p>(c) details of the final suite of the biodiversity offset measures selected and secured in accordance with the Biodiversity Offset Strategy including the identification of all offset sites, including, offset attributes, shapefiles, textual descriptions and maps that clearly define the location, boundaries of the offset areas;</p> <p>(d) an assessment demonstrating how the offset area(s) achieve the outcomes required by the Environment Protection and Biodiversity Conservation Act 1999 Environmental Offsets Policy and user guide to the written satisfaction of DoE;</p> <p>(e) the management and monitoring requirements for compensatory habitat works and other biodiversity offset measures proposed to ensure the outcomes of the package are achieved, including:</p> <p>(i) the monitoring of the condition of species and ecological communities at offset locations;</p> <p>(ii) the methodology for the monitoring program(s), including the number and location of offset monitoring sites, and the sampling frequency at these sites;</p> <p>(iii) provisions for the annual reporting of the monitoring results for a set period of time as determined in consultation with the OEH, DPI (Fisheries) and DoE; and</p> <p>(iv) the monitoring and reporting on the effectiveness of these measures, and progress against the performance and completion criteria;</p> <p>(f) the results of targeted field surveys within the offset sites (undertaken at any ecologically appropriate time of the year) to assess and describe habitat suitability, presence/absence of threatened species and ecological communities and an assessment of the baseline population;</p> <p>(g) a description of the current quality (prior to any management activities) of the offset area(s);</p>	All	All	Pre-construction and Construction	RMS	<p>Department of Planning and Environment and Department of the Environment approved approved a variation for the submission of the Biodiversity Offset Strategy and Offset Status Report within 3 months of commencement of sections 1 and 2 and approval of the Biodiversity Offset Strategy and Offset Status Report prior to commencement of Stage 2 works.</p> <p>The Biodiversity Offset Strategy and Offset Status Report (D4) were both submitted as per the variation timeline.</p> <p>The Biodiversity Offset Strategy was approved by the Department of Planning &amp; Environment on the 6/1/16</p> <p>The Biodiversity Offset Strategy was approved by the Department of the Environment the 7/1/16</p> <p>RMS will prepare and implement (following approval) a Biodiversity Offset Package, within twenty-four months of approval of the Biodiversity Offset Strategy, or as otherwise agreed by the Secretary.</p>
D5(h)-(m)	<p>(h) targeted management actions, regeneration and/or revegetation strategies to be undertaken on the offset area(s) to improve the ecological quality of these areas for the relevant species and communities;</p> <p>(i) clear performance objectives for management actions that will enable maintenance and enhancement of habitat within the offset area, as well as contribute to the better protection of individuals and/or populations of the relevant species;</p> <p>(j) performance and completion criteria for evaluating the management of the offset area, including contingency actions, criteria for triggering contingency actions and a commitment to the implementation of these actions in the event that performance objectives are not met; a program to monitor and report on the effectiveness of these measures, and progress against the performance and completion criteria;</p> <p>(k) timing and responsibilities for the implementation of the provisions of the Biodiversity Offset Package and achieving performance objectives;</p> <p>(l) details of who would be responsible for monitoring, reviewing, and implementing the Biodiversity Offset Package; and</p> <p>(m) a description of funding arrangements or agreements including work programs and responsible entities.</p> <p>Land offsets shall be consistent with the Principles for the use of Biodiversity Offsets in NSW. Any land offset shall be enduring and be secured by a conservation mechanism which protects and manages the land in perpetuity. Where land offsets cannot solely achieve compensation for the loss of habitat, additional measures shall be provided to collectively deliver an improved or maintained biodiversity outcome for the region. The Biodiversity Offset Package shall include details of the offset sites approved under condition D4, and timeframe for the delivery of the offset sites.</p> <p>Where monitoring required under conditions D8 and/or D9 indicates that biodiversity outcomes are not being achieved, remedial actions, as approved by the Secretary, shall be undertaken to ensure that the objectives of the Biodiversity Offset Package are achieved.</p> <p>The requirements of the Biodiversity Offset Package shall be implemented by the responsible parties according to the timeframes set out in the Biodiversity Offset Package, unless otherwise agreed by the Secretary.</p> <p>Note:</p> <ul style="list-style-type: none"> <li>If an offset site proposed as a part of the Biodiversity Offset Strategy or Biodiversity Offset Package is already required to be protected as a result of a separate approval, only the management actions which can be demonstrated to be additional to those required for the separate approval, can be considered as an offset for this project in accordance with the EPBC Act Environmental Offsets Policy 2012 (or subsequent published revisions).</li> </ul>	All	All	Pre-construction and Construction	RMS	<p>Department of Planning and Environment and Department of the Environment approved approved a variation for the submission of the Biodiversity Offset Strategy and Offset Status Report within 3 months of commencement of sections 1 and 2 and approval of the Biodiversity Offset Strategy and Offset Status Report prior to commencement of Stage 2 works.</p> <p>The Biodiversity Offset Strategy and Offset Status Report (D4) were both submitted as per the variation timeline.</p> <p>The Biodiversity Offset Strategy was approved by the Department of Planning &amp; Environment on the 6/1/16</p> <p>The Biodiversity Offset Strategy was approved by the Department of the Environment the 7/1/16</p> <p>RMS will prepare and implement (following approval) a Biodiversity Offset Package, within twenty-four months of approval of the Biodiversity Offset Strategy, or as otherwise agreed by the Secretary.</p>
D6	<p>Prior to the commencement of construction of the relevant stage that would result in the disturbance of native vegetation (or as otherwise agreed by the Secretary), the Applicant shall prepare and implement a Nest Box Plan to provide replacement hollows for displaced fauna. The Plan shall be prepared in consultation with the OEH and to the satisfaction of the Secretary. The Plan shall be prepared by a suitably qualified and experienced ecologist and detail the number and type of nest boxes to be installed, which shall be justified based on the number and type of hollows removed (based on pre clearing surveys), the density of hollows in the area to be cleared and in adjacent areas, and the availability of adjacent food resources. The Plan shall also provide details of maintenance protocols for the nest boxes installed including responsibilities, timing and duration.</p>	All	All	Pre-construction and Construction	RMS and Contractor	<p>The Nest Box Plan for Stage 1 W2B was approved by the Department of Planning &amp; Environment on the 17/2/15. This document is part of the FFMP. 70 % of the required nest boxes on Sections 1 &amp; 2 were installed pre construction.</p> <p>Section 2 has identified in consultation with EPA, the remaining number and type of nest boxes required to account for both the number and type of hollows removed during construction of the project</p>
D7	<p>The Applicant shall prepare and implement a Flora Translocation Strategy to determine the feasibility and potential efficacy of translocation measures (as identified in the threatened species management plans required under condition D8), prior to the commencement of construction work that would result in the disturbance of threatened flora species for which translocation is proposed. The Strategy shall be prepared by a suitably qualified and experienced ecologist, in consultation with the OEH and DoE, and to the satisfaction of the Secretary. The Strategy shall include:</p> <p>(a) a feasibility assessment of timeframe and staging requirements, availability of expertise, risk effectiveness analysis and availability/suitability of translocation sites;</p> <p>(b) detail of species specific information on the proposed methods of, and discussion of results of past recorded responses to, translocations;</p> <p>(c) a framework for the translocation process applicable to each affected species; and</p> <p>(d) consideration of appropriate compensatory habitat in the Biodiversity Offsets Package required under condition D5 where translocation is not reasonable or feasible.</p>	All	All	Pre-construction	RMS	<p>The Flora Translocation Strategy for Sections 1 &amp; 2 was approved by the Department of Planning &amp; Environment on the 12/5/15. This document is part of the FFMP. Eucalyptus tetrapleura seed has been collected. In addition, a number of non threatened species Lepidopsperma plants have been collected from the southern side of Wells Crossing and these are growing in a north coast nursery.</p> <p>All required threatened flora has been translocated for Sections 1 and 2.</p>

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
D8 (a)-(h)	<p>The Applicant shall prepare and implement Threatened Species Management Plans to detail how impacts of the SSI will be minimised and managed specifically for each species identified as significantly impacted in the documents listed in condition A2 or in accordance with condition D1. The Plans shall be developed from the draft Threatened Species Management Plans included in the documents listed in condition A2(c) (subject to condition D9), in consultation with OEH, DPI (Fisheries) and DoE, and to the satisfaction of the Secretary, and shall include but not necessarily be limited to:</p> <p>(a) demonstration that adequate surveys have been undertaken to assess the impacts of the SSI with reference to the Mitigation Framework developed under condition D1, including baseline data collected from surveys, undertaken by a suitably qualified and experienced ecologist on threatened species and ecological communities within all habitat areas to be cleared of vegetation for the SSI, that are likely to contain these species and that are likely to be adversely impacted by the SSI (as determined by a suitably qualified expert). The data shall address the densities, distribution, habitat use and movement patterns of these species;</p> <p>(b) identification of potential impacts on each species;</p> <p>(c) details of and demonstrated effectiveness of the proposed avoidance and mitigation and management measures to be implemented for each threatened species including measures to at least maintain habitat values of habitat areas compared to baseline data and maintain connectivity for the relevant species;</p> <p>(d) an adaptive monitoring program to assess the use of the mitigation measures identified in conditions B10 and D2. The monitoring program shall nominate appropriate and justified monitoring periods, performance parameters and criteria against which effectiveness of the mitigation measures will be measured and include operational road kill and fauna crossing surveys to assess the use of fauna crossings and exclusion fencing implemented as part of the SSI;</p> <p>(e) monitoring methodology for threatened flora and fauna adjacent to the SSI footprint.</p> <p>(f) goals and performance indicators to measure the success of mitigation measures, which shall be specific, measurable, achievable, realistic and timely (SMART), and be compared against baseline data;</p> <p>(g) methodology for the ongoing monitoring of road kill, the species densities, distribution, habitat use and movement patterns, and the use of fauna crossings during construction and operation of the SSI, including the proposed timing, and duration of that monitoring;</p> <p>(h) provision for the assessment of monitoring data to identify changes to habitat usage and whether this can be attributed to the SSI;</p>	All	All	Pre-construction and Construction	RMS and Contractor	<p>The Threatened Flora Management Plan for Sections 1 &amp; 2 was approved by the Department of Planning &amp; Environment on the <b>5/5/15</b>.</p> <p>The Threatened Mammal Management Plan for Sections 1 &amp; 2 was approved by the Department of Planning &amp; Environment on the <b>12/5/15</b>.</p> <p>The Threatened Frog Management Plan was approved by the Department of Planning &amp; Environment on the <b>7/5/15</b>.</p> <p>The Threatened Glider Management Plan was approved by the Department of Planning &amp; Environment on the <b>5/5/15</b>.</p> <p>The Threatened Bat Management Plan for Sections 1 &amp; 2 was approved by the Department of Planning &amp; Environment on the <b>29/9/14</b>.</p> <p>The Koala Management Plan for Sections 1 &amp; 2 was approved by the Department of Planning &amp; Environment on the <b>11/5/15</b>.</p> <p>These documents are part of the FFMP.</p>
D8 (i)-(l)	<p>(i) details of contingency measures that would be implemented in the event of changes to habitat usage patterns, entities, distribution, and movement patterns attributable to the construction or operation of the SSI, based on adequate baseline data;</p> <p>(j) mechanisms for the monitoring, review and amendment of these plans;</p> <p>(k) provision for ongoing monitoring during operation of the SSI (for operation/ongoing impacts) until such time as the use and effectiveness of mitigation measures can be demonstrated to have been achieved over a minimum of three successive monitoring periods, unless otherwise agreed by the Secretary in consultation with the OEH, DPI (Fisheries) and DoE; and</p> <p>(l) provision for annual reporting of monitoring results to the Secretary and the OEH, DPI (Fisheries) and DoE, or as otherwise agreed by those agencies.</p> <p>In developing the Plans, the Applicant shall demonstrate to the satisfaction of the Secretary and DoE, how the public authorities and expert reviewer recommendations provided for each draft plan in the documents listed in condition A2(c) have been addressed, including detailed justification of any variance from the recommendations of the expert reviewer of the management plans, including analysis of potential risk to the threatened species.</p> <p>The Plans must be submitted and approved by the Secretary prior to commencement of construction of the relevant stages of the action, and implemented prior to commencement of construction of the relevant stages, unless otherwise agreed by the Secretary.</p>	All	All	Pre-construction and Construction	RMS and Contractor	<p>The Threatened Flora Management Plan for Sections 1 &amp; 2 was approved by the Department of Planning &amp; Environment on the <b>5/5/15</b>.</p> <p>The Threatened Mammal Management Plan for Sections 1 &amp; 2 was approved by the Department of Planning &amp; Environment on the <b>12/5/15</b>.</p> <p>The Threatened Frog Management Plan was approved by the Department of Planning &amp; Environment on the <b>7/5/15</b>.</p> <p>The Threatened Glider Management Plan was approved by the Department of Planning &amp; Environment on the <b>5/5/15</b>.</p> <p>The Threatened Bat Management Plan for Sections 1 &amp; 2 was approved by the Department of Planning &amp; Environment on the <b>29/9/14</b>.</p> <p>The Koala Management Plan for Sections 1 &amp; 2 was approved by the Department of Planning &amp; Environment on the <b>11/5/15</b>. These documents are part of the FFMP.</p>
D9 (a)-(c)	<p>As part of the Threatened Species Management Plans required under condition D8, the Applicant shall prepare and implement a Koala Management Plan to demonstrate the ongoing survival of the Koala populations at Coolgardie/Bagotville, Broadwater and Woombah/Iluka. The Plan shall be prepared by a suitably qualified and experienced species expert and shall include, but not necessarily be limited to:</p> <p>(a) results of detailed surveys to determine:</p> <p>(i) the population status of the Coolgardie/Bagotville, Broadwater and Woombah/Iluka Koala populations;</p> <p>(ii) habitat use and movement patterns of Koala populations within five kilometres of the proposed upgrade, or such area as determined by the independent ecologist; and</p> <p>(iii) habitat areas likely to be fragmented by the SSI; including the results of SPOT assessment and radio tracking.</p> <p>The results and adequacy of surveys shall be verified by an independent suitably qualified and experienced ecologist with appropriate qualifications and experience in Koala and road ecology. Where appropriate, the Applicant may vary the required area of survey specified under condition D9(a)(ii) to the satisfaction of the independent ecologist;</p> <p>(b) a detailed assessment of the impacts to the Koala populations based on the survey results required by condition D9(a), including population impacts and the identification of habitat likely to be fragmented and/or isolated as a result of the SSI;</p> <p>(c) a detailed description, including the location and design, of all proposed avoidance and mitigation measures;</p>	6,9,10	Stage 2	Pre-construction	RMS	Stage 2
D9 (d)	<p>(d) justification that the location and design of mitigation measures:</p> <p>(i) have been designed with the objective of no Koala road kill from the commencement of construction of the SSI. In the event that a Koala is injured or killed during construction or operation, this shall be reported on the Applicant's website within 24 hours of this occurring, and the record shall remain available for a period of at least five years, unless otherwise agreed by the Secretary;</p> <p>(ii) include permanent fencing of the entire SSI for the length of the distribution of the Coolgardie/Bagotville, Broadwater and Woombah/Iluka populations and for two kilometres beyond the distribution of the Coolgardie/Bagotville, Broadwater and Woombah/Iluka population, following the highway or to the nearest natural barrier to Koala movement (e.g. river), after baseline surveys are complete in accordance with condition D9(a) and prior to operation;</p> <p>(iii) result in the complete, safe crossing of fauna crossings by the Koala. Fauna crossings shall be provided at a sufficient frequency to ensure that habitat connectivity is maintained or improved from pre-construction conditions, as determined by the independent ecologist and agreed by OEH;</p> <p>(iv) provide sufficient opportunities for species dispersal and re-colonisation as determined by the independent ecologist and OEH;</p> <p>(v) are in areas that, and are at a sufficient frequency to, achieve (i) - (iv), based on site specific information contained in the survey results required by condition D9(a) and the ecological requirements of the Koala, including but not limited to home range size, local movement patterns and habitat use, in accordance with the advice of the independent ecologist and OEH;</p> <p>(vi) all koala underpass structures shall have a minimum height and width of 2.4 metres and a maximum length of 40 metres, or a minimum height and width of 3 metres and a maximum length of 50 metres. The underpass/culvert entrance shall be located at ground level, and no higher in the fill. Structures that provide passage over the road shall have a minimum width of 30 metres and shall be treated with contiguous habitat features;</p> <p>(vii) provide passage for Koalas under or over the existing highway (where the existing highway forms part of the SSI) and service roads or local roads (servicing over 100 vehicles per day);</p> <p>(viii) effectively minimise the risk of predation from dogs in both dedicated and combined crossings;</p> <p>(ix) provide dry passage for dedicated fauna crossings and for combined fauna crossings to the satisfaction of OEH and DoE, at a flood immunity level determined in accordance with condition D2(c)(j);</p> <p>(x) provide habitat linkages to crossing structures from adjacent Koala habitat; and</p> <p>(xi) ensures that pathways to connectivity structures are not impeded by ancillary facilities, rest areas, service roads or local roads;</p>	6,9,10	Stage 2	Pre-construction	RMS	Stage 2



Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
D9 (e)-(i)	<p>(e) if the mitigation measures discussed in condition D9(d) cannot be demonstrated to be effective to the satisfaction of the Secretary, in consultation with OEH and DoE, provision for the Plan to be revised to include the design and construction of a minimum of one dedicated underpass or land bridge every 500 metres. Underpass structures shall have a minimum height and width of three metres and a maximum length of 50 metres.</p> <p>(f) provision for the installation and vegetation planting of fauna overpasses prior to the commencement of construction;</p> <p>(g) a revegetation strategy to be implemented to increase connectivity adjacent to the SSI and leading to crossing locations, and the provision of vegetation planting on land bridges, to ensure the establishment of the vegetation prior to the commencement of construction;</p> <p>(h) details of the proposed monitoring methodology to ensure the effectiveness of the mitigation measures and the ongoing survival of the Coolgardie/Bagotville, Broadwater and Woombah/Illuka Koala populations.</p> <p>Monitoring shall:</p> <p>(i) include goals that demonstrate the mitigation measures are effective, including clear objectives, milestones, performance measures, corrective actions, and thresholds for corrective actions, and timeframes for completion;</p> <p>(ii) occur until such time as the mitigation measures are demonstrated to be effective for three consecutive monitoring periods, or as agreed by the Secretary, to the satisfaction of the independent ecologist and OEH; and</p> <p>(iii) for the purposes of the Coolgardie/Bagotville population, consider the results of the surveys undertaken in the Koala habitat and population assessment: Ballina Shire Council LGA (Biolink Ecological Consultants Pty Ltd, November 2013) in determining the baseline population;</p> <p>(i) where the results of monitoring undertaken in accordance with condition D9(h) suggests that the mitigation measures are ineffective or changes to the population have occurred, the Applicant shall provide the Secretary, within one month of recording the changes, the corrective actions that have been implemented or proposed to be implemented, or a procedure for demonstrating that this change is not a result of the SSI. Should the Applicant be unable to demonstrate to the satisfaction of the Secretary that any change to the population is not attributable to the SSI, the SSI shall be deemed as the cause of the impact and the Applicant shall, within one month of these findings, provide, to the satisfaction of the Secretary, in consultation with the OEH and DoE, the proposed corrective actions to address the impacts of the SSI. Any required corrective actions shall include, but not necessarily be limited to:</p> <p>(i) installation of further crossings or modifications to existing crossings and the provision of evidence of the complete, safe crossing of these fauna crossings by the Koala. Any additional crossings shall be provided at a sufficient frequency to ensure that habitat connectivity is maintained or improved from pre-construction conditions, within two years of their installation; and</p> <p>(ii) reassessment of all revegetation areas and frequent reporting and maintenance including addressing failures;</p>	6,9,10	Stage 2		RMS	
D9 (j)-(k)	<p>(j) if the measures in condition D9(i) cannot be demonstrated to be successful within one year of their implementation, procedure for the submission of further offsets in accordance with conditions D5 and D6(j), to be provided within one year of these findings. Further offsets may include:</p> <p>(i) the legal protection and conservation management of additional areas of existing habitat that actively regenerated and secured into conservation management; and/or</p> <p>(ii) strategic revegetation of cleared areas to improve connectivity; and/or</p> <p>(iii) development of a supplementary feeding program and/or breeding program; and/or</p> <p>(iv) development of a long term predator control program; and</p> <p>(k) evidence of consultation with species experts, OEH and DoE in addressing the requirements of this condition, and demonstration of how comments provided by the species experts, OEH and DoE, as a result of this consultation, have been addressed.</p> <p>The Koala Management Plan shall be submitted and approved by the Secretary prior to the commencement of construction of the relevant stages of the SSI. The approved Koala Management Plan shall be implemented prior to the commencement of construction of the relevant stages.</p>	6,9,10	Stage 2	Pre-construction	RMS	Stage 2
D10	Prior to the commencement of construction, the Applicant shall undertake a land use survey to identify areas that are sensitive to construction vibration and construction ground-borne noise impacts. The results of the survey shall be incorporated into the Construction Noise and Vibration Management Plan.	All	All	Pre-construction and Construction	Contractor	<p>A survey has been undertaken for Sections 1 &amp; 2 to identify areas that are sensitive to construction vibration and construction ground-borne noise impacts.</p> <p>The results of these survey have be incorporated into the Construction Noise and Vibration Management Plans for Sections 1 &amp; 2.</p>
D11	<p>The Applicant shall prepare a review of the operational noise mitigation measures proposed to be implemented for the SSI, within six months of commencing construction, unless otherwise agreed by the Secretary. The review shall be prepared in consultation with the EPA, to the satisfaction of the Secretary. The review may be submitted in stages to suit the staged construction of the SSI and shall:</p> <p>(a) confirm the operational noise predictions of the SSI based on detailed design. This operational noise assessment shall be based on an appropriately calibrated noise model (which has incorporated additional noise monitoring, where necessary for calibration purposes);</p> <p>(b) review the suitability of the operational noise mitigation measures identified in the documents listed in condition A2. The review shall take into account the detailed design of the SSI and, where feasible and reasonable, and where necessary, refine the proposed measures with the objective of meeting the criteria outlined in the NSW Road Noise Policy (Department of Environment, Climate Change and Water, 2011), based on the operational noise performance of the SSI predicted under (a) above; and</p> <p>(c) where necessary, investigate additional feasible and reasonable noise mitigation measures to achieve the criteria outlined in the NSW Road Noise Policy (DECCW, 2011).</p>	All	All	Pre-construction and Construction	RMS	<p>Following approval of the Operation Noise Management Report (ONMR) and associated consultation on 2 nd June 2015 by DPE , mitigation measures as identified in the ONMR will commence. Low noise pavement has been designed for the first 1.8km of section 1 as required by the ONMR and noise walls will surround the Arrawarra Rest Area.</p> <p>Changes in design has seen 17 previously identified houses no longer requiring treatment and 5 others now eligible. The total to receive treatment is 41 residence.</p> <p>These residence (both eligible and no longer eligible) were notified by letter (Dec 2015) and procurement of the managing contractor is underway to commence the at house treatments.</p>
D12	<p>The Applicant shall prepare and implement a Water Quality Monitoring Program, to monitor the construction and operation impacts of the SSI on surface and groundwater quality and resources and wetlands, prior to construction. The Program shall be prepared in consultation with the OEH, EPA, DPI (Fisheries), NOW, DoE and Rous Water (in relation to the Woodburn borefields), to the satisfaction of the Secretary, and shall include but not necessarily be limited to:</p> <p>(a) identification of surface and groundwater quality monitoring locations (including watercourses, waterbodies and SEPP14 wetlands) which are representative of the potential extent of impacts from the SSI;</p> <p>(b) the results of any groundwater modelling undertaken;</p> <p>(c) identification of works and activities during construction and operation of the SSI, including emergencies and spill events, that have the potential to impact on surface water quality of potentially affected waterways and known Oxleyan Pygmy Perch habitat;</p> <p>(d) development and presentation of parameters and standards against which any changes to water quality will be assessed, having regard to the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2000 (Australian and New Zealand Environment Conservation Council, 2000) or relevant baseline data;</p> <p>(e) representative background monitoring of surface and groundwater quality parameters for a minimum of twelve months (considering seasonality) prior to the commencement of construction, to establish baseline water conditions, unless otherwise agreed by the Secretary;</p> <p>(f) a minimum monitoring period of three years following the completion of construction or until the affected waterways and/or groundwater resources are certified by an independent expert as being rehabilitated to an acceptable condition. The monitoring shall also confirm the establishment of operational water control measures (such as sedimentation basins and vegetation swales);</p> <p>(g) contingency and ameliorative measures in the event that adverse impacts to water quality are identified; and</p> <p>(h) reporting of the monitoring results to Department of Planning and Environment, OEH, EPA, DPI (Fisheries), NOW, DoE and Rous Water (in relation to the Woodburn borefields).</p>	All	All	Pre-construction, Construction and Operation	RMS	<p>The Water Quality Monitoring Program for Sections 1 &amp; 2 was approved by the Department of Planning &amp; Environment on the <b>8/5/15</b>.</p> <p>Contractors for Section 1 &amp; 2 are undertaking surface water quality monitoring in accordance with the approved program.</p> <p>RMS is continuing to monitor groundwater levels and water quality during Construction in accordance with the approved Program.</p>
D13	<p>The Applicant shall prepare and implement a Hydrological Mitigation Report for properties where flooding and/or hydrological impacts are predicted to exceed the relevant flood management objective in the documents listed in condition A2 as a result of the SSI. The Report shall be prepared by a suitably qualified expert and be based on detailed surveys (e.g. floor levels) and associated assessment of potentially flood affected properties in the Corindi, Clarence and Richmond river floodplains. The Report shall:</p> <p>(a) identify properties in those areas likely to have an increased/exacerbated impact and detail the predicted impact; The types of impacts to be considered include all those examined in the EIS including but not limited to changes in flood levels and velocities, alteration to drainage, reduction in flood evacuation access or capability, impacts on infrastructure, impacts on stock and agriculture, and impacts to the environment;</p> <p>(b) identify mitigation measures to be implemented to address these impacts;</p> <p>(c) identify measures to be implemented to minimise scour and dissipate energy at locations where flood velocities are predicted to increase as a result of the SSI and cause localised soil erosion and/or pasture damage;</p> <p>(d) be developed in consultation with the relevant council, NSW State Emergency Service and directly-affected landowners;</p> <p>(e) identify operational and maintenance responsibilities for items (a) to (c) inclusive; and</p> <p>(f) refer to the assessments described in conditions B31 and B32.</p> <p>The report may be submitted in stages to suit the staged construction of the SSI.</p> <p>Construction shall not commence within those areas likely to have altered flood conditions until such time as works identified in the hydrological mitigation report have been completed, unless otherwise agreed by the Secretary.</p>	All	All	Pre-construction	RMS	<p>The Hydrological Mitigation Report for Corindi was submitted for approval to DP&amp;E on 1/05/15.</p> <p>Although soft soil works are located in the Clarence and Richmond river floodplains, flood modelling conducted during the detailed design indicates that hydrological impacts due to the construction of embankments in these areas are not predicted to exceed the relevant flood management objective.</p>
D14	Based on the mitigation measures identified in condition D13, the Applicant shall prepare and implement a final schedule of feasible and reasonable flood mitigation measures proposed at each directly-affected property in consultation with the landowner. The schedule shall be provided to the relevant landowner(s) prior to the implementation/construction of the mitigation works, unless otherwise agreed by the Secretary. A copy of each schedule of flood mitigation measures shall be provided to the Department of Planning and Environment and the relevant council prior to the implementation/construction of the mitigation measures on the property.	All	All	Pre-construction	RMS	<p>The Hydrological Mitigation Report for Corindi was submitted for approval to DP&amp;E on 1/05/15. As outlined in the report, RMS is undertaking community consultation on the Blackadder Safety works mitigation. This work is proposed to be undertaken following the upgrade of Section 1.</p>



Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
D15	The Applicant shall employ a suitably qualified and experienced independent hydrological expert, whose appointment has been endorsed by the Secretary, to deal with all hydrological matters and assist landowners in negotiating feasible and reasonable mitigation measures.	All	All	Pre-construction	RMS	WMAWater Pty Ltd has been appointed as Independent Hydrological Expert for the Woolgoolga to Ballina Project to comply the requirements of Condition D15 on 30 April 2015.
D16	The Applicant shall provide feasible and reasonable assistance to the relevant council and/or NSW State Emergency Service, to prepare any new or necessary update(s) to the relevant plans and documents in relation to flooding, to reflect changes in flooding levels, flows and characteristics as a result of the SSI.	All	All	Pre-construction	RMS	Noted, and will be undertaken as required.  For Corindi, ongoing consultation will occur regarding the Blackadder Ck safety works. Coffs Harbour City Council, in collaboration with the SES, are installing 2 flood gauges on the Corindi Ck system.
D17	The Applicant shall prepare and implement a Signage Policy to addresses the impact of towns (South Grafton, Ulmarra, Tyndale, Woodburn, Broadwater and Wardell) which are bypassed by the SSI, at least six months prior to operation, unless otherwise agreed by the Secretary. The Policy shall be prepared in consultation with the relevant council and to the satisfaction of the Secretary. The Policy shall be consistent with the Guide: Signposting (RTA July 2007), Tourist Signposting guide (RMS and Destination NSW 2012) and provide for signage that: (a) provides information on the range of services available within the bypassed towns of South Grafton, Ulmarra, Tyndale, Woodburn, Broadwater and Wardell; and (b) informs motorists of routes through the bypassed towns that may be taken as an alternative to the highway. The Policy may be submitted in stages to suit the staged construction of the SSI.	3, 8, 9, 10	Stage 2	Pre-construction	RMS	Stage 2
D18	The Applicant shall prepare and implement a Business Access Strategy to address changes to access to businesses along the highway, at least six months prior to operation. The Strategy shall be prepared in consultation with the relevant council, business owners and the New Italy Museum and to the satisfaction of the Secretary. Note • The Applicant may incorporate the requirements of this condition into the Signage Policy for the SSI under condition D17.	All	All	Construction	RMS	Noted
D19	Upon determining the haulage route(s) for construction vehicles associated with the SSI, and prior to construction, an independent and qualified expert shall prepare a Road Dilapidation Report. The Report shall assess the current condition of the road and describe mechanisms to restore any damage that may result due to its use by traffic and transport related to the construction of the SSI. The Report shall be submitted to the relevant council for review prior to the commencement of haulage. Following completion of construction, a subsequent Report shall be prepared to assess any damage to the road that may have resulted from the construction of the SSI. Measures undertaken to restore or reinstate roads affected by the SSI shall be undertaken in a timely manner, in accordance with the reasonable requirements of the relevant council, and at the full expense of the Applicant. Note: • Nothing in this condition restricts the Applicant commencing adjustments and minor upgrades to the existing road network to cater for construction traffic and installation of temporary project signage prior to the commencement of construction.	All	All	Pre-construction and Construction	Contractor	In accordance with RMS Specification G10, each contractor is required to undertake this survey prior to commencing works on the site.  All road dilapidation surveys for the local roads around Section 1 & the Pacific Highway [in the area of Section 1] have been completed.  The road dilapidation report for Section 2 has been completed by CMC and forwarded to RMS and Council.
D20 (a)-(d)	The Applicant shall prepare and implement an Urban Design and Landscape Plan prior to the commencement of permanent built works and/or landscaping, unless otherwise agreed by the Secretary, to present an integrated landscape and design for the SSI. The Plan shall be prepared in accordance with the Roads and Maritime Services urban design and visual guidelines, the design principles outlined in the EIS, and the revegetation principles outlined in the EIS Working Paper—Biodiversity. The Plan shall be prepared by an appropriately qualified expert in consultation with the relevant council and community, to the satisfaction of the Secretary. The Plan shall include, but not necessarily be limited to: (a) identification of design principles and standards based on: (i) local environmental values, (ii) heritage values; (iii) urban design context; (iv) sustainable design and maintenance; (v) community amenity and privacy; (vi) relevant design standards and guidelines; and (vii) the urban design objectives outlined in Section 4.2 of the EIS Working Paper—Urban Design Landscape Character and Visual Impact; (b) the location of existing vegetation and proposed landscaping (including use of indigenous and endemic species where possible). Details of species to be replanted/revegetated shall be provided, including their appropriateness to the area and habitat for threatened species; (c) a description of locations along the corridor directly or indirectly impacted by the construction of the SSI (e.g. temporary ancillary facilities, access tracks, watercourse crossings, etc.) and details of the strategies to progressively rehabilitate regenerate and/or revegetate the locations with the objective of promoting biodiversity outcomes and visual integration; (d) take into account appropriate roadside plantings and landscaping in the vicinity of heritage items and ensure no additional heritage impacts;	All	All	Pre-construction and Construction	RMS and Contractor	For sections 1 & 2, an Urban Design and Landscape Plan that addresses this condition has been submitted and approved by the Department of Planning & Environment on the <b>8/5/15</b> . Innovations in regards to capture of 50 mm of A 1 horizon topsoil to the side of the works and storage of A 2 horizon topsoil beside the larger mulch stockpiles for later remixing and reuse has been developed on the project in consultation with RMS and the Contractor. This innovation has been well received by RMS and agencies.
D20 (e)-(k)	(e) a description of disturbed areas (including borrow sites) and details of the strategies to progressively rehabilitate, regenerate and/or revegetate these areas, including clear objectives and timeframes for rehabilitation works, procedures for monitoring success of regeneration or revegetation, and corrective actions should regeneration or revegetation not conform to the objectives adopted; (f) location and design treatments for any associated footpaths and cyclist elements, and other features such as seating, lighting (in accordance with AS 4282-1997 Control of the Obtrusive Effect of Outdoor Lighting), fencing, materials and signs; (g) an assessment of the visual screening effects of existing vegetation and the proposed landscaping and built elements. Where properties have been identified as likely to experience high visual impact as a result of the SSI and high residual impacts are likely to remain, the Applicant shall, in consultation with affected landowners, identify opportunities for providing at-property landscaping to further screen views of the SSI. Where agreed with the landowner, these measures shall be implemented during the construction of the SSI; (h) graphics such as sections, perspective views and sketches for key elements of the SSI, including, but not limited to built elements of the SSI; (i) strategies for progressive landscaping and other environmental controls such as erosion and sedimentation controls, drainage and noise mitigation; (j) monitoring and maintenance procedures for the built elements, rehabilitated vegetation and landscaping (including weed control), including performance indicators, responsibilities, timing and duration and contingencies where rehabilitation of vegetation and landscaping measures fail; and (k) evidence of consultation with the relevant council and community on the proposed urban design and landscape measures prior to its finalisation. The Plan may be submitted in stages to suit the staged construction program of the SSI.	All	All	Pre-construction and Construction	RMS and Contractor	For sections 1 & 2, An Urban Design and Landscape Plan that addresses this condition has been submitted and approved by the Department of Planning & Environment on the <b>8/5/15</b> □
D21	The Applicant shall prepare and implement an Ancillary Facilities Management Plan to detail the management of ancillary facilities associated with the SSI. The Plan shall be prepared in consultation with the EPA, OEH, DPI (Fisheries), DoE, and the relevant council, and to the satisfaction of the Environmental Representative, and shall include, but not necessarily be limited to: (a) a description of the ancillary facility (including a site layout plan), its components and details of the existing environment on and in the vicinity of the site; (b) details of the activities to be carried out at the facility, including the hours of operation, staging of operation and predicted date of commissioning; (c) a description of the plant, equipment and materials to be used and/or stored on the site, including dangerous and hazardous goods; (d) details of the light and heavy construction vehicle movements to and from each facility, including site access and route(s) to be used during the establishment and operation of the facility, and an assessment of potential construction traffic impacts on the local road network and access tracks; (e) a summary of the potential environmental impacts associated with the construction and operation of the facility; (f) demonstrate compliance with the locational and environmental criteria in condition B73(a)—B73(n); (g) details of the mitigation, monitoring and management procedures specific to the facility that would be implemented to minimise environmental and amenity impacts or, where this is not possible, feasible and reasonable measures to offset these impacts; (h) a description of how the management and mitigation measures set out in the documents listed in condition A2 will be implemented on the site, and if not, justification for such decisions particularly on those sites assessed as having a high risk of flood impacts; (i) an assessment of alternative site layouts where either noise management levels are predicted to be exceeded and acoustic treatment of residences is not proposed, or where such treatment is proposed (consequent to the operational impacts of the SSI) but will not be provided prior to establishment of an ancillary facility; (j) a cumulative noise impact statement for the ancillary facility addressing the worst-case cumulative noise impacts resulting from the concurrent operation of the site (including construction traffic movements to and from the site), nearby construction works within the SSI corridor and any other nearby construction activities associated with other road upgrade projects; (k) identification of the timing for the completion of activities at the facility and how the site will be decommissioned (including any necessary rehabilitation); and (l) mechanisms for the monitoring, review and amendment of this plan. The plan shall be approved by the Environmental Representative prior to the establishment of the ancillary facilities described therein. In considering the approval of the plan, the Environmental Representative shall take into account the Applicant's response to public authority and council comments on the plan. The Applicant may prepare a separate plan for the facility or include multiple sites within a single or multiple management plans.	All	All	Pre-construction and Construction	RMS and Contractor	An Ancillary Facilities Management Plan that addresses this condition has been prepared for each package of works under Stage 1. These documents have been prepared in consultation with EPA, OEH, DPI (Fisheries), DoE, and the relevant council, and to the satisfaction of the Environmental Representative  The overarching Ancillary MP for Sections 1 & 2 were approved by the ER, with each subsequent ancillary facility comprising a separate sub plan to the overarching approved document with approval attained from the ER.

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
D22	The Applicant shall prepare and implement a Borrow Sites Management Plan, to manage the construction, operation and rehabilitation of the borrow sites used to source construction material for the SSI, prior to the commencement of construction at the borrow sites, or as otherwise agreed by the Secretary. The Plan shall be prepared in consultation with the EPA, OEH and DPI (Fisheries) and to the satisfaction of the Secretary, and shall include, but not necessarily be limited to: (a) details of construction/extraction methods and activities carried out at the borrow site; (b) management and mitigation measures to be used to minimise surface and groundwater impacts, Aboriginal and non-Aboriginal heritage, air quality, noise and vibration, biodiversity and visual impacts; (c) consultation with sensitive receivers; and (d) details of the rehabilitation of the borrow site, including future landform and use of the borrow site, landscaping and revegetation, and measures that would be implemented to minimise or manage the ongoing environmental effects of the site. The Plan shall demonstrate that the construction and operation of the Lang Hill borrow site has no adverse impact on the known Oxleyan Pygmy Perch habitat waterway.	5, 6, 8, 10	Stage 2	Construction	Contractor	Stage 2
D23	Prior to the commencement of construction of the SSI, or as otherwise agreed by the Secretary, the Applicant shall nominate for the approval of the Secretary a suitably qualified and experienced Environmental Representative(s) that is independent of the design and construction personnel. The Applicant shall employ the Environmental Representative(s) for the duration of construction, or as otherwise agreed by the Secretary. The Environmental Representative(s) shall: (a) be the principal point of advice in relation to the environmental performance of the SSI; (b) monitor the implementation of environmental management plans and monitoring programs required under this approval and advise the Applicant upon the achievement of these plans/programs; (c) have responsibility for considering and advising the Applicant on matters specified in the conditions of this approval, and other licences and approvals related to the environmental performance and impacts of the SSI; (d) ensure that environmental auditing is undertaken in accordance with the Applicant's Environmental Management System(s); (e) be given the authority to approve/reject minor amendments to the Construction Environment Management Plan. What constitutes a "minor" amendment shall be clearly explained in the Construction Environment Management Plan; (f) be given the authority to approve/reject Out of Hours Works in accordance with condition B17. These works shall be conducted in accordance with the Out of Hours Works Protocol (OOHW Protocol) required in accordance with condition D26(vi); (g) be given the authority to approve/reject ancillary facilities in accordance with conditions B73 and B74 and the Ancillary Facilities Management Plans under condition D21; (h) be given the authority and independence to require reasonable steps be taken to avoid or minimise unintended or adverse environmental impacts, and failing the effectiveness of such steps, to direct that relevant actions be ceased immediately should an adverse impact on the environment be likely to occur; and (i) be consulted in responding to the community concerning the environmental performance of the SSI where the resolution of points of conflict between the Applicant and the community is required	All	All	Pre-construction	RMS	Daniel Saunders from SMEC is the Environmental Representatives that has been appointed for Stage 1 W2B. Back up ER's have also been approved by the Department of Planning and Environment.
D24	The Environmental Representative shall prepare and submit to the Secretary a monthly report on the Environmental Representative's actions and decision on matters specified in condition D23 for the preceding month. The reports shall be submitted for the duration of construction of the SSI, unless otherwise agreed by the Secretary.	All	All	Pre-construction and Construction	ER	Noted.
D25 (a)-(c)	The Applicant shall prepare and implement (following approval) a Construction Environmental Management Plan for the SSI, prior to the commencement of construction, or as otherwise agreed by the Secretary. The Plan shall be prepared in consultation with the EPA, OEH, DPI (Fisheries), NOW and DoE and outline the environmental management practices and procedures that are to be followed during construction, and shall be prepared in consultation with the relevant government agencies and in accordance with the Guideline for the Preparation of Environmental Management Plans (Department of Infrastructure, Planning and Natural Resources, 2004). The Plan shall include, but not necessarily be limited to: (a) a description of activities to be undertaken during construction of the SSI (including staging and scheduling); (b) statutory and other obligations that the Applicant is required to fulfil during construction, including approvals, consultations and agreements required from authorities and other stakeholders under key legislation and policies; (c) a description of the roles and responsibilities for relevant employees involved in the construction of the SSI, including relevant training and induction provisions for ensuring that employees, including contractors and sub-contractors, are aware of their environmental and compliance obligations under these conditions of approval;	All	All	Pre-construction and Construction	Contractor	Utilising the approved Template CEMP, a Construction Environmental Management Plan was prepared and implemented (following approval by the Secretary) for each package of works under Stage 1, prior to the commencement of construction.  The Section 1 CEMP was approved on the 15 May 2015  The Section 2 CEMP was approved on 4 June 2015.
D25 (d)	(d) an environmental risk analysis to identify the key environmental performance issues associated with the construction phase and details of how environmental performance would be managed and monitored to meet acceptable outcomes, including what actions will be taken to address identified potential adverse environmental impacts (including any impacts arising from the staging of the construction of the SSI). In particular, the following environmental performance issues shall be addressed in the Plan: (v) measures to monitor and manage dust emissions including dust from stockpiles, blasting, traffic on unsealed public roads and materials tracking from construction sites onto public roads; (vi) measures to minimise hydrology impacts, including measures to stabilise bed and bank structures as required; (vii) measures for the handling, treatment and management of contaminated materials; (viii) measures to monitor and manage waste generated during construction including but not necessarily limited to: general procedures for waste classification, handling, reuse, and disposal; use of secondary waste material in construction wherever feasible and reasonable; procedures or dealing with green waste including timber and mulch from clearing activities; and measures for reducing demand on water resources (including potential for reuse of treated water from sediment control basins); (ix) measures to monitor and manage spoil, fill and materials stockpile sites including details of how spoil, fill or material would be handled, stockpiled, reused and disposed in a Stockpile Management Protocol. The Protocol shall include details of the locational criteria that would guide the placement of temporary stockpiles, and management measures that would be implemented to avoid/minimise amenity impacts to surrounding residents and environmental risks (including surrounding water courses). Stockpile sites that affect heritage, threatened species, populations or endangered ecological communities require the approval of the Secretary, in consultation with the EPA, OEH and DPI (Fisheries); (x) measures to monitor and manage hazard and risks including emergency management and management measures to address potential risks to the Woodburn borefield drinking water catchment. These measures shall be developed in consultation with Rous Water; (xi) the issues identified in condition D26; (xii) details of community involvement and complaints handling procedures during construction, consistent with the requirement of conditions C1 to C4; (xiii) details of compliance and incident management consistent with the requirements of condition D27; and (xiv) procedures for the periodic review and update of the Construction Environmental Management Plan and Plans required under condition D26, as necessary (including where minor changes can be approved by the Environmental Representative). The Plan shall be submitted for the approval of the Secretary no later than one month prior to the commencement of construction, or as otherwise agreed by the Secretary. The Plan may be prepared in stages, however, construction works shall not commence until written approval of the relevant stage has been received from the Secretary. The approval of a Construction Environmental Management Plan does not relieve the Applicant of any requirement associated with this SSI approval. If there is an inconsistency with an approved Construction Environmental Management Plan and the conditions of this SSI approval, the requirements of this SSI approval shall prevail.	All	All	Pre-construction and Construction	Contractor	Utilising the approved Template CEMP, a Construction Environmental Management Plan was prepared and implemented (following approval by the Secretary) for each package of works under Stage 1, prior to the commencement of construction.  The Section 1 CEMP was approved on the 15 May 2015  The Section 2 CEMP was approved on 4 June 2015.

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
D26 (a)	<p>As part of the Construction Environmental Management Plan for the SSI, the Applicant shall prepare and implement:</p> <p>(a) a Construction Noise and Vibration Management Plan to detail how construction noise and vibration impacts will be minimised and managed. The Plan shall be developed in consultation with the EPA and shall be consistent with the guidelines contained in the Interim Construction Noise Guidelines (DECC, 2009) and shall include, but not necessarily be limited to:</p> <ul style="list-style-type: none"> <li>(i) identification of sensitive receivers and relevant construction noise and vibration goals applicable to the SSI stipulated in this approval;</li> <li>(ii) details of construction activities and an indicative schedule for construction works; including the identification of key noise and/or vibration generating construction activities (based on representative construction scenarios, including at ancillary facilities) that have the potential to generate noise and/or vibration impacts on surrounding sensitive receivers, particularly residential areas;</li> <li>(iii) identification of feasible and reasonable measures proposed to be implemented to minimise and manage construction noise and vibration impacts (including construction traffic noise impacts);</li> <li>(iv) procedures and mitigation measures to ensure relevant vibration and blasting criteria are achieved, including a suitable blast program, applicable buffer distances for vibration intensive works, use of low-vibration generating equipment/vibration dampeners or alternative construction methodology, and pre- and post-construction dilapidation surveys of sensitive structures where blasting and/or vibration is likely to result in damage to buildings and structures (including surveys being undertaken immediately following a monitored exceedances of the criteria); and</li> <li>(v) a description of how the effectiveness of these actions and measures would be monitored during the proposed works, clearly indicating how often this monitoring would be conducted, the locations where monitoring would take place, how the results of this monitoring would be recorded and reported, and, if any exceedances is detected, how any non-compliance would be rectified;</li> <li>(vi) an out-of-hours work (OOHW) protocol for the assessment, management and approval of works outside of standard construction hours as defined in condition B15, including a risk assessment process under which the Environmental Representative may approve out-of-hour construction activities. The OOHW protocol shall detail standard assessment, mitigation and notification requirements for high and low risk out-of-hour works, consultation procedures with the EPA, the relevant council and affected landowners;</li> <li>(i) procedures for notifying sensitive receivers of construction activities that are likely to affect their noise and vibration amenity, as well as procedures for dealing with and responding to noise complaints;</li> <li>(vii) a program for construction noise and vibration monitoring clearly indicating monitoring frequency, location, how the results of this monitoring would be recorded and, procedures to be followed where exceedances of relevant noise and vibration goals are detected; and</li> <li>(viii) mechanisms for the monitoring, review and amendment of this plan.</li> </ul>	All	All	Pre-construction and Construction	Contractor	<p>Utilising the approved Template CEMP, a Construction Environmental Management Plan was prepared and implemented (following approval by the Secretary) for each package of works under Stage 1, prior to the commencement of construction.</p> <p>The Section 1 CEMP and associated Management Plans were approved on the 15 May 2015.</p> <p>The Section 2 CEMP and associated Management Plans were approved on 4 June 2015.</p>
D26 (b)	<p>(b) a Construction Traffic and Access Management Plan to manage construction traffic and access impacts of the SSI. The Plan shall be developed in consultation with the relevant council and shall include, but not necessarily be limited to:</p> <ul style="list-style-type: none"> <li>(i) identification of construction traffic routes and construction traffic volumes (including heavy vehicle/spoil haulage) on these routes;</li> <li>(ii) details of vehicle movements for construction sites and site compounds including parking, dedicated vehicle turning areas, and ingress and egress points;</li> <li>(iii) identification of construction impacts that could result in disruption of traffic, public transport, pedestrian and cycle access, property access, including details of oversize load movements;</li> <li>(iv) details of management measures to minimise traffic impacts, including temporary road work traffic control measures, onsite vehicle queuing and parking areas and management measures to minimise peak time congestion and measures to ensure safe pedestrian and cycle access;</li> <li>(v) details of measures to manage traffic movements, parking, loading and unloading at ancillary facilities during out-of-hours work;</li> <li>(vi) a response plan which sets out a proposed response to any traffic, construction or other incident; and</li> <li>(vii) mechanisms for the monitoring, review and amendment of this plan.</li> </ul>	All	All	Pre-construction and Construction	Contractor	<p>Utilising the approved Template CEMP, a Construction Environmental Management Plan was prepared and implemented (following approval by the Secretary) for each package of works under Stage 1, prior to the commencement of construction.</p> <p>The Section 1 CEMP was approved on the 15 May 2015</p> <p>The Section 2 CEMP was approved on 4 June 2015.</p>
D26 (c)	<p>(c) a Construction Soil and Water Quality Management Plan to manage surface and groundwater impacts during construction of the SSI. The Plan shall be developed in consultation with the EPA, DPI (Fisheries), NOW, Rous Water (in relation to the Woodburn borefield), DoE and the relevant council and include, but not necessarily be limited to:</p> <ul style="list-style-type: none"> <li>(i) details of construction activities and their locations, which have the potential to impact on water courses, storage facilities, stormwater flows, and groundwater;</li> <li>(ii) surface water and ground water impact assessment criteria consistent with Australian and New Zealand Environment Conservation Council (ANZECC) guidelines or relevant site specific baseline data collected for known Oxleyan Pygmy Perch waterways;</li> <li>(iii) management measures to be used to minimise surface and groundwater impacts, including details of how spoil and fill material required by the SSI will be sourced, handled, stockpiled, reused and managed; erosion and sediment control measures; salinity control measures and the consideration of flood events;</li> <li>(iv) a Groundwater and Soil Salinity report should geotechnical investigations determine the presence, extent and severity of soil salinity within the SSI boundary, The report shall detail the outcomes of geotechnical investigations and identify and mitigate impacts to groundwater resources;</li> <li>(v) an Acid Sulfate Soils contingency plan, consistent with the Acid Sulfate Soils Manual, to deal with the unexpected discovery of actual or potential acid sulfate soils, including procedures for the investigation, handling, treatment and management of such soils and water seepage;</li> <li>(vi) a tannin leachate management protocol to manage the stockpiling of mulch and use of cleared vegetation and mulch filters for erosion and sediment control;</li> <li>(vii) an Oxleyan Pygmy Perch habitat waterway management framework to detail the measures and construction methods that will be employed to avoid direct discharge of construction water to known Oxleyan Pygmy Perch habitat waterways and downstream impacts to suitable habitat;</li> <li>(viii) management measures for contaminated material and a contingency plan to be implemented in the case of unanticipated discovery of contaminated material during construction;</li> <li>(ix) a description of how the effectiveness of these actions and measures would be monitored during the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, how the results of the monitoring would be recorded and reported, and, if any exceedances of the criteria is detected how any non-compliance can be rectified; and mechanisms for the monitoring, review and amendment of this plan.</li> </ul>			Pre-construction and Construction	Contractor	<p>Utilising the approved Template CEMP, a Construction Environmental Management Plan was prepared and implemented (following approval by the Secretary) for each package of works under Stage 1, prior to the commencement of construction.</p> <p>The Section 1 CEMP was approved on the 15 May 2015</p> <p>The Section 2 CEMP was approved on 4 June 2015.</p>
D26 (d)	<p>(d) a Construction Heritage Management Plan to detail how construction impacts on Aboriginal and non-Aboriginal heritage will be minimised and managed. The Plan shall be developed in consultation with the OEH, the NSW Heritage Council (for non-Aboriginal heritage) and Registered Aboriginal Parties (for Aboriginal heritage), and include, but not necessarily be limited to:</p> <ul style="list-style-type: none"> <li>(i) in relation to Aboriginal Heritage: <ul style="list-style-type: none"> <li>(A) details of further investigation and identification of Aboriginal cultural heritage sites within the SSI boundary;</li> <li>(B) details of management measures to be carried out in relation to Aboriginal heritage, including a detailed methodology and strategies for protection, monitoring, salvage, and conservation, of sites and items associated with the SSI;</li> <li>(C) procedures for dealing with previously unidentified Aboriginal objects (excluding human remains) including cessation of works in the vicinity, assessment of the significance of the item(s) and determination of appropriate mitigation measures including when works can re-commence by a suitably qualified archaeologist in consultation with Department of Planning and Environment, OEH and Registered Aboriginal Parties and assessment of the consistency of any new Aboriginal heritage impacts against the approved impacts of the SSI, and registering of the new site in the OEH's Aboriginal Heritage Information Management System (AHIMS) register;</li> <li>(D) procedures for dealing with human remains, including cessation of works in the vicinity and notification of Department of Planning and Environment, NSW Police Force, OEH and Registered Aboriginal Parties and not recommencing any works in the area unless authorised by the OEH and/or the NSW Police Force;</li> <li>(E) heritage training and induction processes for construction personnel (including procedures for keeping records of inductions) and obligations under the conditions of this approval including site identification, protection and conservation of Aboriginal cultural heritage; and</li> <li>(F) procedures for ongoing Aboriginal consultation and involvement for the duration of the SSI; and</li> </ul> </li> <li>(ii) in relation to non-Aboriginal Heritage: <ul style="list-style-type: none"> <li>(A) identification of heritage items directly and indirectly affected by the SSI;</li> <li>(B) details of management measures to be implemented to prevent and minimise impacts on heritage items (including further heritage investigations, archival recordings and/or measures to protect unaffected sites during construction works in the vicinity);</li> <li>(C) details of monitoring and reporting requirements for impacts on heritage items;</li> <li>(D) procedures for dealing with previously unidentified heritage objects, (including cessation of works in the vicinity, assessment of the significance of the item(s) and determination of appropriate mitigation measures including when works can re-commence by a suitably qualified and experienced archaeologist in consultation with the OEH, NSW Heritage Council and Department of Planning and Environment, and assessment of the consistency of any new heritage impacts against the approved impacts of the SSI; and</li> <li>(E) heritage training and induction processes for construction personnel (including procedures for keeping records of inductions and obligations under this approval including site identification, protection and conservation of non-Aboriginal cultural heritage; and</li> </ul> </li> <li>(iii) mechanisms for the monitoring, review and amendment of this plan.</li> </ul>	All	All	Pre-construction and Construction	Contractor	<p>Utilising the approved Template CEMP, a Construction Environmental Management Plan was prepared and implemented (following approval by the Secretary) for each package of works under Stage 1, prior to the commencement of construction.</p> <p>The Section 1 CEMP was approved on the 15 May 2015</p> <p>The Section 2 CEMP was approved on 4 June 2015.</p>

Ministers Condition Of Approval	Requirement	Section	Project Stage	Timing	Responsibility	Comment
D26 (e)	<p>(e) a Construction Flora and Fauna Management Plan to detail how construction impacts on ecology will be minimised and managed. The Plan shall be prepared by a suitably qualified and experienced ecologist and developed in consultation with the OEH, DPI (Fisheries) and DoE, and shall include, but not necessarily be limited to:</p> <p>(i) details of pre-construction surveys undertaken by a suitably qualified and experienced ecologist to verify the SSI footprint based on detailed design;</p> <p>(ii) plans for impacted and adjoining areas showing vegetation communities; important flora and fauna habitat areas; locations where threatened species, populations or ecological communities have been recorded; including pre-clearing surveys to confirm the location of threatened flora and fauna species and associated habitat features;</p> <p>(iii) the identification of areas to be cleared and details of management measures (such as fencing, clearing procedures, removal and relocation of fauna during clearing, habitat tree management and construction worker education) to avoid any residual habitat damage or loss and to minimise or eliminate time lags between the removal and subsequent replacement of habitat;</p> <p>(iv) a protocol for the removal and relocation of fauna during clearing, including provision for engagement of a suitably qualified and experienced ecologist to identify locations where they would be present; to oversee clearing activities and facilitate fauna rescue and re-location; and consideration of timing of vegetation clearing with consideration to the avoidance of clearing native vegetation during the breeding/nesting periods of threatened species, where feasible and reasonable;</p> <p>(v) details of general work practices and mitigation measures to be implemented during construction and operation to minimise impacts on native fauna and native vegetation (particularly threatened species and their habitats and EEC) not proposed to be cleared as part of the SSI, including, but not necessarily limited to: fencing of sensitive areas; measures for maintaining existing habitat features (such as bush rock and tree branches etc); seed harvesting and appropriate topsoil management; construction worker education; weed management (including controls to prevent the introduction or spread of <i>Phytophthora cinnamomi</i> and myrtle rust (<i>Puccinia psidii</i> s.l.); erosion and sediment control, including measures to at least maintain habitat values downstream; and progressive re-vegetation;</p> <p>(vi) rehabilitation details, including identification of flora species and sources, and measures for the management and maintenance of rehabilitated areas;</p> <p>(vii) weed management measures focusing on early identification, suppression and control of invasive weeds and effective management controls;</p> <p>(viii) a protocol for managing aquatic and terrestrial pest animal/invasive species and plant species, and pathogens;</p> <p>(ix) consideration of the Threatened Species Management Plans;</p> <p>(x) a description of how the effectiveness of these management measures would be monitored and linked to the monitoring undertaken as part of the Threatened Species Management Plans;</p> <p>(xi) a procedure for dealing with unexpected EEC/threatened species identified during construction, including cessation of work and notification of the OEH, DPI (Fisheries) and DoE, determination of appropriate mitigation measures in consultation with these agencies (including relevant re-location measures) and updating of ecological monitoring and/or biodiversity offset requirements; and</p> <p>(xii) mechanisms for the monitoring, review and amendment of this plan.</p>	All	All	Pre-construction and Construction	Contractor	<p>Utilising the approved Template CEMP, a Construction Environmental Management Plan was prepared and implemented (following approval by the Secretary) for each package of works under Stage 1, prior to the commencement of construction.</p> <p>The Section 1 CEMP was approved on the 15 May 2015 The Section 2 CEMP was approved on 4 June 2015.</p>
D27	<p>The Applicant shall prepare and implement a Compliance Tracking Program, to track compliance with the requirements of this approval, prior to the commencement of construction and operate from the date of its approval to a minimum of one year following commencement of operation, or as otherwise agreed by the Secretary. The Program shall be prepared for the approval of the Secretary, and include, but not necessarily be limited to:</p> <p>(a) provisions for the notification of the Secretary prior to the commencement of construction and prior to the commencement of operation of the SSI (including prior to each stage, where works are being staged);</p> <p>(b) provisions for periodic review of the compliance status of the SSI against the requirements of this approval;</p> <p>(c) provisions for periodic reporting of compliance status to the Secretary, including a Pre-Construction Compliance Report, prior to the commencement of construction, and a Pre-Operation Compliance Report prior to the commencement of operation. These reports may be staged to suit the staged construction/operation of the SSI;</p> <p>(d) a program for independent environmental auditing in accordance with ISO 19011:2003 - Guidelines for Quality and/or Environmental Management Systems Auditing;</p> <p>(e) mechanisms for recording environmental incidents during construction and actions taken in response to those incidents;</p> <p>(f) provisions for reporting environmental incidents to the Secretary and relevant public authorities during construction;</p> <p>(g) procedures for rectifying any non-compliance identified during environmental auditing, review of compliance or incident management; and</p> <p>(h) provisions for ensuring all employees, contractors and sub-contractors are aware of, and comply with, the conditions of this approval relevant to their respective activities.</p>	All	All	Pre-construction and Construction	RMS and Contractor	<p>The Compliance Tracking Program for Stage 1 was approved by the Department of Planning &amp; Environment on the <b>7/5/15</b>.</p> <p>The provisions for periodic reporting including a pre-construction compliance report is being met with this document with 6 monthly reports being provided to the Department of Planning and Environment in accordance with the approved Compliance Tracking Program. The Section 2 pre construction compliance tracking report was submitted on 10 June 2015.</p>
D28	<p>The Applicant shall undertake operational noise monitoring, to compare actual noise performance of the SSI against noise performance predicted in the review of noise mitigation measures required by condition D11, within 12 months of the commencement of operation of the SSI, or as otherwise agreed by the Secretary.</p> <p>The Applicant shall subsequently prepare an Operational Noise Compliance Report to document this monitoring. The Report shall include, but not necessarily be limited to:</p> <p>(a) noise monitoring to assess compliance with the operational noise levels predicted in the review of operational noise mitigation measures required under condition D11 and documents listed in condition A2;</p> <p>(b) a review of the operational noise levels in terms of criteria and noise goals established in the NSW Road Noise Policy 2011;</p> <p>(c) methodology, location and frequency of noise monitoring undertaken, including monitoring sites at which SSI noise levels are ascertained, with specific reference to locations indicative of impacts on sensitive receivers;</p> <p>(d) details of any complaints and enquiries received in relation to operational noise generated by the SSI between the date of commencement of operation and the date the report was prepared;</p> <p>(e) any required recalibrations of the noise model taking into consideration factors such as noise monitoring and actual traffic numbers and proportions;</p> <p>(f) an assessment of the performance and effectiveness of applied noise mitigation measures together with a review and if necessary, reassessment of feasible and reasonable mitigation measures; and</p> <p>(g) identification of additional feasible and reasonable measures to those identified in the review of noise mitigation measures required by condition D11, that would be implemented with the objective of meeting the criteria outlined in the NSW Road Noise Policy 2011, when these measures would be implemented and how their effectiveness would be measured and reported to the Secretary and the EPA.</p> <p>The Applicant shall provide the Secretary and the EPA with a copy of the Operational Noise Report within 60 days of completing the operational noise monitoring referred to in (a) above or as otherwise agreed by the Secretary.</p> <p>Note:</p> <ul style="list-style-type: none"> <li>The audit may be staged to suit the staged operation of the SSI.</li> </ul>	All	All	Operation	RMS	Noted for Sections 1 & 2
D29	<p>Prior to the commencement of operation, the Applicant shall incorporate the SSI into existing environmental management systems administered by the Applicant and prepared in accordance with the AS/NZS ISO 14000 Environmental Management System series.</p> <p>If there is an inconsistency between the existing environmental management systems and the conditions of this SSI approval, the requirements of this SSI approval shall prevail.</p>	All	All	Construction and Operation	RMS	Noted for Sections 1 & 2
D30	<p>Within 12 months of the commencement of operation, and then as required by the Secretary, the Applicant shall commission and pay the full cost of an Independent Environmental Audit of the SSI. This audit shall:</p> <p>(a) be conducted by a suitably qualified, experienced and independent team of experts whose appointment has been endorsed by the Secretary;</p> <p>(b) include consultation with the relevant agencies;</p> <p>(c) assess the environmental performance of the SSI and assess whether it is complying with the requirements in this approval, and any other relevant approvals (including any assessment, plan or program required under these approvals);</p> <p>(d) review the adequacy of any approved strategy, plan or program required under the abovementioned approvals; and</p> <p>(e) recommend measures or actions to improve the environmental performance of the SSI, and/or any strategy, plan or program required under these approvals.</p> <p>Note:</p> <ul style="list-style-type: none"> <li>This audit team shall be led by a suitably qualified auditor, and include experts in biodiversity, noise and vibration, hydrology and any other fields specified by the Secretary.</li> <li>The audit may be staged to suit the staged operation of the SSI.</li> </ul>	All	All	Operation	RMS	Noted for Sections 1 and 2
D31	<p>Within 60 days of commissioning this audit, or as otherwise agreed by the Secretary, the Applicant shall submit a copy of the audit report to the Secretary and relevant public authorities, together with its response to any recommendations contained in the audit report.</p>	All	All	Operation	RMS	Noted for Sections 1 and 2

# COMPLIANCE TRACKING - FEDERAL CONDITIONS OF APPROVAL

## Woolgoolga to Ballina SSI-4963

Part	Requirement	W2B Section	Stage	Timing	Responsibility	Comment
1	The Staging Report as required by NSW approval condition A7 must be submitted to the Minister prior to the commencement of each of the proposed stage(s). In accordance with NSW approval condition A7, the Staging Report must outline how the proposal will be staged. The Staging Report must also outline the threatened species and communities, and migratory species impacted in each stage.	All	All	Pre-construction	RMS	Noted.
2	In order to minimise impacts to threatened species and communities, and migratory species, the approval holder must: a) Adhere to the clearance limits outlined in the NSW approval condition B1 b) Undertake pre-clearance surveys in accordance with NSW approval condition B5 c) Undertake all soil and water management measures in accordance with NSW approval condition B34 d) Design and construct any additional ancillary facilities in accordance with the requirements of NSW approval condition B73 to ensure that no impacts occur to threatened species and communities, and migratory species or their habitat.	All	All	Pre-construction and Construction	RMS and Contractor	Compliance is being achieved for a), b), c) and d)  CEMP, vegetation tracking registers, pre clearing checklists and qualified ecologists are being utilised to ensure and track compliance.
3	In order to minimise impacts to the Oxleyan Pygmy Perch, the approval holder must undertake the action in accordance with NSW approval conditions B7, B8, B9, B13, B40, B41 and B42.	3;11	Stage 2	Pre-construction and Construction	RMS and Contractor	Stage 2
4	In order to minimise impacts to the Giant Barred Frog, the approval holder must undertake the action in accordance with the requirements of NSW approval condition B39.	1, Sections within Stage 2	All	Pre-construction	RMS	For section 1, this has been addressed in detailed design to avoid impact to known GBFrog habitat. Frog fencing has been installed, reducing impacts on GBF and GTF, including additional lengths of frog fencing.
5	In order to ensure the long-term viability of the Ballina Koala population, the approval holder must engage a suitably qualified expert to undertake population viability modelling of the Ballina Koala population over a time period of no less than 50 years, taking into account the impacts resulting from the road upgrade in Section 10. This modelling should consider the current proposed route and any proposed avoidance or mitigation measures as appropriate.	10	Stage 2	Pre-construction	RMS	Stage 2
6	The approval holder must have the modelling required by Condition 5 peer reviewed by a second suitably qualified expert.	10	Stage 2	Pre-construction	RMS	Stage 2
7	In addition to the Koala Management Plan(s) required by NSW approval conditions D8 and D9, to ensure that an unacceptable impact will not occur to the Ballina Koala population, the approval holder must submit for the Minister's approval, a Ballina Koala Plan no less than 3 months prior to commencement of Section 10. The Minister will only approve the plan and the commencement of Section 10 of the action, if the impacts to the Ballina Koala population are demonstrated to be acceptable within the Ballina Koala Plan. The Ballina Koala Plan must include: a) the modelling required by Condition 5 and the results of this modelling, and the peer review required by Condition 6 b) discussion of the future viability of the Ballina Koala population c) in the context of relevant environmental social and economic considerations, any additional avoidance, mitigation or offsets, beyond those required by the NSW approval conditions, proposed to minimise the impacts to the Ballina Koala population; and d) evidence that any additional avoidance and mitigation measures proposed have been considered in the modelling required in Condition 5. The approval holder must not commence Section 10 unless the Ballina Koala Plan has been approved by the Minister. The approved Plan must be implemented.	10	Stage 2	Pre-construction	RMS	Stage 2
8	The approval holder must develop a Koala Management Plan(s) pursuant to the requirements of NSW approval conditions D8 and D9 for each relevant stage(s). The Koala Management Plan must minimise impacts to the Koala to the satisfaction of the Minister and must be submitted to the Minister for approval. The relevant stage(s) cannot commence until the Koala Management Plan for that stage is approved by the Minister. The approved Plan(s) must be implemented.	All	All	Pre-construction	RMS	This plan is included within the FFMP. Only applicable to condition D8.  The Koala Management Plan for Sections 1 & 2 was approved by the Department of Planning & Environment on the 11/5/15. These documents form part of the FFMP's for both Sections.
9	The Koala Management Plan, relevant to Section 10, must be consistent with the approved Ballina Koala Plan and can only be submitted to the Minister for approval after the Ballina Koala Plan has been approved by the Minister.	10	Stage 2	Pre-construction	RMS	Stage 2
10	Should further offsets be required in accordance with NSW approval condition 09(d)) or be proposed as part of the Ballina Koala Plan, these must be in accordance with the EPBC Offsets Policy.	10	Stage 2	Pre-construction	RMS	Stage 2
11	The approval holder must develop a Threatened Mammal Management Plan(s) pursuant to the requirements of NSW approval condition D8 for each stage impacting on the Spotted-tail Quoll and the Long-nosed Potoroo. The Threatened Mammal Management Plan must minimise impacts to the Spotted-tail Quoll and Long-nosed Potoroo to the satisfaction of the Minister and must be submitted to the Minister for approval. The relevant stage(s) cannot commence until the Threatened Mammal Management Plan for that stage is approved by the Minister. The approved Plan(s) must be implemented.	All	All	Pre-construction	RMS	Spotted Tailed Quoll is relevant to sections 1 and 2.  The Threatened Mammal Management Plans for Sections 1 & 2 was approved by the Department of Planning & Environment on the 7/5/15. This plan is included within the FFMP.
12	The approval holder must develop a Threatened Flora Management Plan(s) pursuant to the requirements of NSW approval condition D8 for each stage impacting on EPBC Act listed flora species. The Threatened Flora Management Plan must minimise impacts to EPBC Act listed flora species to the satisfaction of the Minister and be submitted to the Minister for approval. The relevant stage(s) cannot commence until the Threatened Flora Management Plan for that stage is approved by the Minister. The approved Plan(s) must be implemented.	All	All	Pre-construction	RMS	The Threatened Flora Management Plan for Sections 1 & 2 was approved by the Department of Planning & Environment on the 5/5/15. This document is part of the FFMP.
13	The approval holder must develop a Connectivity Strategy(ies) pursuant to the requirements of NSW approval conditions D2 for each stage impacting on Threatened species and ecological communities. The Connectivity Strategy must minimise impacts to Threatened species and ecological communities to the satisfaction of the Minister and must be submitted to the Minister for approval. Commencement of the relevant stage(s) cannot occur until the Connectivity Strategy for that stage is approved by the Minister. The approved strategy(ies) must be implemented.	All	All	Pre-construction	RMS	The Connectivity Strategy was approved by the Department of Planning & Environment on the 11/5/15. This document is part of the FFMP.  Connectivity measures in accordance with the Strategy are being implemented progressively during the construction phase.
14	In order to minimise impacts to threatened species and communities, and migratory species, the approval holder must develop and implement all Frameworks, Strategies, Plans or Programs, in accordance with the requirements of the following NSW approval conditions: a) The Mitigation Framework required by NSW approval condition D1 b) The Connectivity Strategy required by NSW approval condition D2 and the requirements of NSW approval condition B12 c) The Threatened Species Management Plans required by NSW approval condition D8 and D9 d) The Construction Soil and Water Quality Management Plan required by NSW approval condition D26(c) e) The Construction Flora and Fauna Management Plan required by NSW approval condition D26(e) f) The Borrow Site Management Plan required by NSW approval condition D22 g) The Water Quality Monitoring Program required by NSW approval condition D12 h) The Ancillary Facilities Management Plan required by NSW approval condition D21.	All	All	Pre-construction and Construction	RMS and Contractor	These plans have been prepared and are part of the DPE approved CEMP/ FFMP.
15	The approval holder must prepare and implement a Biodiversity Offset Strategy and Biodiversity Offset Package that compensates for any residual significant impacts on threatened species and communities. The Biodiversity Offset Strategy and Biodiversity Offset Package must meet the requirements of the EPBC Offsets Policy and must be submitted to the Minister for approval.	All	All	Pre-construction and Construction	RMS	The Department of Planning & Environment approved an extension of time for the Biodiversity Offset Strategy until 3 months after the start of construction.  The Biodiversity Offset Strategy was approved by the Department of Planning & Environment on the 6/1/16  The Biodiversity Offset Strategy was approved by the Department of the Environment the 7/1/16  RMS will prepare and implement (following approval) a Biodiversity Offset Package, within twenty-four months of approval of the Biodiversity Offset Strategy, or as otherwise agreed by the Secretary.

Part	Requirement	W2B Section	Stage	Timing	Responsibility	Comment
16	The Biodiversity Offset Strategy and Biodiversity Offset Package must be prepared in accordance with the requirements NSW approval conditions D3, D4 and D5.	All	All	Pre-construction and Construction	RMS	A project wide Biodiversity Offset Package will be prepared and updated as required.  The Biodiversity Offset Strategy was approved by the Department of Planning & Environment on the 6/1/16  The Biodiversity Offset Strategy was approved by the Department of the Environment the 7/1/16
17	Commencement cannot occur until the Biodiversity Offset Strategy required by Condition 15 is approved by the Minister. Commencement of the relevant stage(s) cannot occur until the information required by NSW approval condition D4 is approved by the Minister.	All	All	Pre-construction and Construction	RMS	The Department of Planning & Environment approved an extension of time for the Biodiversity Offset Strategy until 3 months after the start of construction.  The Biodiversity Offset Strategy was approved by the Department of Planning & Environment on the 6/1/16  The Biodiversity Offset Strategy was approved by the Department of the Environment the 7/1/16  RMS will prepare and implement (following approval) a Biodiversity Offset Package, within twenty-four months of approval of the Biodiversity Offset Strategy, or as otherwise agreed by the Secretary.
18	The Biodiversity Offset Package required by Condition 15 must be approved by the Minister and the approved Biodiversity Offset Package must be implemented within 24 months of the approval of the Biodiversity Offset Strategy.	All	All	Pre-construction and Construction	RMS	The Department of Planning & Environment approved an extension of time for the Biodiversity Offset Strategy until 3 months after the start of construction.  The Biodiversity Offset Strategy was approved by the Department of Planning & Environment on the 6/1/16  The Biodiversity Offset Strategy was approved by the Department of the Environment the 7/1/16  RMS will prepare and implement (following approval) a Biodiversity Offset Package, within twenty-four months of approval of the Biodiversity Offset Strategy, or as otherwise agreed by the Secretary.
19	Any survey data collected for the project must be collected and recorded so as to conform to a reasonable standard such that it can be readily used by a third party or to data standards notified from time to time by the Department. When requested by the Department, the proponent must provide to the Department all species and ecological survey data and related survey information from ecological surveys undertaken for matters of national environmental significance. This survey data must be provided within 30 business days of request, or in a timeframe agreed to by the Department in writing. The Department may use the survey data for other purposes.	All	All	Pre-construction, Construction and Operation	RMS and Contractor	Noted.
20	Within 14 days after the commencement of the action, the approval holder must advise the Department in writing of the actual date of commencement.	All	All	Construction	RMS	Noted.
21	Within three months of every 12 month anniversary of the commencement of the action, the approval holder must publish a report on their website addressing compliance with each of the conditions of this approval, including implementation of any Frameworks, Strategies, Plans, or Package as specified in the conditions. Documentary evidence providing proof of the date of publication and non-compliance with any of the conditions of this approval must be provided to the Department at the same time as the compliance report is published. The approval holder must continue to publish the report until such time as agreed in writing by the Minister.	All	All	Pre-construction, Construction and Operation	RMS	A project wide report will be prepared and updated as required.
22	Upon the direction of the Minister, the approval holder must ensure that an independent audit of compliance with the conditions of approval is conducted and a report submitted to the Minister. The independent auditor must be approved by the Minister prior to the commencement of the audit. Audit criteria must be agreed to by the Minister and the audit report must address the criteria to the satisfaction of the Minister.	All	All	Pre-construction, Construction and Operation	RMS	Noted.
23	If the approval holder wishes to carry out any activity otherwise than in accordance with Frameworks, Strategies, Plans, Report or Package required by Conditions 7, 8, 10, 11, 12, 14, 15, 16 and 17, the approval holder must submit to the Department for the Minister's written approval a revised version of those Frameworks, Strategies, Plans, Report or Package. The varied activity shall not commence until the Minister has approved the revised plan or agreement in writing. The Minister will not approve a revised plan or agreement, unless the revised plan or agreement would result in an equivalent or improved environmental outcome. If the Minister approves the revised plan or agreement that plan or agreement must be implemented in place of the plan or agreement originally approved.	All	All	Pre-construction and Construction	RMS	Noted.
24	If the Minister believes that it is necessary or convenient for the better protection of listed threatened species or communities to do so, the Minister may request that the approval holder submit for the Minister approval, or make revisions to any Frameworks, Strategies, Plans, Package, or Program specified in the conditions and submit the revised Frameworks, Strategies, Plans, Package, or Program for the Minister's written approval. The approval holder must comply with any such request. The approved or revised approved Frameworks, Strategies, Plans, Package, or Program must be implemented. Unless the Minister has approved the revised management plans, then the approval holder must continue to implement the management plans originally approved, as specified in the conditions.	All	All	Pre-construction and Construction	RMS	Noted.
25	If, at any time after 5 years from the date of this approval, the approval holder has not substantially commenced the action, then the approval holder must not substantially commence the action without the written agreement of the Minister.	All	All	Pre-construction	RMS	Noted.
26	The approval holder must maintain accurate records substantiating all activities associated with or relevant to the conditions of approval, including measures taken to implement the Frameworks, Strategies, Plans, or Package required by this approval, and make them available upon request to the Department. Such records may be subject to audit by the Department or an independent auditor in accordance with section 458 of the EPBC Act, or used to verify compliance with the conditions of approval. Summaries of audits will be posted on the Department's website. The results of audits may also be publicised through the general media.	All	All	Pre-construction and Construction	RMS	The project has an extensive range of measures, including these compliance tables, checklists, inspections and audits to document compliance.
27	Unless otherwise agreed to in writing by the Minister, the approval holder must publish all Frameworks, Strategies, Plans, or Package referred to in these conditions of approval on their website. Each management plan must be published on the website within 1 month of being approved.	All	All	Pre-construction and Construction	RMS	Approved Plans published on the RMS Project Web site.

# COMPLIANCE TRACKING - ENVIRONMENTAL MITIGATION MEASURES

## Woolgoolga to Ballina SSI-4963

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
<b>Aboriginal Heritage</b>							
SPIR-AH1	Aboriginal Cultural Heritage	Where artefact concentrations per square metre (over all depths) encountered are 50 per cent greater than previously encountered, additional salvage excavation using hand tools will be undertaken. If these artefact concentrations are encountered during machine excavation, then machine excavation will stop within 20 metres of the artefact concentrations. Up to, but no more than, an additional six square metres will be excavated in this situation at that site, unless rare features are encountered, in which case discussions with the registered Aboriginal stakeholders and NSW Office of Environment and Heritage will be undertaken to agree on a suitable approach.	All	All	Pre-construction Construction	RMS/Contractor	The methodologies proposed by RPS Group and Navin Officer Heritage Consultants incorporated actions to take if substantially rich deposits of artefacts are located. These actions go over and above the requirements of this Management Measure.
SPIR-AH2	Aboriginal Cultural Heritage	For areas avoided by construction, exclusion zones will be put in place. These will be fenced with high visibility construction webbing or other similar fencing and have a 'Do Not Enter' sign. Exclusion zones will be marked on construction plans and be maintained until construction is completed. A representative of the Local Aboriginal Land Council will be present during establishment of the fencing.	All	All	Construction	RMS/ Contractor	Aboriginal Site Officers are present during the initial installation of the fencing but as agreed with the Lead Archaeologists RMS will send in surveyors to locate the fence more accurately on the project boundary.
SPIR-AH3	Aboriginal Cultural Heritage	If any part of the project (such as an ancillary facility) is located in an area which has not been subject to Aboriginal heritage field survey and assessment, an assessment will be undertaken before that part of the project proceeds.	All	All	Pre-construction	RMS	Due diligence assessments are undertaken for all works that are proposed outside the SSI project boundary prior to such works being undertaken. The due diligence assessment informs the level of assessment that is required in each proposed area.
SPIR-AH4	Aboriginal Cultural Heritage	Salvage excavation and systematic collection of previously recorded artefacts that will be impacted by the project, along with any other impacted sites that are identified prior to or during construction, are to be undertaken by qualified archaeologists in conjunction with the registered Aboriginal stakeholders:  The location of excavations will be within the area of the site to be impacted, and be decided upon in the field by a qualified archaeologist and registered Aboriginal stakeholders.	All	All	Pre-construction	RMS/ Contractor	The methodologies proposed by RPS Group and Navin Officer Heritage Consultants go over and above the requirements of this Management Measure.
SPIR-AH5	Aboriginal Cultural Heritage	Heritage evidence collected will be curated in an appropriate manner, as determined in consultation with the registered Aboriginal stakeholders and the NSW Office of Environment and Heritage and in accordance with the National Parks and Wildlife Act 1974, details of the material's nature and context will also be provided.	All	All	Construction Post-construction	RMS	This will be carried out during the analysis phase.
SPIR-AH6	Aboriginal Cultural Heritage	A detailed technical report documenting the results of the salvage excavations and the archaeological material analysis will be prepared. A summary report (to be made public) will be developed to accompany the technical report.	All	All	Construction Post-construction	RMS	This will be carried out after the analysis phase.
SPIR-AH7	Aboriginal Cultural Heritage	Site records will be lodged with NSW Office of Environment and Heritage for any previously unrecorded evidence that is identified and for any evidence that is salvaged.	All	All	Construction	RMS	This will be carried out on an on-going basis on the discovery of previously unrecorded Aboriginal Heritage evidence.
SPIR-AH8	Aboriginal Cultural Heritage	Aboriginal Site Impact Recording (ASIR) forms will be lodged with the Aboriginal Heritage Information Management Systems (AHIMS) Register within three months of sites being impacted.	All	All	Construction	RMS	All sites on HC2G project have been cleared of heritage constraint by RPS and Aboriginal Stakeholders.
SPIR-AH9	Aboriginal Cultural Heritage	An unexpected finds (including human skeletal remains) procedure will be developed in accordance with Roads and Maritime' Standard Management Procedures: Unexpected Archaeological Finds 2012.	All	All	Construction	RMS/ Contractor	The methodologies proposed by RPS Group and Navin Officer Heritage Consultants go over and above the requirements of this Management Measure for pre-construction works.  <i>This measure will be active during construction.</i>
SPIR-AH10	Aboriginal Cultural Heritage	Aboriginal focus group consultation (through letters or meetings); will occur at least once every six months, prior to and during construction (unless management actions have been completed).	All	All	Pre-construction Construction	RMS	An AFG for Woolgoolga to Wells Crossing was held on the 21 July 2015.  An AFG for Wells Crossing to Iluka Road was held on the July 2015
SPIR-AH11	Aboriginal Cultural Heritage	Aboriginal culture awareness training for all relevant staff and contractors will occur prior to commencing work on-site. This could include information about the Aboriginal culture and history of the locality, the location of sites and items that require protection and movement corridors within the project boundary, heritage management measures and protocols, and legal obligations. This training will be developed in consultation with suitably trained personnel from local Aboriginal organisations represented by the relevant registered stakeholders for that area.	All	All	Pre-construction Construction	RMS/ Contractor	Heritage awareness training is included in Project Induction, capturing all project workforce
SPIR-AH12	Aboriginal Cultural Heritage	An Aboriginal heritage interpretation strategy will be prepared as part of the Aboriginal heritage management plan. Measures will include opportunities for promoting salvage and investigation, the recovery of information, permanent installations and ways of marking the presence of Aboriginal people in the landscape, including, signage, interpretation products such as written materials, and through place naming.	All	All	Pre-construction Construction	RMS	Was proposed to be prepared by Roads and Maritime Environment Branch however still in development
SPIR-AH13	Aboriginal Cultural Heritage	Compliance auditing of the cultural heritage management measures will be undertaken as part of the environmental management audit regime.	All	All	Construction	RMS/ Contractor	Audits undertaken by RMS 23 September 2015 and CMC 22 October 2015 with no deficiencies raised.
SPIR-AH14a	Aboriginal Cultural Heritage	Ancillary facility - Section 1, Site 1a (at Taylors Run 2): <ul style="list-style-type: none"> <li>All previously recorded artefacts must be recovered and removed off-site, and passed to registered Aboriginal stakeholders for reburial or storage at a chosen location, subject to a care agreement being established.</li> <li>If the Aboriginal archaeological site is not to be impacted, an exclusion zone will be established as per management measure AH2.</li> </ul> Ancillary facility - Section 1, Site 1a (at Taylors Run 3): <ul style="list-style-type: none"> <li>Exclusion zones will be established as per management measure AH2.</li> </ul> Ancillary facility - Section 1, Site 1a (at Taylors Run 1): <ul style="list-style-type: none"> <li>The surface scatter portion of this Aboriginal archaeological site outside the proposed ancillary facility will be avoided. An exclusion zone with a buffer of 15 metres of the surface artefact point will be established as per management measure AH2.</li> <li>Any ground disturbance impacts to the archaeological site in the ancillary facility, will require the top soil down to the sterile clay layer to be graded, stockpiled separately (within a portion of the ancillary facility area), and reinstated at the same area following completion of the activity.</li> <li>Any portions of the Aboriginal archaeological site not to be impacted will be protected by exclusion zones as per management measure AH2.</li> </ul> Ancillary facility - Section 1, Site 1a (at WWC37 (22-1-0344)): <ul style="list-style-type: none"> <li>Within the Aboriginal archaeological site in the boundary of the project, after salvage activities, but before any other ground disturbance, the top soil down to the sterile clay layer will be graded from the area, stockpiled separately and used in batters (not fill) of the road/bridge. This will be undertaken in consultation with the relevant registered Aboriginal stakeholders and will be engaged to direct this activity. In addition:</li> <li>The salvage to be excavated by machine is 30 % of the Aboriginal archaeological site.</li> <li>The older house nearest to the river within the Aboriginal archaeological site will be removed, with minimal ground disturbance, before salvage excavations being undertaken, so that this area may be targeted for a portion of the salvage.</li> <li>Their nominated site officers are present during removal of the plastic covering the blueberry bush rows, to identify artefacts on the surface under the plastic – an archaeologist will also be present to document finds.</li> <li>All cultural material recovered will be subject to detailed analysis, which will be included in a technical report, including detailed discussion and interpretation.</li> <li>Any portions of the Aboriginal archaeological site that are not to be impacted will be protected by exclusion zones as per management measure AH2.</li> </ul>	1	Stage 1	Pre-construction Construction	RMS/ RMS/ Contractor	NA



Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-AH14b	Aboriginal Cultural Heritage	Ancillary facility - Section 1, Site 1a, 1b (at WWC39 (22-1-0343)): <ul style="list-style-type: none"> <li>If impact to WWC39 is necessary, salvage excavation of the portion of the Aboriginal archaeological site to be impacted will be undertaken as detailed in the Ancillary facility and design change CHAR (Appendix D of the Submissions/ Preferred Infrastructure Report) and in consultation with RAPs.</li> <li>If impacts to the Aboriginal archaeological site are necessary, following archaeological salvage the top soil down to the sterile clay layer will be graded from the area, stockpiled separately and placed in batters.</li> <li>Where ground disturbance is not necessary, geotextile fabric and crushed rock or similar will be used to protect the ground from compaction.</li> <li>The area of the Aboriginal archaeological site not to be impacted will be protected by an exclusion zone as per management measure AH2.</li> </ul>	1	Stage 1	Pre-construction	RMS	NA
SPIR-AH14c	Aboriginal Cultural Heritage	Ancillary facility - Section 1, Additional site 5: <ul style="list-style-type: none"> <li>Sub-surface test excavation will be undertaken prior to the use of the ancillary facility. This will be conducted in accordance with the methodology used in the working paper, and will occur several months before any ground disturbance in this location. Further recommendations for the Aboriginal archaeological site will then be made in consultation with the registered Aboriginal stakeholders.</li> </ul>	1	Stage 1	Pre-construction	RMS	NA
SPIR-AH14d	Aboriginal Cultural Heritage	Ancillary facility - Section 2, Site 1b (at Lemon Tree Road 1 (13-4-0180)): <ul style="list-style-type: none"> <li>An exclusion zone will be established around this Aboriginal site as per management measure AH2.</li> </ul>	2	Stage 1	Construction	Contractor	Ancillary Facility not utilised.
SPIR-AH14e	Aboriginal Cultural Heritage	Ancillary facility - Section 2, Site 3 (at Kungala Road 1 (13-4-0181)): <ul style="list-style-type: none"> <li>Sub-surface test excavation will be undertaken prior to construction, conducted in accordance with the methodology used in the working paper, and occur several months before any ground disturbance at this location. Further recommendations for the Aboriginal archaeological site will then be made in consultation with the registered Aboriginal stakeholders, including potentially establishing a care agreement will be necessary to enable this.</li> <li>Any portions of the Aboriginal archaeological site that are not to be impacted will be protected by exclusion zones as per management measure AH2.</li> </ul>	2	Stage 1	Pre-construction Construction	RMS/ Contractor	Ancillary Facility not utilised.
SPIR-AH14f	Aboriginal Cultural Heritage	Ancillary facility - Section 2, Site 4 (at Wells Crossing Artefacts 1 (13-4-0183)): <ul style="list-style-type: none"> <li>If this Aboriginal archaeological site is to be impacted, salvage excavation of the portion of the Aboriginal archaeological site to be impacted will be undertaken as detailed in the Ancillary facility and design change CHAR (Appendix D of the Submissions/ Preferred Infrastructure Report) and in consultation with RAPs.</li> </ul>	2	Stage 1	Pre-construction	RMS	Ancillary Facility not utilised.
SPIR-AH14g	Aboriginal Cultural Heritage	Ancillary facility - Section 2, Site 5b (at WWC139 (13-4-0157)): <ul style="list-style-type: none"> <li>The Aboriginal archaeological site that is not to be impacted will be protected by exclusion zones as per management measure AH2.</li> </ul>	3	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-AH14h	Aboriginal Cultural Heritage	Ancillary facility - Section 3, Site 3b (at WX21 Site 8 (09-4-0108)): <ul style="list-style-type: none"> <li>All previously recorded artefacts will be recovered and removed off-site before construction, subject to a care agreement being established.</li> <li>All cultural material recovered will be subject to detailed analysis, which will be included in a technical report, including detailed discussion and interpretation.</li> </ul>	3	Stage 2	Pre-construction	RMS	Stage 2
SPIR-AH14i	Aboriginal Cultural Heritage	Ancillary facility - Section 3, Site 6b (at Old Tucabia Dump 1 (13-4-0184)): <ul style="list-style-type: none"> <li>An exclusion zone will be established at the boundary of the Aboriginal archaeological site (including a buffer based on the drip zone of the tree) as per management measure AH2.</li> </ul>	3	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-AH14j	Aboriginal Cultural Heritage	Ancillary facility - Section 3, Site 9 (at Upper Coldstream 1 (13-4-0182)): <ul style="list-style-type: none"> <li>All previously recorded artefacts will be recovered and removed off-site, subject to a care agreement being established.</li> <li>Any portions of the Aboriginal archaeological site not to be impacted will be protected by exclusion zones as per management measure AH2.</li> </ul>	3	Stage 2	Pre-construction Construction	RMS/Contractor	Stage 2
SPIR-AH14k	Aboriginal Cultural Heritage	Ancillary facility - Section 4, Site 1: <ul style="list-style-type: none"> <li>Sub-surface test excavations will be undertaken in accordance with the methodology used in the working paper, and will occur before any ground disturbance at this location. Further recommendations for the Aboriginal archaeological site will then be made in consultation with the registered Aboriginal stakeholders.</li> </ul>	4	Stage 2	Pre-construction	RMS	Stage 2
SPIR-AH14l	Aboriginal Cultural Heritage	Ancillary facility - Section 4, Site 3: <ul style="list-style-type: none"> <li>This property could not be accessed for field investigations. Sub-surface test excavations are to be undertaken. This will be conducted in accordance with the methodology used in the working paper, and will occur before ground disturbing work for the project or ancillary activities being undertaken at this location. Further recommendations for the Aboriginal archaeological site will then be made in consultation with the RAPs.</li> </ul>	4	Stage 2	Pre-construction	RMS	Stage 2
SPIR-AH14m	Aboriginal Cultural Heritage	Ancillary facility - Section 4, Site 5 (at Hirst 3 (13-1-0192)): <ul style="list-style-type: none"> <li>This Aboriginal archaeological site is to be avoided if possible unless agreement can be reached with the RAPs. An exclusion zone will be established as per management measure AH2.</li> <li>If agreement to use the site is reached with RAPs, salvage excavation of the portion of the Aboriginal archaeological site to be impacted will be undertaken as detailed in the Ancillary facility and design change CHAR (Appendix D of the Submissions/ Preferred Infrastructure Report) and in consultation with RAPs.</li> </ul>	4	Stage 2	Pre-construction	RMS	Stage 2
SPIR-AH14n	Aboriginal Cultural Heritage	Ancillary facility - Section 5, Site 7 (at Mororo Creek 1 (13-1-0191)): <ul style="list-style-type: none"> <li>This Aboriginal archaeological site within the ancillary facility location will be avoided. An exclusion zone at least five metres outside the boundary of the Aboriginal archaeological site will be established as per management measure AH2.</li> </ul>	5	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-AH14o	Aboriginal Cultural Heritage	Ancillary facility - Section 5, Site 5 and Site 7 (at Mororo Creek 2 (13-1-0193)): <ul style="list-style-type: none"> <li>This Aboriginal archaeological site within the ancillary facility location will be avoided. An exclusion zone at least five metres outside the boundary of the Aboriginal archaeological site will be established as per management measure AH2.</li> </ul>	5	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-AH14p	Aboriginal Cultural Heritage	Ancillary facility - Section 7, Site 1: <ul style="list-style-type: none"> <li>A site walk over survey will be undertaken to confirm whether sub-surface test excavations are required. This will be conducted in accordance with the methodology used in the working paper, and will occur several months before any ground disturbance at this location. Further recommendations and use of the Aboriginal archaeological site will be developed in agreement with the registered Aboriginal stakeholders.</li> </ul>	7	Stage 2	Pre-construction	RMS	Stage 2
SPIR-AH14q	Aboriginal Cultural Heritage	Ancillary facility - Section 7, Site 3 (Dubaijeen Site (New Italy 1)): <ul style="list-style-type: none"> <li>Salvage excavation of the portion of the Aboriginal archaeological site to be used will be undertaken as detailed in the Ancillary facility and design change CHAR (Appendix D of the Submissions/ Preferred Infrastructure Report) and in consultation with RAPs. The excavations apply to the portion of the site that be impacted by the project as well as the ancillary facility.</li> <li>Any portions of the Aboriginal archaeological site that are not to be impacted will be protected by exclusion zones as per management measure AH2.</li> </ul>	7	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH14r	Aboriginal Cultural Heritage	Ancillary facility - Section 7, Site 4 (The Gap Rd 1(13-1-0194)): <ul style="list-style-type: none"> <li>If impact to The Gap Rd 1 is necessary, salvage excavation of the portion of the Aboriginal archaeological site to be impacted will be undertaken as detailed in the Ancillary facility and design change CHAR (Appendix D of the Submissions/ Preferred Infrastructure Report) and in consultation with RAPs.</li> <li>Any portions of the Aboriginal archaeological site that are not to be impacted will be protected by exclusion zones will be established as per management measure AH2.</li> </ul>	7	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH14s	Aboriginal Cultural Heritage	Ancillary facility - Section 10, Site 1a: <ul style="list-style-type: none"> <li>A site walk over survey will be undertaken to confirm whether sub-surface test excavation is required. This will be conducted in accordance with the methodology used in the working paper, and will occur several months before any ground disturbance at this location. Further recommendations for the Aboriginal archaeological site will then be made in consultation with the registered Aboriginal stakeholders.</li> </ul>	10	Stage 2	Pre-construction	RMS/ Contractor	Stage 2



Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-AH14t	Aboriginal Cultural Heritage	Ancillary facility - Section 10, ancillary facility 5At Rudgley Site 1 (04-4-0167): <ul style="list-style-type: none"> <li>This Aboriginal archaeological site will be avoided, where practical, using an exclusion zone as per management measure AH2.</li> <li>If avoidance is not possible, salvage excavation of the portion of the Aboriginal archaeological site to be impacted will be undertaken as detailed in the Ancillary facility and design change CHAR (Appendix D of the Submissions/ Preferred Infrastructure Report) and in consultation with RAPs.</li> <li>Any portions of the Aboriginal archaeological site that are not to be impacted will be protected by exclusion zones as per management measure AH2.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH14u	Aboriginal Cultural Heritage	Ancillary facility - Section 10, Site 6 (Site 12 (11-2-0082)): <ul style="list-style-type: none"> <li>If avoidance is not possible, salvage excavation of all portions of the Aboriginal archaeological site to be impacted will be undertaken as detailed in the Ancillary facility and design change CHAR (Appendix D of the Submissions/ Preferred Infrastructure Report) and in consultation with RAPs.</li> <li>Any portions of the Aboriginal archaeological site that are not to be impacted will be protected by exclusion zones as per management measure AH2.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH14v	Aboriginal Cultural Heritage	Ancillary facility - Section 11, Site 1a: <ul style="list-style-type: none"> <li>The ground will be inspected for any Aboriginal archaeological material by an archaeologist and registered Aboriginal stakeholders during and following clearing activities. Any archaeological material will be recorded, removed from the Aboriginal archaeological site, and a suitable location for the material determined in consultation with the stakeholders. An AHIMS record will be submitted for any finds and any locations where the material is to be stored – unless reburied on or near Aboriginal archaeological site, establishing a care agreement will also be necessary.</li> </ul>	11	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH15	Aboriginal Cultural Heritage	Salvage excavation will be undertaken within the portion of the site to be impacted by the project footprint as detailed in the Working paper Aboriginal Cultural Heritage (Woolgoolga to Wells Crossing) and in consultation with RAPs. An exclusion zone will be erected around 40% of the site that will be avoided by construction as per management measure AH2.	1	Stage 1	Pre-construction	RMS	RPS Group are implementing the Approved Methodology.
SPIR-AH16	Aboriginal Cultural Heritage	Salvage excavation will be undertaken within the portion of the site to be impacted by the project footprint as detailed in the Working paper Aboriginal Cultural Heritage (Woolgoolga to Wells Crossing) and in consultation with RAPs.	1	Stage 1	Pre-construction	RMS	RPS Group are implementing the Approved Methodology. WWC 46 A and B cleared and exclusion fencing installed
SPIR-AH17	Aboriginal Cultural Heritage	Prior to ground disturbance to WWC Dirty Creek 1c (22-1-0403), the ground surface be inspected within 50 m of the site for any Aboriginal archaeological material by an archaeologist and RAP nominated site officers. Any archaeological material be recorded, removed from the site, and a suitable location for the material determined in consultation with the RAPs. The AHIMS record will be updated with any new finds and any locations where the material is to be stored – unless reburied on or near site, establishing a care agreement be necessary.	1	Stage 1	Pre-construction	RMS	RPS Group are implementing the Approved Methodology. WWC Dirty Creek 1C salvaged
SPIR-AH18	Aboriginal Cultural Heritage	Salvage excavation be undertaken within the portion of the site to be impacted by the project footprint as detailed in the Working paper Aboriginal Cultural Heritage (Wells Crossing to Iluka Road) and in consultation with RAPs.	4	Stage 2	Pre-construction	RMS	Stage 2
SPIR-AH19	Aboriginal Cultural Heritage	Chaffin Creek scarred tree (Chaffin Creek Tree 2): <ul style="list-style-type: none"> <li>Before construction, an exclusion zone will be established as per management measure AH2. An arborist will be consulted to develop a management strategy to ensure the health and preservation of the tree.</li> </ul>	3	Stage 2	Pre-construction	RMS	Stage 2
SPIR-AH20	Aboriginal Cultural Heritage	Salvage excavation will be undertaken within the portion of the site to be impacted by the project footprint as detailed in the Working paper Aboriginal Cultural Heritage (Iluka Road to Woodburn) and in consultation with RAPs.	8	Stage 2	Pre-construction	RMS	Stage 2
SPIR-AH21	Aboriginal Cultural Heritage	For the Gittoes Jali (09-1-0204, 09-1-0205, 09-1-0203) site: <ul style="list-style-type: none"> <li>Where possible, impacts on the Gittoes Jali site will be reduced or avoided. Avoided areas will be protected by an exclusion fence as per management measure AH2. If avoidance is not an option, then extensive salvage will be undertaken as per the methodology detailed in the Ancillary facilities and design change CHAR (refer to Appendix D of the Submissions/ Preferred Infrastructure Report).</li> <li>Any sediment from the site to 0.6 metre depth proposed to be used outside the site will be sieved to remove any cultural material.</li> </ul> Paint wells and grinding rock: <ul style="list-style-type: none"> <li>Residue analysis will be undertaken to determine if any pigment is found within the wells. This will be undertaken by a suitably qualified consultant.</li> <li>The location of these paint wells will be accurately plotted and drawn.</li> <li>If the paint wells cannot be avoided, they will be relocated; this requires consultation with the registered Aboriginal stakeholders.</li> </ul> Geomorphology assessment: <ul style="list-style-type: none"> <li>A geomorphology assessment will be undertaken. The assessment will be non-invasive, but could use observations of the machine salvage excavation.</li> </ul> Borrow site: <ul style="list-style-type: none"> <li>Haul routes from the project formation to the borrow source that limit direct impacts to Aboriginal heritage will be confirmed in consultation with Registered Aboriginal Parties.</li> </ul>	8	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH22	Aboriginal Cultural Heritage	For the E2/2 (13-1-01-09) site: <ul style="list-style-type: none"> <li>Salvage excavation will be undertaken at and around the shell midden by the project footprint as detailed in the Working paper Aboriginal Cultural Heritage (Woodburn to Ballina) and in consultation with RAPs.</li> <li>Any sediment from the site to 1.5 m metre depth proposed to be used outside sites will be sieved to remove any cultural material.</li> </ul> Shell Midden: <ul style="list-style-type: none"> <li>A sequence of dates (radiocarbon or AMS) will be collected from the hand excavation.</li> <li>All shell recovered will be subject to analysis including minimum number of individuals (MNI) and weight (g). An analysis of the number of individual specimens (NISP) may also be undertaken if deemed appropriate.</li> </ul> Overburden: <ul style="list-style-type: none"> <li>All overburden will be removed and sieved for cultural materials.</li> </ul> Geomorphology assessment: <ul style="list-style-type: none"> <li>A geomorphology assessment will be undertaken. The assessment will be non-invasive, but could use observations of the machine salvage excavation.</li> </ul>	9	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH23	Aboriginal Cultural Heritage	For Site 11 (13-1-0189): <ul style="list-style-type: none"> <li>Salvage excavation will be undertaken by the project footprint as detailed in the Working paper Aboriginal Cultural Heritage (Woodburn to Ballina) and in consultation with RAPs.</li> <li>Any sediment from the sites to 1.5 metre depth proposed to be used outside the site will be sieved to remove any cultural material.</li> </ul> Geomorphology assessment: <ul style="list-style-type: none"> <li>A geomorphology assessment will be undertaken. The assessment will be non-invasive, but could use observations of the machine salvage excavation.</li> </ul>	9	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH24	Aboriginal Cultural Heritage	For the Melino (04-4-0173) site: <ul style="list-style-type: none"> <li>Salvage excavation will be undertaken at the artefact scatter including a discrete knapping floor as detailed in the Working paper Aboriginal Cultural Heritage (Woodburn to Ballina) and in consultation with RAPs.</li> <li>Any sediment from the sites to 1.5 metre depth proposed to be used outside the site will be sieved to remove any cultural material.</li> </ul> Shell Midden: <ul style="list-style-type: none"> <li>Salvage excavations as detailed in the Working paper Aboriginal Cultural Heritage (Woodburn to Ballina) and in consultation with RAPs.</li> <li>A sequence of dates (radiocarbon or AMS) will be collected from the hand excavation.</li> <li>All shell recovered will be subject to analysis including minimum number of individuals (MNI) and weight (g). An analysis of the number of individual specimens (NISP) may also be undertaken if deemed appropriate.</li> </ul> Area surrounding the shell midden: <ul style="list-style-type: none"> <li>Salvage excavations will be undertaken as detailed in the Working paper Aboriginal Cultural Heritage (Woodburn to Ballina) and in consultation with RAPs.</li> </ul> Geomorphology assessment: <ul style="list-style-type: none"> <li>A geomorphology assessment will be undertaken. The assessment will be non-invasive, but could use observations of the machine salvage excavation.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-AH25	Aboriginal Cultural Heritage	For Site 1 (04-4-0179): <ul style="list-style-type: none"> <li>Further salvage excavations will be undertaken as detailed in the Working paper Aboriginal Cultural Heritage (Woodburn to Ballina) and in consultation with RAPs.</li> <li>Any sediment to one metre depth from the site proposed to be used outside the site will be sieved to remove any cultural material.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH26	Aboriginal Cultural Heritage	For Site 2 (04-4-0178): <ul style="list-style-type: none"> <li>Salvage excavation will be undertaken as detailed in the Working paper Aboriginal Cultural Heritage (Woodburn to Ballina) and in consultation with RAPs.</li> <li>Any sediment to 1.5 metres depth from the site proposed to be used outside the site will be sieved to remove any cultural material.</li> <li>Excavation at Site 2 will be undertaken at a time of the year when the water table is at its lowest, to ensure maximum depth can be reached with a machine.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH27	Aboriginal Cultural Heritage	For Site 3 (04-4-0175): <ul style="list-style-type: none"> <li>Further salvage excavations will be undertaken as detailed in the Working paper Aboriginal Cultural Heritage (Woodburn to Ballina) and in consultation with RAPs.</li> <li>Any sediment to 1.5 metres depth from the site proposed to be used outside the site will be sieved to remove any cultural material.</li> <li>Excavation at Site 3 will be undertaken at a time of the year when the water table is at its lowest, to ensure maximum depth can be reached with a machine.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH28	Aboriginal Cultural Heritage	For Site 4 (04-04-0132): <ul style="list-style-type: none"> <li>Further salvage excavations will be undertaken as detailed in the Working paper Aboriginal Cultural Heritage (Woodburn to Ballina) and in consultation with RAPs.</li> <li>Any sediment to 0.5 metre depth from the site proposed to be used outside the site will be sieved to remove any cultural material.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH29	Aboriginal Cultural Heritage	For Site 12 (04-4-0176): <ul style="list-style-type: none"> <li>An exclusion zone be established at the boundary of the site where construction is to occur within 10 m of the site, as per management measure AH2.</li> </ul>	10, 11	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH30	Aboriginal Cultural Heritage	For the Gumi site (04-4-0180): <ul style="list-style-type: none"> <li>The tree (registered on AHIMS database) will be removed and the trunk will be relocated to an area agreed to with the registered stakeholder groups and Roads and Maritime – an arborist will be consulted to guide in the removal of the tree.</li> <li>The final tree location will be visually protected with culturally sensitive plantings or by existing vegetation.</li> <li>Access to the tree will be provided for local Aboriginal people to enable them to be able to use the tree as a teaching site.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH31	Aboriginal Cultural Heritage	For the Melino Scarred Tree 4 (04-4-0166) site: <ul style="list-style-type: none"> <li>Prior to construction a 15 metre exclusion zone will be established around the scarred tree as per management measure AH2.</li> <li>An arborist will be consulted to develop an ongoing management strategy to ensure the preservation and health of the tree.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH32	Aboriginal Cultural Heritage	For the MST3 (04-4-0131) site: <ul style="list-style-type: none"> <li>Prior to construction a 15 metre exclusion zone will be established around the scarred trees as per management measure AH2.</li> <li>An arborist will be consulted to develop an ongoing management strategy to ensure the preservation and health of the tree.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH33	Aboriginal Cultural Heritage	For the C21 (04-4-0107) site: <ul style="list-style-type: none"> <li>Prior to construction a 15 metre exclusion zone will be established around the scarred trees as per management measure AH2.</li> <li>An arborist will be consulted to develop an ongoing management strategy to ensure the preservation and health of the tree.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH34	Aboriginal Cultural Heritage	For the MSRT2 (04-4-0130) site: <ul style="list-style-type: none"> <li>Prior to construction a 15 metre exclusion zone will be established around the scarred trees as per management measure AH2.</li> <li>An arborist will be consulted to develop an ongoing management strategy to ensure the preservation and health of the tree.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH35	Aboriginal Cultural Heritage	For the Rudgley Scarred Tree (04-4-0170) site: <ul style="list-style-type: none"> <li>Prior to construction a 15 metre exclusion zone will be established around the scarred trees as per management measure AH2.</li> <li>An arborist will be consulted to develop an ongoing management strategy to ensure the preservation and health of the tree.</li> </ul>	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH36	Aboriginal Cultural Heritage	An exclusion zone will be established 5 metres from the boundary of Rudgley Scarred Tree 2 as per management measure AH2.	10	Stage 2	Pre-construction	RMS/ Contractor	Stage 2
SPIR-AH37	Aboriginal Cultural Heritage	The area of site to be impacted be subject to salvage excavation as detailed in the Addendum CHAR (Appendix D of the Submissions/ Preferred Infrastructure Report) and in consultation with RAPs. All cultural material recovered will be subject to detailed analysis, interpretation and reporting.	10	Stage 2	Pre-construction	RMS/ RMS	Stage 2
SPIR-AH38	Aboriginal Cultural Heritage	Educational and cultural signage will be placed at viable locations along the highway in this locality, potentially describing the history of Aboriginal occupation of the area. At a minimum, signage will include acknowledging the area as the traditional lands of the Gumbaynggir peoples. Any signage will be subject to approval by the registered Aboriginal stakeholders.	1	Stage 1	Pre-construction Construction	RMS/ Contractor	This is being managed as part of site inductions using the training packages as per the approved Cultural Heritage Management Plan under the CEMP.  Interpretation Signage to be included within the Arrawarra Rest Area.
SPIR-AH39	Aboriginal Cultural Heritage	Tyndale and Woodford Island Corridors of Movement: <ul style="list-style-type: none"> <li>Pedestrian access across the project will be provided, if reasonable and feasible within the existing local road network, to maintain the connectivity of this corridor of movement.</li> </ul>	3	Stage 2	Pre-construction Detailed Design Construction	RMS/ Contractor	Stage 2
SPIR-AH40	Aboriginal Cultural Heritage	Pillar Valley Corridors of Movement: <ul style="list-style-type: none"> <li>Pedestrian access across the project will be provided, if reasonable and feasible within the existing local road network, to maintain the connectivity of this corridor of movement.</li> </ul>	3	Stage 2	Pre-construction Detailed Design Construction	RMS/ Contractor	Stage 2
SPIR-AH41	Aboriginal Cultural Heritage	Place B: <ul style="list-style-type: none"> <li>To maintain connectivity, access will be provided across the project area, from the end of Richmond Road, Pine Tree Road, or Fischer Street to Broadwater National Park during construction and operation, in consultation with the traditional owners.</li> <li>Pedestrian access within the project boundary will be provided, where feasible and reasonable from the eastern side of the project to the western side of Broadwater National Park. A connection from the existing Pacific Highway to Broadwater National Park along Eversons Lane be considered, in consultation with traditional owners and relevant land owners.</li> </ul>	9, 10	Stage 2	Pre-construction Detailed Design Construction	RMS/ Contractor	Stage 2
SPIR-AH42	Aboriginal Cultural Heritage	Place D: <ul style="list-style-type: none"> <li>Welcome to country signage will be installed within the highway corridor between Woodburn and Wardell and information on culture installed at the rest area in Section 10, as agreed with the registered Aboriginal parties.</li> </ul>	9, 10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH43	Aboriginal Cultural Heritage	Place K: <ul style="list-style-type: none"> <li>A geomorphological assessment will be undertaken, including the geomorphological setting of the archaeological sites within this landscape, and how the landscape has formed and changed over the last 40,000 years. This take into account both the cultural and scientific significance of the place.</li> <li>A report will be produced by a geomorphologist in conjunction with an archaeologist / anthropologist.</li> </ul>	11	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-AH44	Aboriginal Cultural Heritage	Place E: <ul style="list-style-type: none"> <li>This place will be fenced prior to and during construction to avoid incidental impact.</li> <li>Surface water runoff from the construction site or from the highway pavement during operation of the project will be prevented from directly entering into Place E.</li> </ul>	9	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH45	Aboriginal Cultural Heritage	Place C: <ul style="list-style-type: none"> <li>An education package will be prepared. This will include at a minimum a printed document detailing the story of the occupation of this area and the ensuing massacre. Further research and interviews will be undertaken for this purpose. Where possible, oral recordings and/or video footage will also be compiled into the package.</li> <li>Caution will be undertaken in and around the project in this area with regard to potential human remains.</li> </ul>	9, 10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-AH46	Aboriginal Cultural Heritage	Before construction at Mororo Road, between station 97.45 and 98.9, a field inspection of the area to be cleared and excavated will be undertaken by an Aboriginal heritage consultant with Registered Aboriginal Parties.	6	Stage 2	Pre-construction	RMS	Stage 2
SPIR-AH47	Aboriginal Cultural Heritage	As the property occurs in an area of low-moderate Aboriginal heritage potential, survey, and if necessary test excavation, should be undertaken to determine the presence and extent of potential archaeological evidence. This will be conducted in accordance with the methodology agreed with RAPs, and prior to ground disturbing works for the project and/or proposed design change. Further recommendations for the site will then be made in consultation with the RAPs.	10	Stage 2	Pre-construction	RMS	Stage 2
SPIR-AH48	Aboriginal Cultural Heritage	The area of this site to be impacted will be subject to salvage excavation as detailed in the Addendum CHAR (Appendix D of the Submissions/ Preferred Infrastructure Report) and in consultation with RAPs. All cultural material recovered will be subject to detailed analysis, interpretation and reporting. The portion of the site that not be impacted (at least 70%), will be protected by fencing as per management measure AH2.	10	Stage 2	Pre-construction	RMS	Stage 2
<b>Air Quality</b>							
SPIR-AQ1	Air Quality	An air quality management plan will be prepared and implemented by the contractor during construction to mitigate dust. The air quality management plan will address all aspects of construction including spoil handling, machinery operating procedures, soft soil treatments, stockpile management, traffic management, haulage, dust suppression and monitoring. The following dust mitigation measures will be used on-site and included as part of the management plan: <ul style="list-style-type: none"> <li>Covering materials transported to and from construction sites.</li> <li>Covering or spraying water on stockpiles of soil or other potential dust generating materials, particularly during dry or windy conditions.</li> <li>Temporarily seed and stabilise temporary stockpiles that are planned to be in place for long periods.</li> <li>Imposing speed limits for vehicles and equipment travelling on unsealed surfaces.</li> <li>Minimising the extent of disturbed areas as far as practicable. This will be achieved by staging the works to minimise the number of disturbed areas at any one time.</li> <li>Progressively rehabilitating disturbed areas as soon as practicable.</li> <li>Suppressing dust on unsealed surfaces, temporary roadways, stockpiles and other exposed areas using water trucks, hand held hoses, temporary vegetation and other practices.</li> <li>Modifying or stopping dust generating activities during very windy conditions.</li> <li>Installing wheel wash facilities at appropriate locations to reduce tracking of mud and soil off-site.</li> <li>Monitoring air quality, both visually, using instrumentation and/or depositional dust gauges, near representative sensitive receptors to verify the effectiveness of controls.</li> <li>Amend controls where necessary to minimise any impacts identified through monitoring, consider the use of mitigation measures (such as covers) where dust is impacting water tanks or other drinking water sources, and cannot be controlled at the dust source.</li> </ul>	All	All	Construction	RMS/ Contractor	The Section 1 CEMP and associated Management Plans were approved on the 15 May 2015.  The Section 2 CEMP and associated Management Plans were approved on the 4 June 2015.
<b>Biodiversity</b>							
SPIR-B1	Biodiversity	The Ecological Monitoring Program (Appendix K of the PIR) will be finalised in consultation with relevant State and Commonwealth agencies and incorporate any specific conditions of approval and feedback from the expert review.	All	All	Pre-construction	RMS	No Ecological Monitoring Program Required
SPIR-B2	Biodiversity	The Connectivity Strategy will be further developed during detailed design, in consultation with relevant State and Commonwealth agencies, building upon the Connectivity Strategy in Appendix A of the Working paper – Biodiversity and the Supplementary Biodiversity Assessment in Appendix J of the Submissions / Preferred Infrastructure Report.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	The Connectivity Strategy for Sections 1 and 2 was approved by the Department of Planning & Environment on the 11/5/15. This document is part of the CEMP FFMP.
SPIR-B3	Biodiversity	All fauna connectivity structures will be developed in accordance with the design principles outlined in the Connectivity Strategy in Appendix A of the Working paper – Biodiversity and the Supplementary Biodiversity Assessment in Appendix J of the Submissions / Preferred Infrastructure Report.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Completed as required in accordance with the approved Connectivity Strategy
SPIR-B4	Biodiversity	Opportunities for improved connectivity for koala and Long-nosed Potoroo will be further investigated between station 144.2 and station 146.6.	9 and 10	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-B5	Biodiversity	Fauna exclusion fencing locations and design will be further developed in accordance with the design principles outlined in the Connectivity Strategy in Appendix A of the Working paper – Biodiversity.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Ongoing review and assessment of final treatment to ensure outcomes are in accordance with the approved Connectivity Strategy
SPIR-B6	Biodiversity	Fauna exclusion fencing in low-lying floodplains between stations 35.0 and 80.2 will where feasible and reasonable, be placed higher on fill embankments to reduce damage from flooding.	3 and 4	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-B7	Biodiversity	Tree height surveys will be conducted at proposed arboreal crossing zones to determine the most appropriate location to place rope or pole structures. Where feasible, the design will place arboreal crossing zones where average tree heights exceed 20 metres, and/ or taller trees are able to be safely retained close to the road edge.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Completed for Sections 1 & 2 at widened median locations. Rope bridge within Section 2 was relocated slightly in consultation with the EPA to provide for a better connectivity outcome.
SPIR-B8	Biodiversity	The design and construction of fauna exclusion fencing, drainage or fauna underpass structures in widened medians minimise vegetation clearing.	1, 2 and 7	All	Pre-construction Detailed Design	RMS/ Detailed Designer/ Contractor	Ongoing review and assessment of final treatment to ensure outcomes are in accordance with the approved Connectivity Strategy
SPIR-B9	Biodiversity	Where feasible and reasonable, native vegetation forming part of the identified widened medians will not be disturbed for any ancillary construction purpose including access tracks, stockpiles, materials lay down and ancillary facilities.	1, 2 and 7	All	Construction	RMS/ Contractor	There has not been any disturbance of widened median vegetation.
SPIR-B10	Biodiversity	A Flora and Fauna Management Plan will be prepared in accordance with Roads and Maritime Biodiversity Guidelines – Protecting and managing biodiversity on RTA projects (RTA, 2011a).	All	All	Pre-construction	RMS/ Contractor	The Section 1 CEMP and associated Management Plans were approved on the 15 May 2015.

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-B11	Biodiversity	The threatened species management plans prepared for the project will be finalised, as relevant to the element of the project to be constructed. Development of the plans will include responding, where feasible and reasonable to: <ul style="list-style-type: none"> <li>• Recommendations from expert review undertaken as part of the Submissions / Preferred Infrastructure Report (and detailed in section 1.4 of the management plans).</li> <li>• Any conditions of approval.</li> <li>• Results from baseline monitoring undertaken.</li> </ul> The threatened species management plans will be finalised in consultation with the relevant State and Federal government agencies.	All	All	Pre-construction	RMS	The Threatened Flora Management Plan for Sections 1 & 2 was approved by the Department of Planning & Environment on the <b>5/5/15</b> .  The Threatened Mammal Management Plan for Sections 1 & 2 was approved by the Department of Planning & Environment on the <b>12/5/15</b> .  The Threatened Frog Management Plan was approved by the Department of Planning & Environment on the <b>7/5/15</b> .  The Threatened Glider Management Plan was approved by the Department of Planning & Environment on the <b>5/5/15</b> .  The Threatened Bat Management Plan for Sections 1 & 2 was approved by the Department of Planning & Environment on the <b>29/9/14</b> .  The Koala Management Plan for Sections 1 & 2 was approved by the Department of Planning & Environment on the <b>11/5/15</b> . These documents are part of the FFMP.
SPIR-B12	Biodiversity	A landscape management plan will be developed to provide specific details for the re-establishment of native vegetation on batters, cut faces, surrounding sediment basins and other areas disturbed during construction. This includes details for the appropriate removal and restoration of temporary creek crossings. The landscape management plan will be developed in line with Roads and Maritime Biodiversity Guidelines (RTA, 2011a), the design principles identified in the Connectivity Strategy and the design principles in Working paper – Urban design, landscape character and visual impact.	All	All	Pre-construction	RMS	The Urban Design Landscape Plan was approved by the Department of Planning & Environment on the <b>8/5/15</b>
SPIR-B13	Biodiversity	Disturbance and clearing of vegetation will be minimised, particularly: <ul style="list-style-type: none"> <li>• Avoiding and minimising vegetation removal wherever possible through the detailed design process.</li> <li>• Placing water quality basins in the optimal location for treating surface runoff. During detailed design, the location of water quality treatment measures will consider minimising vegetation removal, particularly where there is the potential for threatened plant species, threatened fauna habitat or in identified regional wildlife corridors.</li> </ul>	All	All	Pre-construction Detailed Design Construction	RMS/ Contractor	Design and clearing limits were focused on minimising clearing wherever possible during detailed design. The contractor minimised clearing during construction clearing to ensure compliance with the approved clearing quantities as per MCoA B1. Section 2 has achieved vegetation savings include riparian zones at Halfway Creek and Wells Crossing including savings to EEC and threatened species.
SPIR-B14	Biodiversity	In stream structures such as bridges and culverts will be designed and managed to minimise any potential impact to flow regimes and fish passage, in accordance with Fairfull and Witheridge (2003).	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer/ Contractor	This has been completed utilising input from DPI / EPA
SPIR-B15	Biodiversity	During detailed design, the waterway class will be confirmed and the design will be reviewed to include appropriate crossing structures for the relevant waterway class at the following locations: <ul style="list-style-type: none"> <li>• Unnamed waterway station 114.0</li> <li>• Oaky Creek station 122.5</li> <li>• Nortons Gully station 123.6</li> <li>• Unnamed waterway station 133.4</li> <li>• Unnamed waterway at station 134.7</li> <li>• Tributary of Macdonalds Creek at station 135.5</li> <li>• Montis Gully tributary at station 141.8</li> <li>• Eversons Creek station 143.6</li> </ul>	7 and 8	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-B16	Biodiversity	All drainage structures between stations 134.5 to 143.0 will be reviewed in consultation with Department of Primary Industries (Fisheries) to ensure suitable connectivity for threatened fish species is maintained.	8 and 9	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer/ Contractor	Stage 2
SPIR-B17	Biodiversity	Each permanent waterway crossing is to be designed to ensure no physical, hydraulic and behavioural barriers to aquatic fauna movements. Impacts be minimised by ensuring that: <ul style="list-style-type: none"> <li>• The natural stream flow and velocity are maintained as closely as possible.</li> <li>• Surface level of any causeway is the same or lower than the natural stream bed to reduce interference with flow.</li> <li>• Habitat within a culvert is as natural as possible (eg allow rock and bed materials to infill the culvert base).</li> <li>• There is the maximum light penetration.</li> <li>• Fauna and fish passage standards are maintained, as detailed in the Connectivity Strategy, including minimum design widths, including for natural banks, while also providing for scour protection and cut and fill batters.</li> <li>• Bridges will be designed and sized to ensure peak flood velocities are not increased by more than one metre per second than the existing flood event, where Oxleyan Pygmy Perch have been confirmed.</li> </ul>	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	This has been completed utilising input from DPI / EPA
SPIR-B18	Biodiversity	Bridge structures will be designed to minimise impacts to flow regimes and fish passage. Where feasible and reasonable the following principles will apply: <ul style="list-style-type: none"> <li>• Bridge piers to be located outside the main channel.</li> <li>• Bridge structures to be designed to prevent an increase of backup of water during times of flood that will enable Plague Minnow to access waterbodies where they are currently not found (eg Broadwater National Park).</li> <li>• Construction not alter or reduce flow where there are existing or potential Oxleyan Pygmy Perch populations (primarily within Sections 7, 8 and 9).</li> </ul>	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	For Sections 1 & 2, bridge structure design has been completed in accordance with these principals
SPIR-B19	Biodiversity	Where temporary access tracks are required over drainage lines with no flow, fords may be installed.	All	All	Detailed Design Construction	Contractor	Waterway crossings have been installed in accordance with Blue Book and Progressive Erosion and Sediment Control Plan approved by project soil conservationist. Crossings have been inspected during monthly ERG inspections.
SPIR-B20	Biodiversity	Where possible, existing crossings will be used. Where this is not feasible or reasonable, the temporary crossings will be designed to minimise impacts on the existing aquatic ecology and water quality.	All	All	Construction	RMS/ Contractor	Waterway crossings have been installed in accordance with Blue Book and Progressive Erosion and Sediment Control Plan approved by project soil conservationist. Crossings have been inspected during monthly ERG inspections.
SPIR-B21	Biodiversity	Temporary waterway access track mitigation measures include: <ul style="list-style-type: none"> <li>• Installation and subsequent decommissioning of temporary crossings will be undertaken outside of Oxleyan Pygmy Perch spawning seasons (October to December), where Oxleyan Pygmy Perch have been confirmed.</li> <li>• Temporary crossings will be constructed from clean fill using pipe or box culvert cells to carry flows.</li> <li>• All temporary works (eg crossings, flow diversion barriers) will be removed as soon as practicable and in a way that does not promote future channel erosion.</li> <li>• The preferred temporary structure for crossing waterways will be consistent with Witheridge (2002).</li> <li>• Scour protection works will be established at temporary crossings as required.</li> <li>• At the completion of construction, the temporary crossings will be removed and rehabilitated.</li> </ul>	All	All	Detailed Design Construction	RMS/ Contractor	Temporary Crossings Designed in consultation with ERG, including these provisions
SPIR-B22	Biodiversity	Fish that become stranded due to temporary access crossings or construction of temporary or permanent creek diversions must be captured and translocated following the Department of Primary Industries Fisheries Guidelines – A Guide to Acceptable Procedures and Practices for Aquaculture and Fisheries Research.	All	All	Construction	Contractor	No blockages to fish passage have occurred due to temporary access crossings.

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPiR-B23	Biodiversity	The pre-clearing process will be consistent with Roads and Maritime Biodiversity Guidelines: Protecting and Managing Biodiversity on RTA projects (RTA, 2011a) and include: <ul style="list-style-type: none"> <li>Pre-clearing surveys by an experienced ecologist for large bird nests, particularly for listed species such as the Black-necked Stork, Eastern Osprey, Square-tailed Kite and Little Eagle during the nesting and breeding season (July to December) and tree roosting (eg Southern Myotis) or cave dwelling bats in trees or existing culvert/bridge structures. If the species is present in or directly adjacent to the project footprint (including ancillary facilities), measures to manage any species be considered, if required.</li> <li>Mapping the location of any threatened flora and/or fauna species, Threatened Ecological Communities and habitat.</li> <li>Construction traffic will be restricted to defined access tracks, fenced prior to the start of construction and maintained until construction is complete.</li> </ul>	All	All	Pre-construction Construction	RMS/ Contractor	Included in approved Construction Flora and Fauna Management Plan
SPiR-B24	Biodiversity	The location of exclusion zones will be identified, with temporary fencing or flagging tape to indicate the limits of clearing (in accordance with the Roads and Maritime Biodiversity Guidelines (RTA, 2011a)). Permanent fauna exclusion fencing for the project (as described in the Connectivity Strategy), where reasonable and feasible, will be installed prior to clearing and can function as exclusion fencing.	All	All	Construction	RMS/ Contractor	Implemented in accordance with approved Construction Flora and Fauna Management Plan
SPiR-B25	Biodiversity	A staged habitat removal process will be implemented consistent with the Roads and Maritime Biodiversity Guidelines (RTA, 2011a).	All	All	Construction	RMS/ Contractor	Implemented in accordance with approved Construction Flora and Fauna Management Plan
SPiR-B26	Biodiversity	Woody debris and bushrock will be re-used on site for habitat improvement where possible and will be detailed in the landscape management plan in accordance with the Roads and Maritime Biodiversity Guidelines (RTA, 2011a).	All	All	Construction	Contractor	Implemented in accordance with approved Construction Flora and Fauna Management Plan
SPiR-B27	Biodiversity	A weed management plan will be developed as part of the CEMP, in accordance with the Roads and Maritime Biodiversity Guidelines (RTA, 2011a) and the Introductory Weed Management Manual (Richards, 2004).	All	All	Pre-construction Construction	RMS/ Contractor	Included as Appendix in approved Construction Flora and Fauna Management Plan
SPiR-B28	Biodiversity	A site assessment by an ecologist or person trained in weed identification will be undertaken to identify the presence and extent of Alligator weed. If present, management measures in the Weed Management Plan will be in accordance with the Department of Primary Industries Alligator Weed control manual (van Oosterhout, 2007).	7, 8, 9, 10	Stage 2	Pre-construction	RMS	Included as Appendix in approved Construction Flora and Fauna Management Plan
SPiR-B29	Biodiversity	Measures to prevent the introduction and/or spread of pests and disease causing agents such as bacteria and fungi will be incorporated into the CEMP, in accordance with the Roads and Maritime Biodiversity Guidelines (RTA, 2011a).	All	All	Pre-construction Construction	RMS/ Contractor	Included as Appendix in approved Construction Flora and Fauna Management Plan
SPiR-B30	Biodiversity	If pathogens are identified on site: <ul style="list-style-type: none"> <li>Testing may be required to confirm the presence of pathogens.</li> <li>Advice from government departments will be sought on practical hygiene management measures.</li> <li>Fenced exclusion zones will be identified to restrict access into contaminated areas.</li> </ul>	All	All	Construction	RMS/ Contractor	Included as Appendix in approved Construction Flora and Fauna Management Plan
SPiR-B31	Biodiversity	Nest boxes be installed as per Roads and Maritime Biodiversity Guidelines (RTA, 2011a) and a nest box strategy developed as part of the CEMP, detailing: <ul style="list-style-type: none"> <li>The number and type of nest boxes required based on the number, quality and size of the hollows that be removed.</li> <li>Specifications for nest box dimensions, installation requirements, locations of nest boxes and ongoing monitoring and maintenance.</li> <li>Installation timeframes, including the installation of 70 % of nest boxes prior to the removal of any vegetation in the vicinity of the hollows.</li> </ul>	All	All	Pre-construction Construction	RMS	The Nest Box Plan for Sections 1 & 2 was approved by the Department of Planning & Environment on the <b>17/2/15</b> .
SPiR-B32	Biodiversity	To prevent injury and mortality of fauna during the clearing of vegetation and drainage of farm dams, an experienced and licensed wildlife carer and/or ecologist will be present to capture and relocate fauna where required. Further details regarding fauna handling and vegetation clearing procedures are provided in the Roads and Maritime Biodiversity Guidelines (RTA, 2011a).	All	All	Construction	RMS	Ecosure engaged to undertake aquatic salvage at Section 2. Reports prepared and forwarded to DPI(Fisheries). DPI(Fisheries) confirmed satisfaction with process and advised salvage process and report was of high quality.
SPiR-B33	Biodiversity	Prior to any disturbance of waterway banks, a thorough inspection by a qualified ecologist will be undertaken for aquatic fauna such as turtle nests.	All	All	Construction	RMS/ Contractor	Ecologist pre-inspection undertaken in accordance with approved CFFMP.
SPiR-B34	Biodiversity	Where possible, streams will be crossed perpendicular to flow, with crossing sites selected to avoid unstable banks, bends in the channel, deep pools and confluences with other channels.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	This has been completed utilising input from DPI / EPA
SPiR-B35	Biodiversity	The bed and banks are to be reinstated to a condition similar to or better than the original condition ensuring that there are no adverse impacts on the aquatic values (different measures may be required for each crossing) and where feasible and reasonable, avoid impacts on geomorphic processes.	All	All	Construction	RMS/ Contractor	Being implemented in consultation with ERG, eg Halfway Creek Abutment A works
SPiR-B36	Biodiversity	All construction materials used for permanent watercourse crossings (rocks and gravel) are to be free of fine particles to minimise turbidity.	All	All	Construction	RMS/ Contractor	Being implemented in consultation with ERG, eg Halfway Creek Abutment A works
SPiR-B37	Biodiversity	Instream and riparian disturbance will be minimised and sediment, woody snags or debris removed from a stream or stream channel will be minimised. Trimming or 'lopping' of branches and logs will be considered as a first option before moving.	All	All	Construction	RMS/ Contractor	Section 2 has achieved significant savings to riparian vegetation at Halfway Creek and Wells Crossing including EEC and threatened species.
SPiR-B38	Biodiversity	Any instream woody debris removed during construction will be replaced at the completion of the works within the same waterways from which it was removed, where feasible and reasonable.	All	All	Construction	RMS/ Contractor	Woody debris left in situ in Section 2 resulting in nil aquatic fauna impacts
SPiR-B39	Biodiversity	Where feasible and reasonable within the road corridor, existing pools will be retained upstream and downstream of crossings within known habitat of the Oxleyan Pygmy Perch to provide resting and refuge habitat near crossing structures.	6, 7, 8, 9	Stage 2	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Stage 2
SPiR-B40	Biodiversity	Appropriate plant species will be incorporated into the rehabilitation of disturbed aquatic habitats and drains as a result of construction.	All	All	Construction	RMS/ Contractor	Rehabilitation will be undertaken in accordance with the approved Urban Design and Landscape Plan
SPiR-B41	Biodiversity	All construction sediment and erosion control measures will be put in place during the construction process and may include sediment and erosion control curtains in the waterways to control turbidity generated during the construction and restoration process.	All	All	Construction	RMS/ Contractor	Sediment curtains included for works at Halfway Creek in consultation with DPI(Fisheries) and EPA
SPiR-B42	Biodiversity	No turbid water generated from the construction corridor or construction area is to be discharged to any waterway unless in accordance with relevant Environment Protection Licence conditions and developed in consultation with Environment Protection Agency and Department of Primary Industries (Fisheries).	All	All	Construction	RMS/ Contractor	All discharges from site are in accordance with project EPL requirements.
SPiR-B43	Biodiversity	No in-stream work will occur in known Oxleyan Pygmy Perch habitat during the Oxleyan Pygmy Perch spawning season (October to December inclusive) or within 24 hours of the commencement of any rainfall event (>10 millimetres).	6, 7, 8, 9	Stage 2	Construction	RMS/ Contractor	Stage 2
SPiR-B44	Biodiversity	Operational spill basins are to be installed at key locations ie near Broadwater National Park and other key drainage lines that lead directly into threatened fish habitat.	All	All	Operation	RMS/ Contractor	Operational spill basins have been designed and located where run-off from the roadway could enter class 1 waterways.
SPiR-B45	Biodiversity	Chemicals and fuels will be appropriately stored and banded, away from waterways and drainage lines.	All	All	Construction	RMS/ Contractor	Included in approved CSWMP
SPiR-B46	Biodiversity	Discharges from sediment basins and/or treatment wetlands located in Oxleyan Pygmy Perch habitat that do not meet the water quality parameters for Oxleyan Pygmy Perch (to be determined through pre-construction water quality monitoring) will not be discharged directly into waterways, with other methods or uses employed to discharge. This could include, but not be limited to: <ul style="list-style-type: none"> <li>Spraying onto adjacent open grass areas or used for construction purposes such as dust.</li> <li>Treating the water to ensure the pH is between 5.0 and 6.5 and total suspended solids of less than 50 mg/L, before discharging, depending on environmental protection licensing requirements.</li> </ul>	6, 7, 8, 9	Stage 2	Construction	RMS/ Contractor	Stage 2

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-B47	Biodiversity	Water quality monitoring will be undertaken to assess the effectiveness of (and where necessary amend) water, sediment and erosion management strategies that aim to protect native fish species, their habitat and other aquatic flora and fauna species. Water quality monitoring program be undertaken in line with details in Appendix B of the Working paper – Biodiversity.	All	All	Construction	RMS/ Contractor	Water quality monitoring is undertaken in accordance with the approved CSWMP, with results reported at monthly ERG meetings.
SPIR-B48	Biodiversity	Where feasible and reasonable, stockpiles will be located above the 1:100 year flood level with appropriate management control measures in place such as bunding.	All	All	Construction	RMS/ Contractor	Included in approved CSWMP
SPIR-B49	Biodiversity	Specific management measures will be implemented to limit impacts from stockpiling of material for bridgeworks at known and potential areas of Oxleyan Pygmy Perch during the spawning seasons of October to December.	6, 7,8, 9	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B50	Biodiversity	Batch plants will be located at least 300 metres away from Oxleyan Pygmy Perch habitat where sediment erosion not runoff into waterways (due to the risk of high alkaline runoff).	7,8, and 9	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B51	Biodiversity	Ancillary facilities will be located in cleared or sparsely treed portions of the ancillary facility sites, and avoid unnecessary clearing of native vegetation.	All	All	Pre-construction Construction	RMS/ Contractor	For Sections 1 & 2, Ancillary Facilities will be assessed against the B73 locational criteria and the A2 (d) document with one of the objectives being to avoid Threatened Ecological Communities.
SPIR-B52a	Biodiversity	Ancillary facility - Section 2 site 1a: • Flag and avoid hollow bearing trees • Revegetation of the section of the site in the road reserve or the entire site (if practicable).	2	Stage 1	Construction	RMS/ Contractor	Minor clearing in accordance with approved Ancillary Facility Management Sub Plan for establishment of main site compound at this location. No hollow bearing trees were affected.
SPIR-B52b	Biodiversity	Ancillary facility - Section 2 site 5a: • Avoid isolated trees and flag and avoid hollow bearing trees where possible. Site to remain cleared to benefit emus.	2	Stage 1	Construction	RMS/ Contractor	Minor clearing for batch plant access accordance with approved Ancillary Facility Management Sub Plan at this location. No hollow bearing trees were affected. Site will remain cleared as recommended.
SPIR-B52c	Biodiversity	Ancillary facility - Section 2 site 6a and 6b: • Site to remain clear (not vegetated) to benefit emus.	2	Stage 1	Construction	RMS/ Contractor	Ancillary Facility not utilised.
SPIR-B52d	Biodiversity	Ancillary facility - Section 3 Site 1: • This compound site that was used for the Glenugie Upgrade and has been revegetated post-construction. A site inspection and survey is required prior to construction to determine its suitability for future use as an ancillary site. • Avoid mature trees. • Revegetation of the section of the site in the road reserve or the entire site (if practicable).	3	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52e	Biodiversity	Ancillary facility - Section 3 Site 2: • Provide a buffer of 50 metres minimum from creek and sediment fencing where required. • Avoid mature trees. • Revegetation of the section of the site in the road reserve or the entire site (if practicable).	3	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52f	Biodiversity	Ancillary facility - Section 3 Site 4: • Ancillary site to be restricted to the western parts of the site adjoining Wooli Road. • Vegetation in the road reserve along Wooli Road to be protected from disturbance. • The population of the Slender Screw Fern plants is to be avoided. • Existing trails or disturbed areas to be used for access to site. Bostock Road not to be used for access.	3	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52g	Biodiversity	Ancillary facility - Section 3 Site 8: • Identify and mark Angophora robur during pre-clearing and provide exclusion fencing.	3	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52i	Biodiversity	Ancillary facility - Section 3 Site 9: • Provide buffer to the surrounding forest. • Identify and mark Angophora robur during pre-clearing and provide exclusion fencing • Provide sediment fencing on eastern boundary where required. • Avoid and buffer koala feed trees in the northwest corner of the site. Buffer required from edge of the forest to reduce edge effects, sediment fencing where required.	3	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52j	Biodiversity	Ancillary facility - Section 5 Site 6: • Consult with OEH on future use of this site post-construction, which may have offset potential with assisted regeneration and could be considered as a potential addition to Mororo Creek Nature Reserve • Flag and buffer habitat patch on southern boundary.	5	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52k	Biodiversity	Ancillary facility - Section 5 Additional site 9: Provide buffer around Mororo Creek and sediment fencing to protect riparian areas Flag and buffer habitat patch on southern boundary	5	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52l	Biodiversity	Ancillary facility - Section 6 Site 3a and 3b: • Mark and avoid small dam in north-west corner of site and buffer activities from a large remnant patch adjoining to the north. • Avoid scattered mature trees where possible.	6	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52m	Biodiversity	Ancillary facility - Section 6 site 5: • Site is currently being used as a compound site for the Devils Pulpit upgrade. On completion of construction for that project, the site would be stabilised with a quick growing cover crop to stabilise the site. • A site inspection and survey is required prior to construction to confirm the suitability of the site. • Site to be rehabilitated post- construction.	6	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-B52n	Biodiversity	Ancillary facility - Section 7 Site 1: • To be used for only low risk activities, no chemical or fuel storage on site.	7	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52o	Biodiversity	Ancillary facility - Section 7 Site 2a and 2b: • To be used for only low risk activities, no chemical or fuel storage on site.	7	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52p	Biodiversity	Ancillary facility - Section 7 site 3: • Provide sediment fencing along eastern boundary.	7	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52q	Biodiversity	Ancillary facility - Section 7 Site 4: • Provide buffer of minimum 50 metres from the wetland on northern boundary and sediment fencing where required. Avoid tree removal where possible	7	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52r	Biodiversity	Ancillary facility - Section 8 Site 2a, 2b and 2c: • Recommend use for stockpile only, no chemical or fuel storage on site.	8	Stage 2	Construction	RMS/ Contractor	Stage 2

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-B52s	Biodiversity	Ancillary facility - Section 8 Site 3: • Provide bunding around the site. No chemical storage.	8	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52t	Biodiversity	Ancillary facility - Section 9 Site 1: • Provide buffer and sediment fencing at southern end. • Provide sediment fencing at southern end of site, stockpiling only at northern half, no chemical storage	9	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52u	Biodiversity	Ancillary facility - Section 9 site 2: • Provide sediment fencing at southern end of site, stockpiling only at northern half, no chemical storage	9	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52v	Biodiversity	Ancillary facility - Section 9 site 3: • Provide sediment fencing at southern end of site, stockpiling only at northern half, no chemical storage	9	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52w	Biodiversity	Ancillary facility - Section 10 site 1b: • Revegetation of the section of the site in the road reserve or the entire site (if practicable).	10	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52x	Biodiversity	Ancillary facility - Section 10 site 3b: • Map and avoid strip of trees along northern boundary	10	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B52y	Biodiversity	Ancillary facility - Section 10 site 4: • Revegetate site post-construction, focus on approaches to land bridge and avoid Arthraxon hispidus.	10	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B53	Biodiversity	The project footprint in section 1 will to be reviewed to identify any opportunities to avoid significant impacts to the existing population.	1	Stage 1	Pre-construction	RMS/ Detailed Designer	NA
SPIR-B54	Biodiversity	The project footprint and placement of sedimentation basins will be evaluated to minimise impacts to Slender Screw Fern.	6	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-B55	Biodiversity	The Biodiversity Offset Strategy (detailed in Appendix C of the Working paper – Biodiversity) will be developed further, in consultation with relevant State and Commonwealth agencies, and implemented during detailed design.	All	All	Pre-construction Detailed Design	RMS/ RMS/ Detailed Designer	Department of Planning and Environment and Department of the Environment approved a variation for the submission of the Biodiversity Offset Strategy and Offset Status Report within 3 months of commencement of sections 1 and 2 and approval of the Biodiversity Offset Strategy and Offset Status Report prior to commencement of Stage 2 works.  The Biodiversity Offset Strategy and Offset Status Report (D4) were both submitted as per the variation timeline.  The Biodiversity Offset Strategy was approved by the Department of Planning & Environment on the 6/1/16  The Biodiversity Offset Strategy was approved by the Department of the Environment the 7/1/16  RMS will prepare and implement (following approval) a Biodiversity Offset Package, within twenty-four months of approval of the Biodiversity Offset Strategy, or as otherwise agreed by the Secretary.
SPIR-B56	Biodiversity	Street lighting on the western roundabout at the interchange at Wardell will be designed to reduce light spill during detailed design. This could include using deflection shields around the lights or using a UV light, with reduced UV light emissions.	10	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-B57	Biodiversity	Further investigation will be undertaken of the road runoff capture and storage to the east side of the existing Pacific Highway between station 158.2 and 159.4 to protect remaining in situ aquatic habitats south of Laws Road.	11	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-B58	Biodiversity	Roads and Maritime owned land surrounding the dedicated landbridge at station 156.0 be revegetated in accordance with the connectivity strategy and the landscape management plan.	10	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-B59	Biodiversity	The Lang Hill Environmental Management Work Statement be further developed and implemented during the use and rehabilitation of the borrow site.	8	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-B60	Biodiversity	The creekline on the 'Lang Hill' property will should be fenced off from cattle and the vegetation allowed to regenerate to improve the habitat conditions downstream.	8	Stage 2	Construction Operation	RMS/ Contractor	Stage 2
SPIR-B61	Biodiversity	Detailed design will investigate measures to reduce impacts to Maundia triglochinos: • Near Redbank Creek (population 14). • Near North of New Italy (population 12).	1, 7	All	Pre-construction Detailed Design	RMS/ Detailed Designer	For Section 1, Impacts to Maundia triglochinos were based on designs that focused on minimising impacts to this species, and ensuring that impacts were in accordance with the approved Threatened Flora Management Plan.
<b>Construction &amp; Operational Noise &amp; Vibration</b>							
SPIR-CNV1	Noise & Vibration	Affected receivers will be notified prior to the commencement of out of hours work. Notification includes contact details of project personnel in charge of the out of hours works.	All	All	Construction	RMS/ Contractor	Addressed in the approved NVMP/ App D Out of Hours Work. Extended work hours have been approved at HC2G in accordance with the NVMP/ App D Out of Hours Work Procedure which implements the Conditions of MCoA B16 and EPL 20599, in particular B16 (d) and (e) and EPL L5.2 and L5.3. No complaints have been received regarding the approved extended hours to date.
SPIR-CNV2	Noise & Vibration	Construction will be timetabled to minimise noise impacts where feasible and reasonable. This may include time and duration restrictions and respite periods. These measures will be considered after consultation with affected receivers.	All	All	Construction	RMS/ Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV3	Noise & Vibration	Haulage routes will be located as far away as possible from residential receivers, where this is reasonable and feasible.	All	All	Construction	RMS/ Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV4	Noise & Vibration	Equipment will be maintained in efficient working order.	All	All	Construction	Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV5	Noise & Vibration	Quieter construction methods will be used, where there are sensitive receivers potentially affected and where this is considered reasonable and feasible. These may include grinding, rock splitting or terrain levelling instead of hydraulic rock breaking.	All	All	Construction	Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV6	Noise & Vibration	Where acceptable from a work health and safety perspective, quieter alternatives to reversing alarms (such as spotters, closed circuit television monitors and 'smart' reversing alarms) will be used, particularly during night-time activities.	All	All	Construction	Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV7	Noise & Vibration	All noise complaints received will be dealt with promptly. Construction methods may need to be altered to reduce noise impacts at the affected locations.	All	All	Construction	RMS/ Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV8	Noise & Vibration	Machinery will not be turned on prior to the work hours outlined in this EIS. This will include daily maintenance activities and/or 'warming up' of engines.	All	All	Construction	Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV9	Noise & Vibration	Truck movements will be restricted to identified haulage routes and the routes outlined in the Construction Traffic Management Plan.	All	All	Construction	RMS	Included in approved Construction Traffic Management Plan

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-CNV10	Noise & Vibration	Where it has been identified as necessary (eg in response to community complaints), noise monitoring will be undertaken to check that the noise mitigation measures are effective.	All	All	Construction	RMS/ Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV11	Noise & Vibration	The use of temporary noise shielding will be considered at locations where substantial exceedances of noise criteria are predicted.	All	All	Construction	RMS/ Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV12	Noise & Vibration	Static noise sources, such as generators, pumps and lighting towers, will be located as far as possible from sensitive receivers.	All	All	Construction	Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV13	Noise & Vibration	Regular noise monitoring will be undertaken during proposed construction hours at a representative receiver location, between: • 6am to 7pm, Monday to Friday. • 8am to 5pm, Saturday	All	All	Construction	RMS/ Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV14	Noise & Vibration	The selection of plant and equipment will be based on noise emission levels. This equipment will be operated and maintained so that noise emissions are minimised.	All	All	Construction	Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV15	Noise & Vibration	Where piling, hydraulic hammering or dynamic compaction is proposed within 50 metres of any structure or service, a building condition survey will be conducted and preliminary vibration monitoring undertaken by a qualified contractor.	All	All	Construction	RMS/ Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV16	Noise & Vibration	Where piling, hydraulic hammering or dynamic compaction is proposed within 50 metres of any heritage structure or potentially structurally unsound service, a building condition survey will be conducted and preliminary vibration monitoring undertaken by a qualified contractor. A follow-up survey will be conducted in response to any vibration complaints.	All	All	Construction	RMS/ Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV17	Noise & Vibration	Appropriately sized equipment will be selected to minimise vibration emissions, where required.	All	All	Construction	Contractor	Included in approved Construction Noise and Vibration Management Plan
SPIR-CNV18	Noise & Vibration	A blast management plan will be prepared prior to the start of blasting activities.	All	All	Pre-construction	RMS/ Contractor	Included in approved Blast Management Plan
SPIR-CNV19	Noise & Vibration	Where sensitive receivers are located close to the blast site, a series of trials will be undertaken at a reduced scale to determine site-specific blast response characteristics, to define allowable blast sizes to occur within the criteria.	All	All	Construction	RMS/ Contractor	Included in approved Blast Management Plan
SPIR-CNV20	Noise & Vibration	Controlled blasting activities will only be undertaken between the hours of: • 9am to 5pm, Monday to Friday. • 9am to 1pm, Saturday. These times may be increased with the written agreement of affected residents. Where the blast management plan has identified potential impacts on sensitive receivers, these hours will be subject to change.	All	All	Construction	Contractor	Included in approved Blast Management Plan
SPIR-CNV21	Noise & Vibration	A minimum of 24 hours' notice will be provided to all residences located within 500 metres of any blast, including an indication of blasting times and a contact name and telephone number.	All	All	Construction	RMS/ Contractor	Included in approved Blast Management Plan
SPIR-CNV22	Noise & Vibration	Monitoring of overpressure and vibration levels will be undertaken for each blast at the potentially most affected receivers.	All	All	Construction	RMS/ Contractor	Included in approved Blast Management Plan
SPIR-CNV23	Noise & Vibration	A building condition survey will be undertaken for all buildings located within 200 metres of the proposed blasting area prior to the start of blasting. The proponent will be responsible for rectifying any damage occurring from the blasting, with the cost to be borne by the proponent.	All	All	Construction	RMS/ Contractor	Included in approved Blast Management Plan
SPIR-CNV24	Noise & Vibration	Should blasting be required within 200 metres of the water reservoirs at the Lang Hill borrow source, a dilapidation or preconstruction condition survey will be undertaken before blasting work commences in consultation with Richmond Valley Council and Rous Water.	8	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-CNV25	Noise & Vibration	The maximum instantaneous charge (MIC) will be reduced to the lowest possible level by the use of delays, reduced diameter holes, and/or deck loading.	All	All	Construction	Contractor	Included in approved Blast Management Plan
SPIR-CNV26	Noise & Vibration	Adequate stemming will be provided and exposed detonating cord be eliminated (by covering with at least 300 millimetres of quarry dust or road base).	All	All	Construction	Contractor	Included in approved Blast Management Plan
SPIR-CNV27	Noise & Vibration	Secondary blasting will be eliminated. (A rock breaker or drop hammer will be used instead of popping). Effort will be made to eliminate the need for toe shots (eg by better control of drill patterns).	All	All	Construction	Contractor	Included in approved Blast Management Plan
SPIR-CNV28	Noise & Vibration	Weather conditions at the time of the blast will be assessed. Blasting will be avoided where possible during heavy cloud cover and/or if a strong wind is blowing towards residences. Days of severe temperature inversion will be avoided where possible or, (if not possible) blasting will occur between 11am and 1pm.	All	All	Construction	RMS/ Contractor	Included in approved Blast Management Plan
SPIR-CNV29	Noise & Vibration	Strict control will be exercised over the spacing and orientation of all blast drill holes. Holes will be spaced in such a manner that the explosive force is just sufficient to break the stone to the required size.	All	All	Construction	Contractor	Included in approved Blast Management Plan
SPIR-CNV30	Noise & Vibration	Controlled blasting times will be determined in consideration of site-specific conditions and in consultation with affected residents and take place, where possible, when impacts are likely to be the least intrusive (eg all blasts be fired at a set time acceptable to residents and preferably when the background noise is highest).	All	All	Construction	Contractor	Included in approved Blast Management Plan
SPIR-CNV31	Noise & Vibration	Identified receivers will be notified by letter of the proposed hours and asked for comment and feedback. This will include justification for the proposed extended working hours along with the benefits the community can expect. Where the community or individual residents wish to receiver further clarification on the proposed hours, individual interviews or public meetings will be organised to address any further issues. Discussions will be sufficiently detailed to provide a general summary of the expected impacts but also how this relates to individual receivers. At this stage, more detail will be available regarding the proposed construction activities to be undertaken in the extended hours.  Property owners will be provided with the complaints management procedures to be in place for extended working hours.  Feedback will be collected to help determine the final adopted working hours for the project, with community consultation continuing throughout the project.	All	All	Pre-construction	RMS/ Contractor	Addressed in the approved NVMP/ App D Out of Hours Work. Extended work hours have been approved at HC2G in accordance with the NVMP/ App D Out of Hours Work Procedure which implements the Conditions of MCoA B16 and EPL 20599, in particular B16 (d) and (e) and EPL L5.2 and L5.3. No complaints have been received regarding the approved extended hours to date.
SPIR-ONV1	Noise & Vibration	Architectural treatments will be considered for noise-affected receivers identified in the EIS and Submissions / Preferred Infrastructure Report (Appendix F), subject to confirmation at the detailed design stage.	All	All	Pre-operation Detailed Design	RMS/ Contractor	Ongoing with RMS currently at the scoping stage for noise affected receivers
SPIR-ONV2	Noise & Vibration	Low noise wearing surface will be implemented in areas identified in section 5.3.21 of the EIS.	1,3,4,5,8, and 10	All	Pre-operation Detailed Design	Contractor	This was completed as part of detailed design for Sections 1 & 2.



Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-ONV3	Noise & Vibration	No later than one year after commencement of operation of the project stages as they are constructed, Roads and Maritime will undertake operational noise monitoring to compare the actual noise performance of the project against predicted noise performance. The report will include, but not necessarily be limited to: <ul style="list-style-type: none"> <li>Noise monitoring to assess compliance with the operational noise levels predicted.</li> <li>A review of the operational noise levels in terms of criteria and noise goals.</li> <li>Methodology, location and frequency of noise monitoring undertaken.</li> <li>Details of any complaints and enquiries received in relation to operational noise.</li> <li>Any required recalibrations of the noise model.</li> <li>An assessment of the performance and effectiveness of applied noise mitigation measures.</li> <li>Any additional feasible and reasonable measures required.</li> </ul>	All	All	Operation	RMS	Noted
<b>Greenhouse Gas Emissions</b>							
SPIR-GH1	Greenhouse Gas Emissions	Flyash content within concrete will be specified where feasible. Contractors will be required to propose recycled content construction materials where they are cost, quality and performance competitive.	All	All	Pre-construction Construction	RMS/ Contractor	Fly ash included in concrete mix designs where feasible.
SPIR-GH2	Greenhouse Gas Emissions	Reuse of excavated road materials will be maximised as far as possible where they are cost, quality and performance competitive to reduce use of materials (with embedded energy).	All	All	Pre-construction Construction	RMS/ Contractor	Reuse of materials maximised
SPIR-GH3	Greenhouse Gas Emissions	Steel with high recycled content will be specified where feasible where they are cost, quality and performance competitive. Contractors will be required to propose recycled content construction materials where they are cost, quality and performance competitive.	All	All	Pre-construction Construction	RMS/ Contractor	Where available from commercial steel suppliers within RMS specification and cost, quality and performance competitive; recycled steel will be sourced
SPIR-GH4	Greenhouse Gas Emissions	The feasibility of using biofuels (biodiesel, ethanol, or blends such as E10 or B80) will be investigated by the contractor, taking into consideration the capacity of plant and equipment to use these fuels, ongoing maintenance issues and local sources. Works will be planned to minimise fuel use.	All	All	Construction	Contractor	Assessed and not considered feasible for large scale infrastructure project
SPIR-GH5	Greenhouse Gas Emissions	An energy management plan will be developed during the construction of the project. The plan will include a commitment to monitor on-site energy consumption and identify and address on-site energy waste.	All	All	Pre-construction Construction	Contractor	Refer to approved Construction Waste and Energy Management Plan
SPIR-GH6	Greenhouse Gas Emissions	Roads and Maritime will investigate the use of LED lighting in place of incandescent lamps as part of the project's detailed design, and use them where practicable to reduce electrical energy consumption. Any energy-efficient alternatives will have to meet lighting standards for major roads.	All	All	Pre-construction	RMS	For sections 1 & 2, RMS has investigated and has approved LED lighting. Contractors are required to progress utilisation of LED lighting as part of a design and construct component.
SPIR-GH7	Greenhouse Gas Emissions	An education program will be developed and delivered to the construction personnel to promote energy-efficient work practices.	All	All	Construction	RMS/ Contractor	Included in project induction
<b>Hydrology &amp; Flooding</b>							
SPIR-HF1	Hydrology and Flooding	Flood models for the areas of the project that are in the Clarence, mid Richmond and lower Richmond rivers will be updated to inform detailed design.	4, 5, 6, 8, 9 and 10	Stage 2	Pre-construction Detailed Design	RMS	Stage 2
SPIR-HF2	Hydrology and Flooding	Roads and Maritime will update the bathymetrical data at the relevant crossing of the Clarence River to inform detailed design of the crossing.	4, 5	Stage 2	Pre-construction Detailed Design	RMS	Stage 2
SPIR-HF3	Hydrology and Flooding	Cane drain diversions will be designed and constructed in consultation with the relevant cane industry stakeholders and impacted landowners. This will consider the potential diversions detailed in the Working Paper – Hydrology and flooding and the additional assessment provided in Chapter 3 of the Submissions / Preferred Infrastructure Report.	All	All	Pre-construction Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Consultation held with relevant stakeholders
SPIR-HF4	Hydrology and Flooding	Any permanent fencing at culvert and bridge crossings will consider the potential for blockage and be designed and operated to maintain the existing flood regime.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	This has been addressed during detailed design process
SPIR-HF5	Hydrology and Flooding	Detailed design for permanent road fencing will consider hydrology and flooding impacts.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	This has been addressed during detailed design process
SPIR-HF6	Hydrology and Flooding	Scour and erosion protection measures at temporary and permanent waterway crossings will be provided upstream and downstream of the highway, particularly within 50 metres of Class 1 waterways or within the range of the Oxleyan Pygmy Perch as identified in section 3.9.6 of the Working paper – Biodiversity and the supplementary biodiversity assessment in Appendix J of the Submissions / Preferred Infrastructure Report. This will be undertaken in consultation with the Department of Primary Industries (Fisheries).	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	This has been addressed during detailed design process Also addressed in the contractors SWMP and EWMS for temp waterway crossings.
SPIR-HF7	Hydrology and Flooding	Waterway diversions will be designed in consultation with Office of Environment and Heritage, NSW Office of Water and Department of Primary Industries (Fisheries) so that the final diversion mimics, where feasible and reasonable, the characteristics of the waterway that is being diverted. Characteristics include flow regime, flow velocity, base material, vegetation and habitat for aquatic fauna.	All	All	Detailed Design Construction	RMS/ Detailed Designer	This has been addressed during the detailed design and is captured within the contract documents, Also discussed onsite during construction with DPI Fisheries as diversions are implemented on ground.
SPIR-HF8	Hydrology and Flooding	Revegetation of waterway diversions and surrounding areas will be undertaken in accordance with the following principles: <ul style="list-style-type: none"> <li>Diversions will be stabilised prior to the diversion receiving flows, in conjunction with the establishment of other scour and erosion control measures.</li> <li>Diversions will establish appropriate vegetation communities along the channel bed and banks, using endemic native species.</li> </ul>	All	All	Detailed Design Construction	Contractor	This has been addressed during the detailed design and is captured within the contract documents. Also discussed onsite during construction with DPI Fisheries and EPA as diversions and rehabilitation are implemented on ground.
SPIR-HF9	Hydrology and Flooding	Velocities of flood flows through watercourse and floodplain structures (ie bridges and culverts) will be assessed during detailed design in areas identified as known and potential habitat for the Oxleyan Pygmy Perch and the Purple-spotted Gudgeon in consultation with Department of Primary Industries (Fisheries). The design of these structures will consider the predicted changes to velocities from the existing case due to the project.	3;11	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-HF10	Hydrology and Flooding	Batter stability will be assessed and sufficient room provided on both sides of the diversion to allow access for maintenance and to meet batter stability requirements.	3	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-HF11	Hydrology and Flooding	Farm dams located within or partially within the project boundary will be acquired as part of the acquisition process in accordance with the <i>Land Acquisition (Just Terms Compensation) Act 1991</i> .	All	All	Pre-construction	RMS	For sections 1 & 2, the design complies with this requirement ,and all acquisitions have been undertaken in accordance with the Land Acquisition (Just Terms Compensation) Act 1991.
SPIR-HF12	Hydrology and Flooding	Potential impacts to farm dams located downstream of the project that are fed by catchments upstream, and that have a diversion of rainfall as a result of the project, will be considered during the relevant property acquisition process.	All	All	Pre-construction	RMS	The design considers this impact. Consultation during land acquisition identifies these impacts and is compensated for reduced run-off is expected.
SPIR-HF13	Hydrology and Flooding	Detailed design will consider flood access and evacuation for affected landowners including changes in stock access routes.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	This has been addressed during the detailed design in consultation with affected landowners.
SPIR-HF14	Hydrology and Flooding	The level of flood immunity of Eggins Drive into Corindi will be built at a 100 year ARI as agreed with Coffs Harbour City Council.	1	Stage 1	Construction	RMS	This has been addressed during the detailed design in consultation with Coffs City Council and has achieved a 1 in 100 year flood immunity.

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-HF15	Hydrology and Flooding	The potential impacts of ancillary facilities and haul roads on cane drains will be further investigated and addressed when ancillary facility locations are confirmed. The design of these ancillary facilities will be developed in consultation with relevant cane industry stakeholders, affected landowners, and in accordance with the following principles: • Maintain conveyance characteristics of existing cane drains. • Provide adequate capacity in temporary drainage to prevent blockages.	4, 5, 6, 8,9,10,11	Stage 2	Pre-construction Detailed Design Construction	RMS/ Detailed Designer	Stage 2
SPIR-HF16	Hydrology and Flooding	A drainage structure with an equivalent capacity of the current Goodwood Street underpass will be installed for the duration of construction.	4	Stage 2	Detailed Design Construction	RMS/ Contractor	Stage 2
SPIR-HF17	Hydrology and Flooding	Any temporary infrastructure associated with the construction of bridges in the Clarence River, Clarence North Arm, Richmond River, Tuckombil Canal and Emigrant Creek will be secured or removed from the river and floodplain during flood events so not to create a debris hazard or blockage during a flood event.	5, 8 and 10	Stage 2	Construction	Contractor	Stage 2
SPIR-HF18	Hydrology and Flooding	Appropriate span lengths of bridges will be specified during detailed design that considers the susceptibility of individual watercourse crossings to debris blockage.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	This has been addressed during the detailed design
SPIR-HF19	Hydrology and Flooding	All work within 40 metres of a permanent watercourse, crossed by the project, will be undertaken in accordance with the NSW Office of Water 'Guidelines for Controlled Actions' and industry best practice including maintaining where feasible and reasonable the geomorphic integrity and natural hydrological flow regime.	All	All	Detailed Design Construction	RMS/ Contractor	Noted and applied to the works
SPIR-HF20	Hydrology and Flooding	The design of temporary fencing at culvert and bridge crossings will consider the potential for blockage and be designed and operated in a manner that does not result in impacts on flooding.	All	All	Detailed Design Construction	RMS/ Detailed Designer	Noted and applied to the works
SPIR-HF21	Hydrology and Flooding	The need for design modifications to address changes in flood behaviour as a result of climate change will be considered in accordance with Roads and Maritime' Climate Change Plan (Roads and Maritime, 2012).	All	All	Pre-construction Detailed Design Construction	RMS/ Detailed Designer	This has been addressed during the detailed design
SPIR-HF22	Hydrology and Flooding	Recommendations made in Table 8-8 of Working paper – Hydrology and flooding to minimise the flood impacts of ancillary facilities will be considered in the final location and layout of ancillary facilities.	All	All	Pre-construction Detailed Design	RMS/ Contractor	For Sections 1 & 2, Ancillary Facilities will be assessed against the B73 locational criteria and the A2 (d) document.
SPIR-HF23	Hydrology and Flooding	Design objectives (for road flood immunity and flood management will apply during the detailed design phase. Where these objectives are not met, Roads and Maritime will work to either: • Achieve compliance thorough modified embankment or drainage design. • Achieve an acceptable level of mitigation of impacts through alternative design measures (eg raised access tracks) in consultation with the affected land owner.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	This has been addressed during the detailed design process.
SPIR-HF24	Hydrology and Flooding	The design of drainage structures across Chatsworth Island will be further reviewed during detailed design to enable the most appropriate and cost-effective structures to be installed.	5	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-HF25	Hydrology and Flooding	Maintenance regime of drainage structures will be considered during detailed design.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Inspection of drainage structures included in routine site inspections, especially post flooding events.
SPIR-HF26	Hydrology and Flooding	Additional culverts north of Chaffin Creek at the overflow channel around station 52.6, will be hydraulically modelled and confirmed during the detailed design to manage potential flood impacts, to meet the flood management objectives detailed in the EIS.	3	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-HF27	Hydrology and Flooding	Roads and Maritime, in consultation with Clarence Valley Council and the relevant landowner, will consider opportunities to improve the drainage system performance in the Shark Creek area, where feasible and reasonable, during the detailed design phase.	4	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-HF28	Hydrology and Flooding	The detailed design of the bridges over Shark Creek and Tyndale cane drain 1 and 2 (Crackers and Lee drain) will consider fauna connectivity in addition to the hydraulic function of these structures.	4	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-HF29	Hydrology and Flooding	Detailed design will investigate viable options to maintain the existing flood behaviour in James Creek.	5	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-HF30	Hydrology and Flooding	Consultation with affected landowners will be undertaken during detailed design and construction regarding flooding impacts on properties, residences and other structures.	All	All	Pre-construction Detailed Design Construction	RMS/ Detailed Designer/ Contractor	This has been addressed during the detailed design and will continue during the construction phase.
<b>Non-Aboriginal Heritage</b>							
SPIR-HH1	Non-Aboriginal Historical Heritage	If at any time during construction associated with the project, unidentified historical heritage materials, features and/or deposits are found, the Roads and Maritime Standard Management Procedure: Unexpected Archaeological Finds (20121) will be followed.	All	All	Construction	RMS/ Contractor	Noted and applied to the works
SPIR-HH2	Non-Aboriginal Historical Heritage	Contractors will be given awareness training on non-Aboriginal historical heritage prior to commencement of construction works to ensure understanding of potential heritage items and the procedure in the event of discovery of historical heritage materials, features or deposits, or the discovery of human remains.	All	All	Construction	RMS/ Contractor	Included in project induction
SPIR-HH3	Non-Aboriginal Historical Heritage	The Heritage management plan will be developed in consultation with the Heritage Council of NSW.	All	All	Construction	RMS/ Contractor	Heritage Council of NSW were consulted during development of the Heritage Management Plan which has subsequently been approved by Department of Planning and Environment.
SPIR-HH4	Non-Aboriginal Historical Heritage	Should the impact to any historic heritage item change during detailed design, further assessment of impacts on the items will be undertaken.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	This has been addressed during the detailed design
SPIR-HH5	Non-Aboriginal Historical Heritage	At project section 1, site 2: a temporary barrier fence will be erected between item 39 and the ancillary site. The fence will remain in place until the conclusion of the use of the ancillary site at which time it will be removed.	1	Stage 1	Construction	RMS/ Contractor	N/A for Section 2
SPIR-HH6	Non-Aboriginal Historical Heritage	At project section 10, site 4: a temporary barrier fence will be erected to protect the drainage channel that is not directly impacted by the project (item 43). The fence will remain in place until the conclusion of the use of the ancillary site at which time it will be removed.	10	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-HH7	Non-Aboriginal Historical Heritage	Where local or state significant heritage items not previously identified are identified on an ancillary site and use of the site will impact on the heritage significance of the item, the site will not be used for ancillary facilities.	All	All	Pre-construction Construction	RMS/ Contractor	Noted
SPIR-HH8	Non-Aboriginal Historical Heritage	Where local or state significant heritage items are identified on an ancillary site and use of the site will not impact on the heritage significance of the item, appropriate management measures (such as barrier fencing) will be put in place to clearly identify the heritage item and exclude use of the ancillary site within the heritage item's curtilage. Use of these ancillary facilities may commence: • When the appropriate protective measures have been implemented. • When the relevant records have been updated and/or completed.	All	All	Pre-construction Construction	RMS/ Contractor	Noted
SPIR-HH9	Non-Aboriginal Historical Heritage	Any new ancillary facility and spoil placement locations not identified as part of this EIS will require a non-Aboriginal heritage assessment, with a database search and site walkover to identify any potential heritage items. If items are found, HH4, HH7-HH8 will be followed.	All	All	Pre-construction Construction	RMS/ Detailed Designer	Noted

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-HH10	Non-Aboriginal Historical Heritage	A temporary barrier fence will be erected between the stockyards and the works area prior to road construction works commencing. The fence will remain in place until the conclusion of the works in the vicinity of the items at which time it will be removed. The batter slope will not be constructed within five metres of the stockyards.	1	Stage 1	Pre-construction Construction	RMS/ Contractor	N/A for Section 2
SPIR-HH11	Non-Aboriginal Historical Heritage	Architectural noise treatment to the house will be investigated and provided where reasonable and feasible and in consultation with a qualified heritage consultant. Consideration will be given for the need to revise the SOHI for this item when the specific architectural noise treatment options are identified.	1	Stage 1	Pre-construction	RMS	N/A for Section 2
SPIR-HH12	Non-Aboriginal Historical Heritage	Salvage excavation (of the coach way station and early coach road) will be undertaken from the project boundary along the front of the complex buildings to the edge of the existing highway before construction starts in the vicinity of the heritage item. Excavations will be undertaken in accordance with Heritage Branch guidelines and under the supervision of an appropriately qualified and experienced historical archaeologist. An appropriate research design and methodology will be prepared to best realise the research potential of this area of the site.	2	Stage 1	Pre-construction Construction	RMS/ RMS/ Contractor	Jacobs developed an appropriate methodology that was approved by DP & E for these works. Salvage excavations were undertaken in accordance with the approved methodology.
SPIR-HH13	Non-Aboriginal Historical Heritage	The batter slope for the motorway upgrade will not be constructed within eight metres of the bar/restaurant building.	2	Stage 1	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	This has been achieved as part of detailed design.
SPIR-HH14	Non-Aboriginal Historical Heritage	A temporary fence will be erected between the bar/restaurant building and the motorway upgrade construction before work starts in the vicinity of the heritage item. The fence will remain in place until construction is completed, at which time it will be removed.	2	Stage 1	Pre-construction Construction	RMS/ Contractor	This fence will be installed after the physical investigation work has been completed at this location.
SPIR-HH15	Non-Aboriginal Historical Heritage	A photographic condition survey will be undertaken of the current condition of the heritage items with any damage to the item from construction to be repaired once construction is complete.	2	Stage 1	Pre-construction Construction	RMS/ Contractor	Recording to be undertaken as part of dilapidation condition reports
SPIR-HH16	Non-Aboriginal Historical Heritage	Architectural noise treatment to the house will be investigated and provided where reasonable and feasible and in consultation with a qualified heritage consultant. Consideration will be given for the need to revise the SOHI for this item when the specific architectural noise treatment options are identified.	2	Stage 1	Pre-construction	RMS	Assessment would need to be undertaken following Operational Noise Review to assess whether noise treatment warranted and feasible before engaging heritage specialist to ascertain works required.
SPIR-HH17	Non-Aboriginal Historical Heritage	Archival photographic recording will be undertaken in accordance with the Heritage Branch guidelines How To Prepare Archival Records Of Heritage Items (NSW Heritage Office, 1998) prior to its removal.	2	Stage 1	Pre-construction	RMS	Archival Recording will be undertaken by Jacobs in accordance with the Heritage Branch guidelines How To Prepare Archival Records Of Heritage Items (NSW Heritage Office, 1998)
SPIR-HH18	Non-Aboriginal Historical Heritage	Prior to the start of construction, the location and condition of the mature bunya trees will be recorded by an arborist. In consultation with an arborist, protective fencing will be erected adjacent to the property boundary to control impacts on the trees.	3	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-HH19	Non-Aboriginal Historical Heritage	Architectural noise treatment to the house will be investigated and provided where reasonable and feasible and in consultation with a qualified heritage consultant. Consideration will be given for the need to revise the SOHI for this item when the specific architectural noise treatment options are identified.	3	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH20	Non-Aboriginal Historical Heritage	A photographic condition survey will be undertaken of the current condition of the heritage items with any damage to the item from construction to be repaired once construction is complete.	4	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH21	Non-Aboriginal Historical Heritage	Where appropriate, and before construction commences, any loose or unstable components of the heritage item will be secured to minimise vibration impacts and remain secured until the conclusion of construction, at which time the securing mechanism/s will be removed. Any methods to secure the heritage item will be reversible and not cause damage to the item.	4	Stage 2	Pre-construction Construction	RMS	Stage 2
SPIR-HH22	Non-Aboriginal Historical Heritage	The Petticoat Lane tram tracks section will have a protective covering placed over them, (eg a geo textile fabric and heavy duty metal sheeting or similar) to minimise impacts from construction in the area. The covering will be secured before construction and will remain in place until the end of construction.	5	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-HH23	Non-Aboriginal Historical Heritage	The design of the new bridge will be undertaken in accordance with Bridge Aesthetics: Design Guidelines to Improve the Appearance of Bridges in NSW Roads and Maritime 2012 with specific reference to section 6.1, New bridges next to existing bridges.	5	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-HH24	Non-Aboriginal Historical Heritage	An archival photographic recording will be made of the convent building and its surrounds in accordance with the Heritage Branch guidelines How to Prepare Archival Records of Heritage Items (NSW Heritage Office, 1998) prior to its removal or relocation.	5	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH25	Non-Aboriginal Historical Heritage	The feasibility of relocating the building to an appropriate site within the Harwood Heritage Conservation Area will be investigated. The investigation will be undertaken in consultation with an appropriately qualified house removal contractor and an appropriately qualified heritage consultant.	5	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH26	Non-Aboriginal Historical Heritage	Architectural noise treatment to the house will be investigated and provided where reasonable and feasible and in consultation with a qualified heritage consultant. Consideration will be given for the need to revise the SOHI for this item when the specific architectural noise treatment options are identified.	5	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH27	Non-Aboriginal Historical Heritage	A photographic condition survey will be undertaken of the current condition of the heritage items with any damage to the item from construction to be repaired once construction is complete.	7	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-HH28	Non-Aboriginal Historical Heritage	Monitoring of dust will be undertaken at this location in accordance with the project dust management plan.	7	Stage 2	Pre-construction Construction	RMS	Stage 2
SPIR-HH29	Non-Aboriginal Historical Heritage	A temporary fence will be erected between the State Heritage Register boundary and the construction works before work starts in the vicinity of the heritage item. The fence will remain in place until construction is completed at which time it be removed.	7	Stage 2	Operation	RMS/ Contractor	Stage 2
SPIR-HH30	Non-Aboriginal Historical Heritage	Appropriate directional signage to the New Italy Museum Complex will be installed at both the interchange at Woodburn and interchange at Iluka Road to divert visitors onto the service road in order to access the museum complex. Signage will comply with relevant Pacific Highway signage policy.	7	Stage 2	Operation	RMS/ Contractor	Stage 2
SPIR-HH31	Non-Aboriginal Historical Heritage	Monitoring of dust will be undertaken at this location in accordance with the project dust management plan.	7	Stage 2	Pre-construction Construction	RMS	Stage 2
SPIR-HH32	Non-Aboriginal Historical Heritage	A temporary fence will be erected between the location of the memorial and flagpole and the construction works (within five metres of the heritage items) before work starts in the vicinity of the heritage item. The fence will remain in place until conclusion is completed at which time it will be removed.	7	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-HH33	Non-Aboriginal Historical Heritage	Salvage excavation will be undertaken to salvage any subsurface artefacts related to the well and adjacent wall. Excavations will be undertaken under the supervision of an appropriately qualified and experienced historical archaeologist and in accordance with the Heritage Branch guidelines, including an appropriate research design and methodology to best realise the research potential of this area of the site. Consideration will be given to providing salvaged artefacts to the New Italy Museum.	7	Stage 2	Pre-construction Construction	RMS	Stage 2

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-HH34	Non-Aboriginal Historical Heritage	Before construction starts in the vicinity of the orchard, the location and condition of each of the mango trees will be recorded by an arborist.	7	Stage 2	Pre-construction Construction	RMS	Stage 2
SPIR-HH35	Non-Aboriginal Historical Heritage	Protective barrier fencing to protect the mango orchard will be erected between the construction area and the trees with a buffer of at least five metres. This will be erected before construction starts in the vicinity of the items and remain in place until the end of construction at which time it will be removed.	7	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-HH36	Non-Aboriginal Historical Heritage	An archival photographic recording will be made of the mango orchard and its surrounds in accordance with the Heritage Branch guidelines How To Prepare Archival Records Of Heritage Items (NSW Heritage Office, 1998) prior to its demolition.	7	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH37	Non-Aboriginal Historical Heritage	If any historical heritage remains are discovered at the New Italy Village Area during construction, management measure HH1 will be applied.	7	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-HH38	Non-Aboriginal Historical Heritage	An archival photographic recording will be made of the buttery/creamery, the dairy and its surrounds in accordance with the Heritage Branch guidelines How To Prepare Archival Records Of Heritage Items (NSW Heritage Office, 1998) prior to demolition.	9	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH39	Non-Aboriginal Historical Heritage	Architectural noise treatment to the house will be investigated and provided where reasonable and feasible and in consultation with a qualified heritage consultant. Consideration will be given for the need to revise the SOHI for this item when the specific architectural noise treatment options are identified.	9	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH40	Non-Aboriginal Historical Heritage	Further investigations for gold shafts within and adjacent to the project corridor will occur near item 26.	9	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH41	Non-Aboriginal Historical Heritage	If brick material or any other historical heritage remains are discovered during works, management measure HH1 will be applied.	10	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-HH42	Non-Aboriginal Historical Heritage	An archival photographic recording will be made of the stone quarry and small clay pit in accordance with the Heritage Branch guidelines How To Prepare Archival Records Of Heritage Items (NSW Heritage Office, 1998) prior to construction.	9	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH43	Non-Aboriginal Historical Heritage	Salvage excavations to the south of the quarry will be undertaken under the supervision of an appropriately qualified and experienced historical archaeologist. Salvage excavation will be undertaken in accordance with the Heritage Branch guidelines including an appropriate research design and methodology to best realise the research potential of this area of the site.	9	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH44	Non-Aboriginal Historical Heritage	A photographic condition survey and structural audit of the brick-lined well will be undertaken of the current condition of the heritage item with any damage to the item from construction to be repaired once construction is complete.	9	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH45	Non-Aboriginal Historical Heritage	Should blasting be required in the vicinity of this item, a detailed assessment of the level of vibration at the brick-lined well will be undertaken based on factors including distance from the blast site and the quantity of the explosive, and modelling of the predicted vibration levels. This assessment may result in additional mitigation measures for the structure including, but not limited to: • Construction of temporary or permanent supports or shoring within the brick-lined well. • Stabilisation of the brick-lined well. • Installation of vibration monitoring devices.	9	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-HH46	Non-Aboriginal Historical Heritage	Protective barrier fencing will be erected around the brick-lined well with a 15-metre buffer before the start of construction and will remain in place until the conclusion of the work, at which time it will be removed.	9	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-HH47	Non-Aboriginal Historical Heritage	Due to the proximity of the well to the roadway, the well may be closed for safety reasons. Any measures to close the well will enable access in the future for heritage research or other purposes and that no detrimental physical impact on the well occurs.	9	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-HH48	Non-Aboriginal Historical Heritage	An archival photographic recording will be made of the main residence and the drainage system and its surrounds in accordance with the Heritage Branch guidelines How To Prepare Archival Records Of Heritage Items (NSW Heritage Office, 1998) prior to its demolition. A detailed survey and recording of the location of the drainage system within the 'Stonehenge' property will also be undertaken.	10	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH49	Non-Aboriginal Historical Heritage	Architectural noise treatment to the house will be investigated and provided where reasonable and feasible and in consultation with a qualified heritage consultant. Consideration will be given for the need to revise the SOHI for this item when the specific architectural noise treatment options are identified.	10	Stage 2	Pre-construction	RMS	Stage 2
SPIR-HH50	Non-Aboriginal Historical Heritage	To protect the heritage item from construction activities, the boundary of the reserve will be clearly identified on site/construction plans as an area of exclusion, and temporary barrier fencing will be constructed continuously along the project boundary: • Immediately south of the cemetery reserve. • Where it crosses the south east corner of the cemetery reserve. • Where it follows the east boundary of the cemetery reserve.	9	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-HH51	Non-Aboriginal Historical Heritage	Detailed design will consider the extent to which clearing High Conservation Value Old Growth Forest within the project boundary may be minimised.	2, 6 and 7	Stage 1 & 2	Pre-construction Detailed Design	RMS/ Detailed Designer	This was undertaken during detailed design to ensure minimal impact to High Conservation Value Old Growth Forest
SPIR-HH52	Non-Aboriginal Historical Heritage	The area to be cleared will be clearly identified on-site. High Conservation Value Old Growth Forest adjacent to areas to be cleared will be delineated to avoid accidental disturbance on further areas.	2, 6 and 7	Stage 1 & 2	Construction	Contractor	Clearing undertaken as per the approved clearing limits and the approved Construction Flora and Fauna Management Plan.
SPIR-HH53	Non-Aboriginal Historical Heritage	An archival photographic recording be made of the drainage channels and its surrounds in accordance with the Heritage Branch guidelines prior to its destruction.	10	Stage 2	Pre-construction	RMS	Stage 2
<b>Land Use</b>							
SPIR-LU1	Property & Landuse	Ongoing communication and consultation will be undertaken with directly affected property owners about the property acquisition process. This includes the provision of information on the timing of acquisitions, and the process for property acquisitions under the <i>Land Acquisition (Just Terms Compensation) Act 1991</i> and Roads and Maritime' Land Acquisition Policy (RTA, 1999).	All	All	Pre-construction	RMS	Noted and is ongoing in accordance with the Land Acquisition (Just Terms Compensation) Act 1991 and RMS' Land Acquisition Policy (RTA, 1999).
SPIR-LU2	Property & Landuse	Ongoing consultation will be undertaken with directly affected property owners during the detailed design phase to identify measures to mitigate potential impacts on the use and viability of land. This will relate to matters such as adjustments to fencing, access, farm infrastructure and relocation of impacted ancillary structures, as required.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Noted and is ongoing in accordance with the Land Acquisition (Just Terms Compensation) Act 1991 and RMS' Land Acquisition Policy (RTA, 1999).

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-LU3	Property & Landuse	Property adjustments will be completed for fencing, access tracks, cattle underpasses and other farm infrastructure in consultation with the impacted land owner.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Standard process - ongoing
SPIR-LU4	Property & Landuse	The Fencing Strategy will be further developed during detailed design, in consultation with relevant stakeholders. This will build upon the principles of the strategy described in Chapter 3 of the Submissions and Preferred Infrastructure Report (Roads and Maritime, 2013).	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	The fencing strategy was further developed as part of detailed design for Sections 1 and 2. This involved all relevant stakeholders to maximise the potential of achieving appropriate fencing outcomes in all locations.
SPIR-LU5	Property & Land use	Sterilisation and severance of land uses and lots will be minimised by amalgamating severed parcels of land together, where possible, with provision of road access, in accordance with the project's remnant land use strategy.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	This has been considered where ever possible, and will be finalised post construction
SPIR-LU6	Property & Land use	Where required, acquisition of State forests will be minimised in accordance with the provisions of the <i>Forestry Act 2012</i> . Revocation of land dedicated or reserved as national parks or nature reserves will be in accordance with the <i>National Parks and Wildlife Act 1974</i> . Acquisition of land owned by Local Aboriginal Land Councils will be in accordance with the provisions of the <i>Aboriginal Land Rights Act 1983</i> .	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Land acquired from State Forest and Aboriginal Land Councils has been/currently undertaken by RMS Property Section in accordance with relevant legislation.
SPIR-LU7	Property & Landuse	A remnant land strategy to minimise land use severance and sterilisation, and a mitigation strategy for final land uses will be developed in consultation with cane industry stakeholders, Coffs Harbour City, Clarence Valley, Richmond Valley and Ballina Councils.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	This requirement has been considered where ever possible, and will be finalised both during and post construction in consultation with relevant industry and Councils
SPIR-LU8	Property & Landuse	The requirement for a retaining wall structure at station 83.2, between the road reserve and adjoining property, will be confirmed during detailed design.	5	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-LU9	Property & Landuse	Access to properties near construction works will be maintained, including where required for the movement of farm equipment and livestock between properties, unless otherwise agreed with landowners.	All	All	Construction	RMS/Contractor	Access maintained - ongoing.
SPIR-LU10	Property & Landuse	Where temporary changes to property access are required during construction, alternative access will be determined in consultation with affected property owners and tenants.	All	All	Construction	RMS/Contractor	Access maintained - ongoing.
SPIR-LU11	Property & Landuse	There will be ongoing communication with local communities about changes to the local road network, including likely delays and disruptions and alternative accesses if required.	All	All	Construction	RMS/Contractor	Achieved via notifications reviewed and approved by RMS
SPIR-LU12	Property & Landuse	Where possible, onsite reuse of any spoil is the preferred solution for managing the impacts, although alternative options for the reuse or disposal of spoil will be identified in the surplus material management plan.	All	All	Construction	Contractor	Included and managed as per the approved CSWMP
SPIR-LU13	Property & Landuse	The management of surplus material will be further developed during detailed design, in consultation with relevant stakeholders. This will build upon the principles of the strategy described in Chapter 3 of the Submissions and Preferred Infrastructure Report (Roads and Maritime, 2013).	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Noted and applied to the project works
SPIR-LU14	Property & Landuse	Forestry Corporation of NSW will be able to harvest millable timber in affected State forests prior to works commencing. However, consideration will also be given to opportunities for the productive use of trees removed from non-State forest areas of the project, including ancillary facilities where necessary.	All	All	Construction	RMS	Harvest of millable timber was maximised during clearing operations
SPIR-LU15	Property & Landuse	Environmental management measures will be implemented to minimise potential for impacts on adjoining agricultural uses, including from changes in water quality and spread of weeds and pests.	All	All	Construction	RMS/ Contractor	Managed in accordance with the approved CSWMP and CFFMP for Sections 1 and 2.
SPIR-LU16	Property & Landuse	Where pesticides are required during construction, implement appropriate environmental management measures to avoid potential impacts on adjoining agricultural properties.	All	All	Construction	Contractor	Managed in accordance with the approved CFFMP
SPIR-LU17	Property & Landuse	There will be ongoing consultation and communication with managers of agricultural properties to identify any potential impacts on nearby construction workers from farm operations (ie use of pesticides on agricultural properties).	All	All	Construction	RMS/ Contractor	Noted
SPIR-LU18	Property & Landuse	Ongoing consultation and communication will be undertaken with commercial fishing and relevant aquaculture operators about construction activities within and near the Clarence and Richmond rivers. Stakeholders include the estuary prawn trawl fishery, and estuary general fishery within the Clarence River, the NSW Department of Primary Industries (Fisheries) and licensed fishing interests within the Richmond River regarding the timing and duration of construction, potential impacts (including changes to river access) and proposed mitigation measures.	Stage 2	Stage 2	Construction	Contractor	Stage 2
SPIR-LU19	Property & Landuse	Relocation or adjustment of infrastructure will be planned to minimise disruptions and impacts on surrounding properties.	All	All	Construction	RMS/ Contractor	Noted and is being undertaken during both preconstruction and construction
SPIR-LU20	Property & Landuse	Communication will be undertaken with nearby communities about the timing and duration of potential disruptions to infrastructure.	All	All	Construction	RMS/ Contractor	Noted and is being undertaken in accordance with the RMS Communications Strategy and the Contractors Community Action Plan
SPIR-LU21	Property & Landuse	Roads and Maritime' land that is required for the project will be appropriately maintained. This will be undertaken by regional Roads and Maritime officers or a designated local authority. Roads and Maritime manage the leasing and maintenance of property identified as suitable for tenants.	All	All	Operation	RMS	This is being undertaken in accordance with RMS Property maintenance processes.
SPIR-LU22	Property & Landuse	Excavation works near Lot7008 DP92609 will be carefully managed in consultation with Richmond Valley Council to minimise potential impacts on any unknown heritage items including potential burials.	9	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-LU23	Property & Landuse	Ongoing consultation will be undertaken with owners of agricultural properties affected by the project – through acquisition, changes to local access or fragmentation of properties – about potential impacts on farming operations and potential measures to manage or mitigate identified impacts.	All	All	Operation	RMS/ Contractor	Noted and is ongoing in accordance with the Land Acquisition (Just Terms Compensation) Act 1991 and RMS' Land Acquisition Policy (RTA, 1999).
SPIR-LU24	Property & Landuse	Consultation with Forestry Corporation will be undertaken regarding access to and within State forests where required, in accordance with the <i>Forestry Act 2012</i> .	All	All	Detailed Design Operation	RMS/ Contractor	This has been completed for Sections 1 & 2, and will be ongoing during construction for the contractor. Section 2 has 4.5Ha of State Forest under Forest Permit Lease (issued by Forestry Corporation of NSW) for construction and operation of temporary sedimentation basins and stockpiles.
SPIR-LU25	Property & Landuse	Consultation with Forestry Corporation will be undertaken regarding the relocation of fire trails directly impacted by the project's construction or operation.	All	All	Detailed Design Operation	RMS/ Contractor	This has been completed for Sections 1 & 2, and will be ongoing during construction for the contractor. Notification requirements are listed in the G36 and G40.
SPIR-LU26	Property & Landuse	The Cane Farm Strategy will be further developed during detailed design, in consultation with relevant stakeholders. This will build upon the principles of the strategy described in Chapter 3 of this Submissions and Preferred Infrastructure Report.	All	All	Pre-construction Detailed Design	RMS	Consultation held with relevant stakeholders to capture design requirements. Property acquisition plans include drainage.
SPIR-LU27	Property & Landuse	As far as possible, property accesses will be reinstated or new access provided, in consultation with impacted landowners.	All	All	Detailed Design Operation	RMS/ Detailed Designer/ Contractor	For sections 1 & 2, new property accesses have been designed to replace those that are lost or modified. This has been undertaken in consultation with impacted landowners.
SPIR-LU28	Property & Landuse	Access to national parks and nature reserves will be reinstated in consultation with the relevant department in Office of Environment and Heritage.	All	All	Detailed Design Operation	RMS/ Detailed Designer/ Contractor	Noted
SPIR-LU29	Property & Landuse	Consultation will be undertaken with land owners operating quarries adjacent to the project, including those near Tucabia, Broadwater and Bagotville, and relevant NSW State government agency. Consultation aim to identify appropriate management measures for each affected quarry, particularly regarding operational approvals in terms of site access, extraction limits, blasting limits, and timing of works, noise and vibration.	3, 9 and 10	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer/ Contractor	Stage 2



Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-LU30	Property & Landuse	Consultation will be undertaken with the relevant State Government agency to consider any future coal seam gas production in the vicinity of the project.	All	All	Pre-construction	RMS/ RMS	Noted and undertaken as necessary
SPIR-LU31	Property & Landuse	Consultation will be undertaken with service and utility providers to verify locations, impacts and any relocation or construction protection work required.	All	All	Detailed Design Operation	RMS/ Detailed Designer/ Contractor	This has been Completed for Sections 1 & 2
SPIR-LU32	Property & Landuse	Consultation will be undertaken with Rous Water and local Aboriginal stakeholders before the removal of part or any of the abandoned pipelines through Lang Hill will be undertaken in consultation	8	Stage 2	Pre-construction	RMS/ Contractor	Stage 2
SPIR-LU33	Property & Landuse	Consultation will be undertaken with Richmond Valley Council during the detailed design phase, regarding the location and timing of the Broadwater Sewerage Scheme rising pump station, located off Broadwater-Evans Head Road.	9	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
<b>Social &amp; Economic</b>							
SPIR-SE1	Social and Economic	Consultation will be undertaken with local business owners, industry and tourism operators directly affected by construction and located closest to construction works. The focus will be on the timing, duration and likely impact of construction activities, to identify appropriate measures to manage potential impacts.	All	All	Pre-construction Construction	RMS/ Contractor	Ongoing consultation with Matilda and Shell service stations being implemented by Community Relations team throughout construction
SPIR-SE2	Social and Economic	Consultation will be undertaken with managers of community services and facilities near the proposed construction works, to ensure that potential impacts are appropriately managed.	All	All	Pre-construction Construction	RMS/ Contractor	Ongoing consultation with Halfway Creek Community Hall being implemented by Community Relations team throughout construction
SPIR-SE3	Social and Economic	Consultation will be undertaken with residents and local communities closest to construction works about construction activities, including timing, duration and likely impacts.	All	All	Pre-construction Construction	RMS/ Contractor	Noted and is being undertaken in accordance with RMS communications strategy and the contractors community action plan
SPIR-SE4	Social and Economic	Signage will be implemented for bypassed towns in accordance with Roads and Maritime signage guidelines and in consultation with relevant councils. Signage on the project will identify bypassed townships (Grafton, Ulmarra, Tyndale, Maclean, New Italy, Woodburn, Broadwater and Wardell) as places for 'stopovers' for fuel, supplies and short term accommodation, to support demand for goods and services within these townships.	Stage 2	Stage 2	Detailed Design Construction Operation	RMS/ Detailed Design/ RMS	Stage 2
SPIR-SE5	Social and Economic	Roads and Maritime will work with Councils affected by the upgrade, where relevant, to support strategies by local councils and/or chamber of commerce and industry to promote townships and villages as stopovers for tourist.	All	All	Construction Operation	RMS	Noted and is being undertaken in accordance with RMS communications strategy and the contractors community action plan
SPIR-SE6	Social and Economic	Roads and Maritime will work with Councils affected by the upgrade, during detailed design, to discuss the classification of the existing Pacific Highway and, where appropriate, the required transfer process of state road assets to Council.	All	All	Pre-construction Detailed Design	RMS/ RMS/ Detailed Designer	Initiated during detailed design with further discussions relating to transfer ongoing during construction phase
SPIR-SE7	Social and Economic	Maintain access to properties near to the project during construction, including, where required, for the movement of farm equipment and livestock between properties, and for access to the Berry Exchange and other affected agribusinesses.	All	All	Construction	Contractor	Undertaken by Community Relations Team
SPIR-SE8	Social and Economic	Where temporary changes to property access are required during construction, alternative access will be determined in consultation with affected property owners and tenants.	All	All	Construction	RMS/ Contractor	Undertaken by Community Relations Team where required
SPIR-SE9	Social and Economic	Undertake consultation with the Harwood Island Public School and other community facilities located adjacent to the project about proposed changes to local access.	All	All	Operation	RMS	Not applicable for Sections 1 and 2
SPIR-SE10	Social and Economic	Undertake early and ongoing communication and consultation with emergency services to allow planning for potential changes to response patterns and input into the design development.	All	All	Detailed Design Operation	RMS/ Detailed Designer	For sections 1 and 2, this has been undertaken during preconstruction.
SPIR-SE11	Social and Economic	Access to Broadwater mill land between MacDonalds Street and River Road will be reviewed at the detailed design stage.	9	Stage 2	Detailed Design Operation	RMS/ Detailed Designer	Stage 2
SPIR-SE12	Social and Economic	The access arrangements for local traffic at Whytes Lane and the tie into the Ballina bypass upgrade will be reviewed together with any potential boundary refinements at the detailed design stage.	11	Stage 2	Detailed Design Operation	RMS/ Detailed Designer	Stage 2
<b>Soil &amp; Water</b>							
SPIR-SSW1	Soil & water	Batter slope gradients will be designed to minimise erosion of select topsoil.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	For sections 1 & 2, this has been addressed during detailed design.
SPIR-SSW2	Soil & water	Where feasible, bench cuttings will be diverted onto contours and surface flow drainage paths designed to spread flow at the source in preference to concentrating the flow and treating it further downstream.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	For sections 1 & 2, this has been addressed during detailed design.
SPIR-SSW3	Soil & water	As part of the Construction Environmental Management Plan, a soils and water management plan will be prepared and include (but not limited to): <ul style="list-style-type: none"> <li>Erosion and sediment control plans for all stages of construction.</li> <li>Consideration of soil erodibility.</li> <li>At-source erosion controls (eg check dams).</li> <li>Sedimentation basin construction and management.</li> <li>Protection of waterways.</li> <li>Acid sulfate soil sub-plan issues (including from groundwater drawdown).</li> <li>Management of stockpiles.</li> <li>Tannin leachate management control.</li> <li>Batch plant/ chemical storage controls.</li> <li>Water quality monitoring and checklists.</li> <li>Detailed consideration of measures to prevent, where possible, or minimise any water quality impacts.</li> </ul>	All	All	Pre-construction	RMS/ Contractor	Approved CEMP includes Construction Soil and Water Management Plan
SPIR-SSW4	Soil & water	Erosion and sediment control plans will be developed in line with current Roads and Maritime specifications and as detailed in the Working paper – Water quality.	All	All	Pre-construction Detailed Design	Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW5	Soil & water	A soil conservationist will be engaged during detailed design to inform the soils and water management plan.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Completed
SPIR-SSW6	Soil & water	Sedimentation basins and water quality ponds will be sized and located in accordance with the principles identified in the Working paper – Water quality.	All	All	Pre-construction Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Completed
SPIR-SSW7	Soil & water	Exposed areas will be progressively rehabilitated. Methods will include permanent revegetation, or temporary protection with spray mulching or cover crops.	All	All	Construction	Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW8	Soil & water	Any necessary approvals will be obtained in accordance with Roads and Maritime specification G36 for permanent and temporary waterway crossings.	All	All	Construction	RMS/ Contractor	Significant consultation has occurred during preconstruction with several agencies regarding the permanent design and will be ongoing for temporary waterway crossings.
SPIR-SSW9	Soil & water	All work potentially affecting wetlands will be undertaken in consideration of the requirements outlined in the NSW Wetlands Management Policy 2010.	All	All	Detailed Design Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW10	Soil & water	Topsoil, earthworks and other excess spoil material will be stockpiled and managed in accordance with Roads and Maritime Stockpile Management Guidelines (Roads and Maritime, 2011a) and the "Management of Surplus Material" in Section 3.9 of the Submissions / Preferred Infrastructure Report.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-SSW11	Soil & water	Where reasonable and feasible, stockpiles will: • Not require removal of areas of native vegetation. • Be located outside of known areas of weed infestation. • Be located such that waterways and drainage lines are not directly or indirectly impacted.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW12	Soil & water	Where practicable, stockpiles will be located away from areas subject to concentrated overland flow. Stockpiles located on a floodplain be finished and contoured so as to minimise loss of material in flood or rainfall events.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW13	Soil & water	Topsoil will be stockpiled separately and inspected for noxious weed seedlings at six monthly intervals and controlled with herbicide as required.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW14	Soil & water	All construction stockpiles will comply with the requirements of the <i>Protection of the Environment Operations Act 1997</i> and NSW Waste Avoidance and Resource Recovery Strategy 2007 for any waste activities that involve the generation, storage and/or disposal of waste and also consider the NSW Resource Recovery Exemptions as applying the storage of stockpiled material.	All	All	Construction	RMS/ Contractor	Noted
SPIR-SSW15	Soil & water	Stockpiles containing potential acid sulfate soils will be lined, bunded and covered in accordance with relevant guidelines.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Acid Sulphate Materials Management Plan
SPIR-SSW16	Soil & water	Management of tannin leaching from vegetation mulch will be in accordance with Roads and Maritime' Environmental Direction – Management of Tannins from Vegetation Mulch (Roads and Maritime, 2012).	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW17	Soil & water	A Stage 1 Preliminary Site Investigation will be conducted to verify past and present potentially contaminating activities, potential contaminants of concern and the need for further investigation. This will include a review of past highway crashes and spills and the associated contamination risks.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Completed
SPIR-SSW18	Soil & water	If necessary, a Stage 2 Detailed Site Investigation will be undertaken to: • Provide information on the type, nature, extent and concentrations of contamination present, and the corresponding risks to human health and the environment. • Examine pathways of contaminant dispersal and exposure, the potential for off-site impacts and the management requirements and options.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	For sections 1 and 2, a Phase 2 contamination investigation has been undertaken. For other sections and based on outcome of the Stage 1 Investigations, this has not been required.
SPIR-SSW19	Soil & water	If required, a Stage 3 Remedial Action Plan will be produced, detailing the remediation goals, environmental safeguards, and any necessary approval and licence requirements in accordance with NSW Office of Environment and Heritage guidelines.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Based on outcome of the Stage 1 Investigations, this has not been required.
SPIR-SSW20	Soil & water	Where further assessment indicates that further action is not required, Roads and Maritime' Contaminated Land Management Guideline (RTA, 2005a) will be applied to address any contamination issues and prevent any associated adverse impacts.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Noted
SPIR-SSW21	Soil & water	A hazardous materials buildings assessment will be carried out before the demolition of any structures or buildings to identify the issues of concern and the management requirements. This is required under Clause 1.6 of Australian Standard AS 2601 – 2001 The Demolition of Structures.	All	All	Construction	RMS/ Contractor	Undertaken by demolition sub-contractor that is engaged by the Principal Contractor
SPIR-SSW22	Soil & water	An emergency spill response plan will be developed and incorporated into the soils and water management plan. This plan will detail measures for the prevention, containment and clean-up of accidental spills of fuels and chemicals.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW23	Soil & water	The storage, handling and use of the chemicals and fuels will be in accordance with the Work Health and Safety Act 2000 and Workcover's Storage and Handling of Dangerous Goods Code of Practice (WorkCover, 2005).	All	All	Construction	RMS/ Contractor	Noted
SPIR-SSW24	Soil & water	Strategies to remove / reduce risks associated with acid sulfate soils will be identified.	All	All	Pre-construction Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Noted and this has been undertaken during preconstruction and will continue to be applied during the construction phase.
SPIR-SSW25	Soil & water	An acid sulfate soils management plan will be implemented in accordance with Guidelines for the Management of Acid Sulfate Materials (Roads and Maritime 2005) and Waste Classification Guidelines Part 4: Acid Sulfate Soils (DECC 2008), where there is a probability of encountering acid sulfate soils during construction.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Acid Sulphate Materials Management Plan
SPIR-SSW26	Soil & water	Appropriate erosion and sediment controls, following the guidelines of the 'Blue Books' (Landcom, 2004 and DECC, 2008a), and Roads and Maritime' Technical Guideline – Temporary Stormwater Drainage for Main Road Construction (Roads and Maritime, 2010b) will be established before the start of construction and maintained in effective working order for the duration of the construction period until site stabilisation.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW27	Soil & water	Works within waterways will consider the need to maintain fish passage, in consultation with the Department of Primary Industries (Fisheries).	All	All	Detailed Design Construction	RMS/ Contractor	There has been significant consultation with DPI and will be ongoing during construction
SPIR-SSW28	Soil & water	Flow discharge points will be designed with erosion controls to manage the flow velocities.	All	All	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Noted and addressed during detailed design
SPIR-SSW29	Soil & water	Where appropriate, construction phase sedimentations basins will be designed so they could be retained and used as permanent operational water quality ponds, where required for operational purposes.	All	All	Detailed Design Construction	RMS/ Detailed Designer	Noted and addressed during detailed design
SPIR-SSW30	Soil & water	Sizing of sedimentation basins that drain into the Solitary Islands Marine Park will be reviewed to consider the use of 90th percentile sedimentation basins.	1	Stage 1	Detailed Design Construction	RMS/ Detailed Designer	NA
SPIR-SSW31	Soil & water	Sedimentation basins will be inspected at regular intervals and following significant rainfall events to assess available water storage capacity, water quality, structural integrity and debris levels.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW32	Soil & water	Where appropriate, an approved flocculent will be applied to sedimentation basins as early as possible so that early mixing of flocculants occurs. Water quality will be tested prior to discharge in accordance with any licence requirements.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan, gypsum used as approved flocculent
SPIR-SSW33	Soil & water	Where sediment has built up in a basin to a point where the total sediment storage zone has reached capacity, sediment will be removed and appropriately disposed of.	All	All	Construction	Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW34	Soil & water	Water from sedimentation basins will be used for construction purposes, such as dust suppression, where feasible.	All	All	Construction	Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW35	Soil & water	When sedimentation basins require pumping out rather than discharge via a flow outlet, a float will be attached to the suction hose or the hose will be located inside a bucket to prevent sediment from the basin floor from being discharged.	All	All	Construction	Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW36	Soil & water	Records will be kept of water quality monitoring and erosion and sediment control inspections, including details of rain events, use of flocculants, discharge, sediment removal and dewatering activities.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-SSW37	Soil & water	Physical controls to address the potential risks associated with the use and storage of chemicals on site will include: <ul style="list-style-type: none"> <li>• Use of appropriately banded storage facilities for chemicals and fuels.</li> <li>• Use of appropriately banded areas for refuelling and washdown.</li> <li>• Availability of effective spill kits at all construction sites.</li> </ul>	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW38	Soil & water	At ancillary facilities, management of runoff and spills will include: <ul style="list-style-type: none"> <li>• Restricting vehicle movements to designated pathways where feasible.</li> <li>• Paving areas that will be exposed for extended periods, such as car parks and main access roads, where reasonable and feasible.</li> <li>• Diverting off-site runoff around sites where required.</li> <li>• Locating chemical or other hazardous material storage areas away from areas of known near-surface groundwater supplies, in areas where the water table is more than five metres below the surface; otherwise, areas be lined if they are to be located over a shallow groundwater source less than two metres deep.</li> </ul>	All	All	Construction	RMS/ Contractor	Included in approved ancillary facility management sub plans
SPIR-SSW39	Soil & water	Soil and water management at borrow source sites will be in line with Volume 2E of the Blue Book which covers water management of mines and quarries.	All	Stage 2	Construction	RMS/ Contractor	NA Section 1 & 2 - no borrow sites proposed
SPIR-SSW40	Soil & water	Discharges from the sediment basins during construction that do not meet the water quality parameters for Oxleyan Pygmy Perch habitat should not be discharged into the waterways that are known habitat for Oxleyan Pygmy Perch. Strategies will be implemented during construction to manage discharge of basin water, so that water depth and physico-chemical conditions are not changed in areas of Oxleyan Pygmy Perch habitat. Discharge protocols and criteria will be developed in consultation with Department of Primary Industries (Fisheries) and Office of Environment and Heritage during detailed design.	1, 2, 6, 7, 8 and 9	All	Construction	RMS/ Contractor	N/A as No Oxleyan Pygmy Perch in Section 2
SPIR-SSW41	Soil & water	Further assessment involving geotechnical boreholes, monitoring boreholes and water quality testing at cutting sites will be undertaken at Type A cutting sites to monitor impacts on local groundwater reserves.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Significant installation and monitoring has been undertaken to date with further monitoring as per the approved Water QMProgram.
SPIR-SSW42	Soil & water	Where groundwater is released, recharge of the water table is the preferred option of managing groundwater. This will be facilitated by collecting groundwater in grassed swales for infiltration back to the groundwater source. Where possible, these swales will divert the groundwater around the construction area so that the groundwater does not further mix with construction runoff.	All	All	Construction	RMS/ Contractor	Noted
SPIR-SSW43	Soil & water	If recharging is not possible or suitable, then discharging groundwater will be collected via the sedimentation basins before discharge into natural waterways. If discharging to downstream groundwater, then the potential effects of mounding[1] will be mitigated.	All	All	Pre-construction	RMS/ Contractor	Noted
SPIR-SSW44	Soil & water	Dewatering of excavations will be undertaken in line with Roads and Maritime' Technical Guideline – Environmental Management of Construction Site Dewatering (Roads and Maritime, 2011c), and in accordance with any licence conditions.	All	All	Construction	Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-SSW45	Soil & water	Further investigations will be undertaken to identify any impacts from contaminated groundwater from the former landfill sites at Firth Heinz Road and Crowleys Road.	3	Stage 2	Pre-construction Detailed Design	RMS	Stage 2
SPIR-SSW46	Soil & water	The proposed management strategy to address potential impacts at type A cuttings includes: <ul style="list-style-type: none"> <li>• Pre-works investigations – geotechnical investigations to determine groundwater condition (quality parameters: electrical conductivity, groundwater depth, geological information), presence of actual or potential acid sulfate soils, presence or potential of salinisation, establishing groundwater monitoring sites, and gathering of other pertinent information.</li> <li>• Assessment – including the EIS assessment, the pre-works investigations carried out, groundwater modelling of cuts (and the Rous Water Woodburn borefield site), and predictions made from those results.</li> <li>• Monitoring – to assess whether the investigation and its predictions are accurate and to instigate early intervention in the unlikely case/s that the actual outcomes deviate from predictions. Monitoring start before construction, and continue during construction. Monitoring also continue into the operation phase of the project.</li> <li>• Mitigation – implement environmental and engineering management measures where predictions and/or modelling and monitoring suggest that these are required to minimise impacts on groundwater.</li> </ul>	All	All	Pre-construction Detailed Design Construction	RMS	The Water Quality Monitoring Program for Sections 1 & 2 was approved by the Department of Planning & Environment on the <b>8/5/15</b> .  Ongoing monitoring of groundwater is occurring and will continue throughout the construction phase.
SPIR-SSW47	Soil & water	The monitoring of locations in the vicinity of type B cuttings and major embankments will commence before construction to identify the need to implement any mitigation measure.	All	All	Pre-construction Detailed Design Construction	RMS	The Water Quality Monitoring Program for Sections 1 & 2 was approved by the Department of Planning & Environment on the <b>8/5/15</b> .  Significant installation and monitoring has been undertaken to date with further monitoring as per the approved Water QMProgram.
SPIR-SSW48	Soil & water	If required to manage groundwater impacts at type A and type B cuttings and major embankments, the following engineering mitigation measures will be considered: <ul style="list-style-type: none"> <li>• Engineering measures that transfer the seepage water downstream. Standard practice will be to collect the seepage from the cut face in the drainage system for the highway, which will be diverted into water quality basins before being released back into the creek or natural drainage system at some point downstream.</li> <li>• Engineering impact mitigation measures that transfer the seepage water (where present) into the groundwater ecosystem immediately downslope of the cutting or embankments.</li> </ul>	All	All	Pre-construction Detailed Design Construction	RMS	The Water Quality Monitoring Program for Sections 1 & 2 was approved by the Department of Planning & Environment on the <b>8/5/15</b> .  Significant installation and monitoring has been undertaken to date with further monitoring as per the approved Water QMProgram.
SPIR-SSW49	Soil & water	Major embankments will be designed to enable distributed flow of surface waters.	All	All	Pre-construction Detailed Design Construction	Detailed Designer	Addressed during detailed design
SPIR-SSW50	Soil & water	Measures to manage high-risk groundwater impact areas will continue to be considered through the detailed design process. In identified areas, the design of water quality controls will be reviewed and the need for additional controls may be identified.	All	All	Pre-construction Detailed Design Construction	RMS/ Detailed Designer	Significant installation and monitoring has been undertaken to date with further monitoring as per the approved Water QMProgram.
SPIR-SSW51	Soil & water	Where reasonable and feasible, sites used for batch plants, refuelling and chemical storage will be managed so that no groundwater intrusion occurs.	All	All	Pre-construction Construction	RMS/ Contractor	Noted
SPIR-SSW52	Soil & water	All construction runoff to the Rous Water bore fields will be diverted to appropriate sedimentation controls basins. No runoff will bypass the basins untreated, regardless of the size of the footprint of the work. In addition, all basins in the bore fields will be clay lined to prevent seepage. If required, the depth of the basins will be reduced from the standard depth of two metres to one metre in these areas to avoid penetration of the natural clay layer, with the volume of the basins maintained by increasing their footprint where reasonable and feasible.	8	Stage 2	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Stage 2
SPIR-SSW53	Soil & water	Sizing of sedimentation basins in the Rous Water bore fields will be reviewed to consider the use of 90th percentile basins.	8	Stage 2	Detailed Design Construction	RMS/ Detailed Designer	Stage 2
SPIR-SSW54	Soil & water	The following construction activities will not be permitted within the Rous Water bore field catchment without additional control measures to reduce risk of impact to the borefield and groundwater: <ul style="list-style-type: none"> <li>• Refuelling.</li> <li>• Washdown.</li> <li>• Storage of chemicals or other hazardous substances.</li> <li>• Installation of concrete batch plants.</li> </ul>	8	Stage 2	Construction	RMS/ Contractor	Stage 2



Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-SSW55	Soil & water	Water quality ponds will be designed to be shallower between stations 131.1 and 134.0 (namely one metre compared to two metres) to avoid penetration of the natural clay layer, where possible. Alternatively, where not feasible, clay capping/ lining of the basin will be undertaken or consideration of appropriately designed swales.	8	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-SSW56	Soil & water	Alternative operational water quality management measures such as the use of biofilters, sand filters or measures used in the Tintenbar to Ewingsdale Pacific Highway upgrade project will be considered during detailed design.	8	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-SSW57	Soil & water	Consultation will be undertaken with Rous Water to co-ordinate mitigation actions including the definition of appropriate buffer zones between the project and bores.	8	Stage 2	Pre-construction	RMS	Stage 2
SPIR-SSW58	Soil & water	Consultation will be undertaken with Rous Water to address the 12 elements of the Australian Drinking Water Guidelines Management Framework.	8	Stage 2	Pre-construction	RMS	Stage 2
SPIR-SSW59	Soil & water	All permanent water quality basins will incorporate measures to contain accidental fuel and chemical spills resulting from vehicle accidents on the highway. Basins will be designed to accommodate a spill volume of up to 40,000 litres.	All	All	Detailed Design Operation	RMS/ Detailed Designer	Addressed during detailed design
SPIR-SSW60	Soil & water	For water quality treatment in floodplains and other locations with minimal changes in gradient, grassed swales will be considered during detailed design.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	Addressed during detailed design
SPIR-SSW61	Soil & water	Appropriate scour protection for drainage measures will be determined during detailed design.	All	All	Detailed Design Operation	RMS/ Detailed Designer	Addressed during detailed design and as per the SWMP
SPIR-SSW62	Soil & water	Surface water quality monitoring will be undertaken in accordance with Roads and Maritime' Guideline for Construction Water quality Monitoring (RTA, 2003), and as per the framework outlined in the Working paper – Water quality.	All	All	Pre-construction	RMS/ Contractor	The Water Quality Monitoring Program for Sections 1 & 2 was approved by the Department of Planning & Environment on the <b>8/5/15</b> .
SPIR-SSW63	Soil & water	Groundwater monitoring will be undertaken in accordance with the framework outlined in the Working paper – Groundwater (Section 5.2).	All	All	Construction	RMS/ Contractor	The Water Quality Monitoring Program for Sections 1 & 2 was approved by the Department of Planning & Environment on the <b>8/5/15</b> .
SPIR-SSW64	Soil & water	Consultation will be undertaken with Department of Defence regarding the potential for unexploded ordnance to be encountered east of Broadwater.	9	Stage 2	Pre-construction	RMS	Stage 2
<b>Transport &amp; Traffic</b>							
SPIR-T&T1	Traffic & Transport	Construction traffic management plans will be prepared and implemented for work sites. They will include: <ul style="list-style-type: none"> <li>• Identification of all public roads to be used by construction traffic.</li> <li>• Management methods to direct construction traffic to use identified roads.</li> <li>• Identification of all public roads that may be partially or completely closed during construction, and the expected timing and duration of closures.</li> <li>• Details on likely impacts on existing traffic (including pedestrians, vehicles, cyclists and disabled persons).</li> <li>• Temporary traffic arrangement measures, including property access.</li> <li>• Details on access to construction sites, including entry and exit locations, and measures to prevent construction vehicles queuing on public roads.</li> <li>• A response plan for any incident involving construction traffic.</li> <li>• Mechanisms for monitoring, reviewing and amending the success of the plans.</li> </ul> The traffic management plans be prepared in consultation with councils.	All	All	Pre-construction Construction	RMS/ Contractor	Included in approved Construction Traffic and Access Management Plan
SPIR-T&T2	Traffic & Transport	A strategy will be prepared for bulk earthworks haulage between the crossing of the Richmond River and the interchange at Wardell. The strategy will seek to maximise the extent of haulage within the project boundary and limit the need to haul material through the town of Wardell.	10	Stage 2	Pre-construction Construction	RMS/ Contractor	Stage 2
SPIR-T&T3	Traffic & Transport	Traffic control schemes will be inspected as follows: <ul style="list-style-type: none"> <li>• Pre-start and pre-closedown inspections of short-term traffic controls.</li> <li>• Weekly inspections of long-term traffic controls.</li> <li>• Night-time inspections of long-term traffic controls.</li> </ul>	All	All	Construction	RMS/ Contractor	Included in approved Construction Traffic and Access Management Plan
SPIR-T&T4	Traffic & Transport	Vehicle movement plans and haulage route plans will be prepared. Drivers will be briefed on these vehicle movement plans during project induction. Deliveries be planned to occur outside peak traffic periods, where possible. To minimise queuing of construction vehicles on the highway, site personnel use two-way radios to call up haulage trucks from layover areas on a 'just in time' basis.	All	All	Construction	RMS/ Contractor	Included in approved Construction Traffic and Access Management Plan
SPIR-T&T5	Traffic & Transport	Applications for Road Occupancy licences will be submitted to Roads and Maritime Services and the relevant council at least 10 working days prior to proposed occupancy.	All	All	Pre-construction Construction	RMS/ Contractor	Included in approved Construction Traffic and Access Management Plan
SPIR-T&T6	Traffic & Transport	Pre-construction road dilapidation reports will be prepared for all roads likely to be used by construction traffic. Post-construction road dilapidation reports will be prepared following the completion of construction for all roads assessed prior to construction. Dilapidation resulting from construction activity will be repaired. Copies of road dilapidation reports will be sent to the relevant roads authority.	All	All	Pre-construction Construction	RMS/ Contractor	Included in approved Construction Traffic and Access Management Plan
SPIR-T&T7	Traffic & Transport	Access be maintained to properties during construction including, where necessary and feasible, temporary alternative access unless otherwise agreed with property owners. Where any legal access is permanently affected, alternative access to an equivalent standard to and from a public road will be provided where a property has no other legal means of access and where such alternative access is feasible and practical. Where alternative access arrangements are not feasible or practical and a property is left with no access to a public road, negotiations will be undertaken with the relevant property owner for acquisition of the property in accordance with the provisions of the Land Acquisition (Just Terms Compensation) Act 1991.	All	All	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Included in approved Construction Traffic and Access Management Plan
SPIR-T&T8	Traffic & Transport	Where changes in access affect bus stop locations, temporary alternatives will be provided in conjunction with bus operators and affected schools to maintain access during construction.	All	All	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Noted, bus stop at Kungala Road relocated in consultation with bus companies and local residents
SPIR-T&T9	Traffic & Transport	Where access to State forest land is affected during construction, a new access route will be provided in consultation with the Department of Primary Industries (Forests NSW).	All	All	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Access to State Forest maintained throughout construction. Section 2 has approved lease from Forestry Corporation for 4.5Ha for temporary sedimentation basins and stockpiles.
SPIR-T&T10	Traffic & Transport	Where maritime traffic access to the Clarence and Richmond rivers is affected during construction of bridge crossings, appropriate signage will be provided indicating alternative means of access and the timing of the works.	5 and 10	Stage 2	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Stage 2
SPIR-T&T11	Traffic & Transport	Access to the Clarence and Richmond rivers will be maintained for industry and recreational waterway users.	5 and 10	Stage 2	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Stage 2
SPIR-T&T12	Traffic & Transport	Access to Glenugie State Forest around the interchange at Eight Mile Lane and Lookout Road will be further reviewed in consultation with State Forest Corporation.	3	Stage 2	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Stage 2
SPIR-T&T13	Traffic & Transport	The layout of the intersection at Yamba Road will be reviewed to better meet the needs of truck movements from Harwood Mill, where reasonable and feasible.	5	Stage 2	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Stage 2
SPIR-T&T14	Traffic & Transport	The need for a full interchange at Yamba Road will be investigated should traffic growth warrant it in the future and when funding is available.	5	Stage 2	Detailed Design Construction	RMS	Stage 2
SPIR-T&T15	Traffic & Transport	The need for a full interchange with south facing ramps at Watts Lane, Harwood will be investigated should traffic growth warrant it in the future and when funding is available.	5	Stage 2	Detailed Design Construction	RMS	Stage 2
SPIR-T&T16	Traffic & Transport	The need for the overpass and the arrangement of local access at Chatsworth Road will be reviewed at the detailed design stage depending on specific staging and delivery of the highway.	5	Stage 2	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	Stage 2

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-T&T17	Traffic & Transport	The need for the overpass and arrangement of local access at Carrols Lane will be reviewed at the detailed design stage depending on specific staging and delivery of the highway.	5	Stage 2	Detailed Design Construction	RMS/ Detailed Designer/	Stage 2
SPIR-T&T18	Traffic & Transport	Connectivity between the shared user path from Harwood Bridge to Yamba Road would be reviewed to refine pedestrian and cyclist access	5	Stage 2	Detailed Design Construction	RMS/ Detailed Designer/	Stage 2
<b>Urban Design</b>							
SPIR-UD1	Urban Design & Landscape	If further noise modelling identifies that noise walls are required, further visual assessment address the visual implications of the change. Their location and design will be in accordance with the Noise Wall Design Guideline (RTA, 2007) and the principles identified in Working Paper – Urban design, Landscape Character and Visual Impact (Section 4.6.3).	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	For sections 1 & 2, An Urban Design and Landscape Plan has been submitted and approved by the Department of Planning & Environment on the <b>8/5/15</b>  Wave 1,2 and 3 soft soils works will not include landscaping.
SPIR-UD2	Urban Design & Landscape	Changes to the design of the Clarence and Richmond rivers bridges from this EIS, will require further visual assessment. Any changes will consider the principles identified in Working Paper – Urban design, Landscape Character and Visual Impact (Section 4.6.2), the performance criteria outlined in Chapter 5 of the EIS and funding arrangements.	5, 9, 10	Stage 2	Pre-construction Detailed Design	RMS/ Detailed Designer	Stage 2
SPIR-UD3	Urban Design & Landscape	The project will be carried out in accordance with the urban design and landscaping strategy, as identified in Section 11.4.1 of this EIS. Detailed landscape design for all project batters, and median planting areas will be developed in accordance with the Landscape Guidelines (RTA, 2008), the requirements of the Working Paper – Biodiversity (Section 5.2.2) and the landscape strategy to provide a robust, successful and effective planting design.	All	All	Pre-construction	RMS/ Contractor	For sections 1 & 2, An Urban Design and Landscape Plan has been submitted and approved by the Department of Planning & Environment on the <b>8/5/15</b>
SPIR-UD4	Urban Design & Landscape	The built form of the project, including consideration of the height, bulk, scale, materials and finishes for: <ul style="list-style-type: none"> <li>• Bridges.</li> <li>• Retaining walls.</li> <li>• Cuttings and embankments.</li> <li>• Road barriers.</li> <li>• Signage.</li> <li>• Fences.</li> <li>• Clear zones.</li> <li>• Topsoil management.</li> <li>• Water quality control ponds.</li> <li>• Fauna crossing.</li> <li>• Place marking and cultural plantings.</li> </ul> The project will be designed in accordance with the design principles identified in Working Paper – Urban Design, Landscape Character and Visual Impact, and relevant Roads and Maritime guidelines.	All	All	Pre-construction Detailed Design	RMS/ Detailed Designer	For sections 1 & 2, An Urban Design and Landscape Plan has been submitted and approved by the Department of Planning & Environment on the <b>8/5/15</b>
SPIR-UD5	Urban Design & Landscape	Further assessment will be undertaken of the impact of overshadowing on areas surrounding the project, particularly around Harwood Bridge, interchanges and overpasses near residential properties.	All	All	Pre-construction	RMS	Assessment during detailed design for Sections 1 & 2
SPIR-UD6	Urban Design & Landscape	Measures to mitigate visual impacts to viewpoints will be implemented, as identified in Table 11-42 and Working Paper – Urban Design, Landscape Character and Visual Impact. If any further viewpoints were identified during detailed design that have a moderate-high or high impact, screen planting also be considered.	All	All	Construction	RMS/ Contractor	For sections 1 & 2, An Urban Design and Landscape Plan has been submitted and approved by the Department of Planning & Environment on the <b>8/5/15</b>
SPIR-UD7	Urban Design & Landscape	Disturbed areas will be progressively revegetated throughout the construction period.	All	All	Construction	RMS/ Contractor	Included as part of approved Construction Soil and Water Management Plan
SPIR-UD8	Urban Design & Landscape	Where required, typical landscape treatments for ancillary facilities in forest areas will include: <ul style="list-style-type: none"> <li>• Providing screen planting.</li> <li>• Considering reinstatement of disturbed forest in heavily forested.</li> <li>• Considering the importance of the visual landscape at each location and allowing restoration of important forest vegetation to prominent ridge lines or other landscape elements where feasible and reasonable.</li> <li>• Negotiating with private landowners, as applicable, to determine future treatments for other non-forested ancillary facility locations.</li> <li>• Re-grading disturbed areas to achieve a sustainable and functional landform.</li> <li>• Stabilising all surfaces in accordance with good engineering and environmental practice.</li> </ul>	All	All	Construction	RMS/ Contractor	Noted
SPIR-UD9	Urban Design & Landscape	Typical landscape treatments for ancillary facilities in agricultural areas will include: <ul style="list-style-type: none"> <li>• Considering returning remnant agricultural land to agricultural uses.</li> <li>• Providing screen planting.</li> <li>• Reinstating riparian vegetation through ancillary facilities, where practicable, in the open landscape.</li> <li>• Considering the visual landscape at each ancillary facility and considering restoration of important forest vegetation to prominent ridge lines or other landscape elements where feasible and reasonable.</li> <li>• Re-grading disturbed areas to achieve a sustainable and functional landform.</li> <li>• Stabilising all surfaces in accordance with good engineering and environmental practice.</li> </ul>	All	All	Construction	RMS/ Contractor	Noted
SPIR-UD10	Urban Design & Landscape	The extent of excavation and the landscaping strategy at borrow sites will be reviewed considering material requirements on the project and the visual impact on the resultant cuttings.	All	All	Pre-construction	RMS/ Detailed Designer	Not applicable for Sections 1 & 2 as there are no Borrow sites
SPIR-UD11	Urban Design & Landscape	Any backfilling of the Lang Hill and West of Wardell borrow sites will be undertaken with available surplus material from the project. Rehabilitation of the sites will be undertaken in accordance of the landscape strategy (UD3), design principles (UD5) and the intended future land use of the sites.	8 and 10	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-UD12	Urban Design & Landscape	Any backfilling of the Eatons and Gibson borrow sites will be undertaken with available surplus material from the project. Landscaping on the site use indigenous species, including those species suitable for Koala. The landscaping will connect to the existing vegetation to the east of the project by a fauna land bridge to be constructed at station 147.6. Rehabilitation of the sites will be undertaken in accordance of the landscape strategy (UD3) and design principles (UD5).	10	Stage 2	Construction	RMS/ Contractor	Stage 2
SPIR-UD13	Urban Design & Landscape	Landscape and rehabilitation works will be monitored and remedial measures implemented where required until vegetation has stabilised.	All	All	Operation	RMS	Noted
SPIR-UD14	Urban Design & Landscape	The mounding profile of any earth mound will blend suitably into the existing landscape setting. Any mounding to be landscaped will be compacted in 1.5 metre layers with 1:3 maximum batter slopes where reasonable in consideration of constraints within the project corridor. Where feasible and reasonable, permanent mounds will be treated with ameliorants and overlaid with topsoil to minimum 150 millimetres to ensure suitable planting conditions are achieved.	All	All	Detailed Design Construction	RMS/ Detailed Designer/ Contractor	For sections 1 & 2, An Urban Design and Landscape Plan has been submitted and approved by the Department of Planning & Environment on the <b>8/5/15</b>
<b>Waste Management</b>							
SPIR-WM1	Waste	The cut-and-fill balance of the project will be further refined to obtain as much material as possible for reuse on the project.	All	All	Pre-construction	RMS	Earthwork balances have been achieved for Sections 1 & 2

Mitigation No.	Category	Management Measure	Section	Stage	Timing	Responsibility	Reference / Comment
SPIR-WM2	Waste	A resource management strategy will be prepared for construction of the project to identify the hierarchy for sourcing and use of resources. It include the following provisions: <ul style="list-style-type: none"> <li>• Available project cutting material (including Select Material Zone (SMZ) and verge material) will be used for the construction of embankments, SMZ and verge within that section to the extent that it is suitable.</li> <li>• Project sections with a deficit in material import surplus material from other project sections in preference to external sources.</li> <li>• Where possible, the distances that earthworks materials are moved across the project as a whole be minimised, notwithstanding the above two requirements.</li> <li>• Contractors will reduce the amount of unsuitable waste generated during excavations, where feasible (eg treatment at source).</li> <li>• The generation and management of unsuitable material during project earthworks will be monitored to ensure appropriate management of the issue.</li> </ul> The resource management strategy will also identify: <ul style="list-style-type: none"> <li>• Details on materials that be sourced from the project (including location and type).</li> <li>• Viable material suppliers (including water) near the project.</li> <li>• Proposed sustainable material sources practices (such as use of recycled materials or wastewater).</li> <li>• Materials that could be recycled and re-used on-site or transferred to other project sections.</li> </ul>	All	All	Pre-construction Construction	RMS/ Contractor	This is being managed in accordance with the contractors earth works management plan
SPIR-WM3	Waste	A waste register will be maintained by each contractor, detailing types of waste collected, amounts, date, time, and details of disposal.	All	All	Construction	RMS/ Contractor	Waste Register maintained on project file server and as per the approved Waste and Energy Management Plan
SPIR-WM4	Waste	Where possible, materials will be bought in bulk to minimise the amount of package required. Sources of material that have sustainable packaging design, recycled and recyclable packaging will be favoured over other material sources where cost effective.	All	All	Construction	RMS/ Contractor	Bulk supplies sourced whenever feasible
SPIR-WM5	Waste	Waste material generated on-site (including chemical, fuel and lubricant containers, and solid and liquid wastes) will be classified and disposed of in accordance with the Protection of the <i>Environment Operations Act 1997</i> and Waste Classification Guidelines Part 1: Classifying Waste (DECCW, 2009).	All	All	Construction	RMS/ Contractor	Addressed in approved Construction Waste and Energy Management Plan
SPIR-WM6	Waste	Waste minimisation and management measures will be developed based on the principles in the Waste Avoidance and Resource Recovery Act 2001, the NSW Government's Waste Reduction and Purchasing Policy, and waste exemptions including: <ul style="list-style-type: none"> <li>• Excavated Natural Material Exemption (EPA, 2008).</li> <li>• Excavated Public Road Material Exemption (EPA, 2012).</li> <li>• Raw Mulch Exemption (EPA, 2008).</li> <li>• Reclaimed Asphalt Pavement Exemption (EPA, 2012).</li> <li>• Recovered Aggregate Exemption (EPA, 2010).</li> <li>• Stormwater Exemption (EPA, 2008).</li> <li>• Treated Drilling Mud Exemption (EPA, 2011).</li> </ul> Measures seek to avoid, minimise, re-use, recycle, treat or dispose of waste streams during construction and address transport and disposal arrangements.	All	All	Construction	RMS/ Contractor	Noted
SPIR-WM7	Waste	Millable timber will be harvested for reuse off site. All other felled timber will be reused on-site in the form of habitat recreation or mulch in landscaping and erosion and sedimentation controls. Where mulch cannot be reused on-site, consideration will be given to making the mulch available to the public in accordance with the Roads and Maritime Environmental Direction 25 (2012) and the Raw Mulch Exemption (EPA, 2008).	All	All	Construction	RMS/ Contractor	Salvage of millable timber maximised. Raw mulch exemption 2008 has been superseded.
SPIR-WM8	Waste	Sediment removed from sedimentation basins will be used, where appropriate, on-site in landscaping and/or flattening of batters.	All	All	Construction	Contractor	Sediment will be beneficially reused where ever feasible
SPIR-WM9	Waste	Where feasible, the contractor will be required to re-use materials. This could include, but is not limited to, concrete formwork or surplus concrete pours.	All	All	Construction	Contractor	Included in approved CWEMP
SPIR-WM10	Waste	Site inductions and on-site training will be required to include waste minimisation principles and measures.	All	All	Construction	RMS/ Contractor	Included in Project Induction
SPIR-WM11	Waste	At site compounds, on-site recycling facilities will be provided for recycling paper, plastic, glass and other re-useable materials.	All	All	Construction	RMS/ Contractor	Recycling facilities provided at site compounds
SPIR-WM12	Waste	Regular visual inspections will be conducted to ensure that work sites are kept tidy and to identify opportunities for reuse and recycling.	All	All	Construction	RMS/ Contractor	Addressed as part of weekly inspections
SPIR-WM13	Waste	Water captured in excavations will be required to be either: <ul style="list-style-type: none"> <li>• Managed in accordance with the construction Soil and Water Management Plan.</li> <li>• Transferred to a licensed sediment basin, treated and discharged in accordance with any licence conditions that apply to the discharge of water, or,</li> <li>• Re-used for construction water or dust suppression.</li> </ul>	All	All	Construction	Contractor	Noted and managed in accordance with the approved SWMP
SPIR-WM14	Waste	Appropriate waste and recycling facilities will be provided at rest areas and heavy vehicle checking stations.	All	All	Operation	RMS/ Contractor	Appropriate waste and recycling facilities will be provided at rest areas and heavy vehicle checking stations.
SPIR-WM15	Waste	All operational waste will be managed in accordance with the Roads and Maritime waste management procedures and Environmental Management System.	All	All	Operation	RMS	Included in approved CWEMP
SPIR-WM16	Waste	Collection and removal of roadside litter will be undertaken in accordance with the Roads and Maritime Environmental Management System.	All	All	Operation	RMS	Included in approved CWEMP
SPIR-WM17	Waste	Sediment removed from operational water quality basins will , where appropriate, be classified in accordance with the Waste Classification Guidelines (DECCW, 2009), and be disposed of in accordance with the <i>Protection of the Environment Operations (Waste) Regulation 2005</i> .	All	All	Operation	RMS	Sediment will be beneficially reused where ever feasible

# Appendix B

## Environmental Monitoring Results

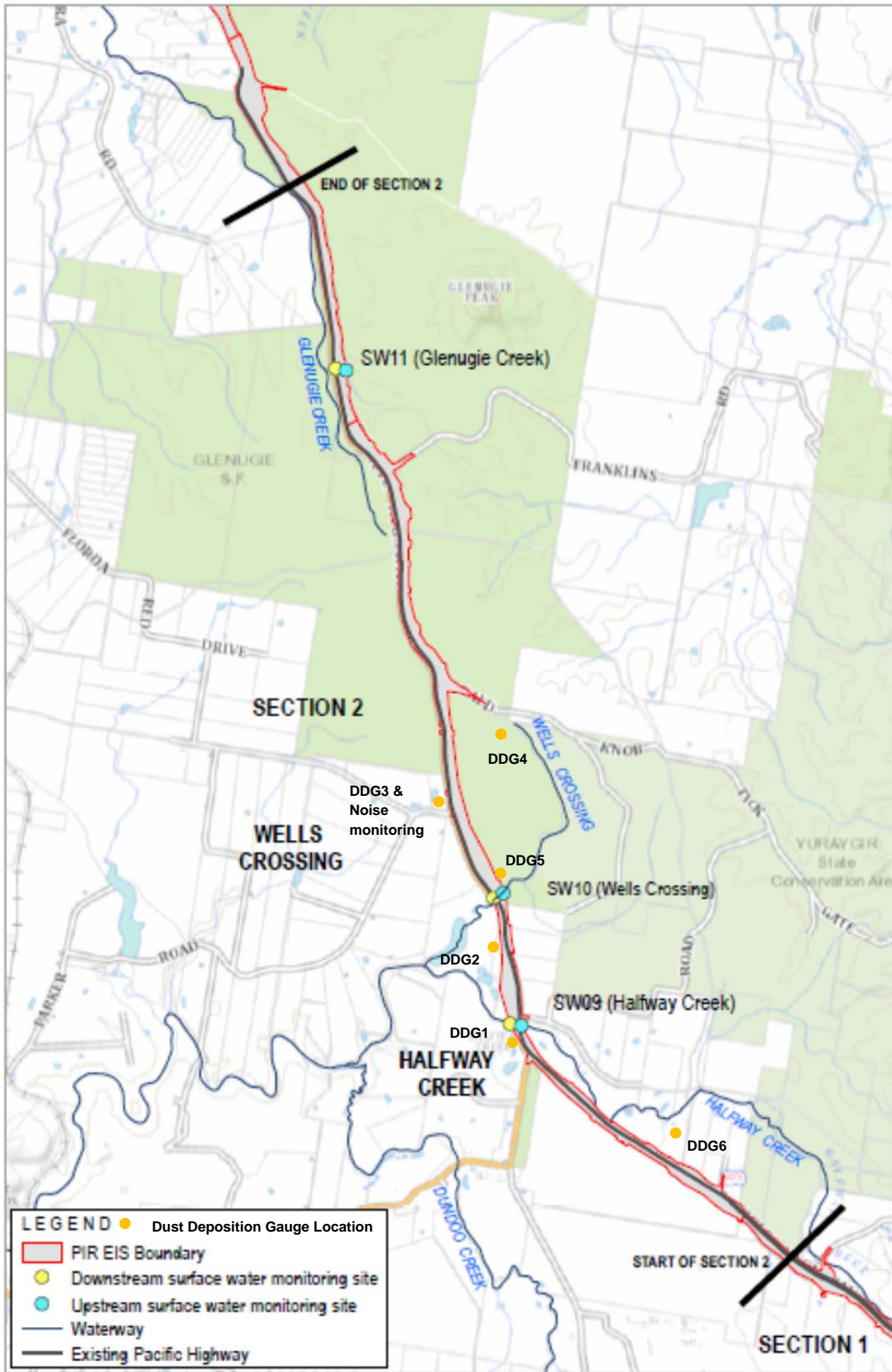


Figure M1: HC2G Environmental Monitoring Locations

## Air Quality

All results are reported in g/m2/month - Total Insoluble Matter

**All results greater than 4g/m2/month are shaded red**

NS = Not sampled (include details e.g sample tampered with, funnel broken, etc)

Comments - Sample to be taken over 30 days (+ 2)

### Locations:

DD1 – Kungala Road – rose farm/service station

DD2 – 5415 Pacific Highway , Halfway Creek

DD3 – 20 Parker Road, Wells Crossing

DD4 – South of Bald Knob Road

DD5 – Wells Crossing Creek

DD6 – 5092 Pacific Highway, Halfway Creek

Month	Date Started	Date Finished	DD1	DD2	DD3	DD4	DD5	DD6	Project Average
July	26/6/2015	27/7/2015	1.9	0.5	NS	0.6	0.7	1.1	<b>1.0</b>
August	27/7/2015	26/8/2015	<b>5.0</b>	1.0	0.6	0.5	0.3	0.5	<b>1.3</b>
September	26/8/2015	28/9/2015	<b>5.2</b>	1.4	0.7	0.3	0.6	0.3	<b>1.4</b>
October	28/9/2015	26/10/2015	<b>8.2</b>	2.1	1.2	0.4	1.6	1.3	<b>2.5</b>
November	26/10/2015	23/11/2015	<b>69.7</b>	<b>4.4</b>	0.9	0.5	0.8	<b>33.8</b>	<b>1.7*</b>
December	23/11/2015	18/12/2015	<b>5.1</b>	<b>15.8</b>	0.5	0.7	0.7	1.8	<b>3.9*</b>

### Comments:

July DD3 not sampled due to delay procuring landowner approval. All results less than 4g/m2/mth

August DD1 at Kungala Road above 4g/m2/mth criterion, with all other results well below 4g/m2/mth criterion. Potential contamination from gravel service station access road

September DD1 at Kungala Road above 4g/m2/mth criterion, with all other results well below 4g/m2/mth criterion. Potential contamination from gravel service station access road

October DD1 at Kungala Road above 4g/m2/mth criterion, with all other results well below 4g/m2/mth criterion. Potential contamination from gravel service station access road

November DD1 remains above 4g/m2/mth criterion with apparent contamination of gauge with non-windborne gravel particles. ERG agreed DD1 should be relocated, which will be implemented for next monitoring period. Similarly DD6 contaminated with horse manure/bird manure from non-construction sources. DD2 minor exceedence with some minor contamination with flying ants, results for subsequent months to be reviewed. \*average excludes contaminated results

December DDG2 had a high volume of works with mulch mixing to reduce temperature to mitigate combustion risk and placement of fill for resident access road. Water carts have been servicing the area from the stand pipe at Gate 4 in the immediate vicinity and continue to do so. Note that no dust complaints have been received during the monitoring period. DD1 contaminated from gravel access road to service station. This gauge has since been relocated as agreed with ERG.

# Noise Monitoring

Month	Location	Relevant Noise Management Level	Type of Activity	Measured Construction Noise Level	Compliant with MCOA Goal
September	20 Parker Road	56	Concrete batching	45	Yes
October	20 Parker Road	56	Concrete batching	51	Yes
November	20 Parker Road	56	Concrete batching	49	Yes

# Water Quality Monitoring





## Water Monitoring – August 2015

Location	Date	Time	TEMP	PH	EC	TSS	NTU	DO	Nitrate	Nitrite	Ammonia	Total Nitrogen	Phosphate	Total Phosphorus	TPH	Comments
Halfway Ck U/S	19/08/2015	11.15am	13.4	6.6	0.154	2	6.5	9.2	<0.05	<0.05	<0.05	0.24	<0.03	<0.03	N/A	Low flow. Almost stopped running.
Halfway Ck D/S	19/08/2015	11.00am	13.7	6.6	0.157	3	7.5	9	<0.05	<0.05	<0.05	0.23	<0.03	<0.03	N/A	Still. Floating leaves.
Wells Crossing U/S	19/08/2015	12.50pm	13.5	5.9	0.219	4	1.7	8	<0.05	<0.05	<0.05	0.38	<0.03	<0.03	N/A	Reedy. Clean sample difficult to obtain.
Wells Crossing D/S	19/08/2015	11.40am	12.6	6.2	0.208	<2	3.2	8.1	<0.05	<0.05	<0.05	0.34	<0.03	<0.03	N/A	Clear water. Some algae on underwater structures
Glenugie Ck U/S	19/08/2015	12.15pm	11.2	7.9	0.505	9	19	8.2	<0.05	<0.05	<0.05	0.53	<0.03	<0.03	N/A	Pond getting smaller, clearing up.
Glenugie Ck D/S	19/08/2015	12.20pm	10.5	7.6	0.427	4	9	5.3	0.49	<0.05	0.06	1.26	<0.03	0.03	N/A	Algae on surface
Halfway Ck U/S	25/08/2015	12.45pm	12.3	6.4	0.202	11	13	8	<0.05	<0.05	<0.05	0.35	<0.03	<0.03	N/A	Wet event piling pad under construction
Halfway Ck D/S	25/08/2015	12.30pm	12.2	6.4	0.205	14	17	8.1	0.06	<0.05	<0.05	0.38	<0.03	<0.03	N/A	Wet event piling pad under construction
Wells Crossing U/S	25/08/2015	1.44pm	12.9	5.7	0.232	7	3.7	7.1	<0.05	<0.05	<0.05	0.32	<0.03	<0.03	N/A	Wet event basin construction abut A and B
Wells Crossing D/S	25/08/2015	1.15pm	12.6	6	0.221	5	13	7.4	<0.05	<0.05	<0.05	0.28	<0.03	<0.03	N/A	Wet event basin construction abut A and B
Glenugie Ck U/S	25/08/2015	2.05pm	13.2	7.4	0.119	28	50	8.2	0.05	<0.05	<0.05	0.45	<0.03	<0.03	N/A	Pooled water no flow
Glenugie Ck D/S	25/08/2015	2.15pm	12.8	7.5	0.207	22	50	7.5	0.12	<0.05	0.05	0.53	<0.03	<0.03	N/A	Dry Pooled water no flow algae on surface

## Rain

Location	1/8/15	2/8/15	3/8/15	4/8/15	5/8/15	6/8/15	7/8/15	8/8/15	9/8/15	10/8/15	11/8/15	12/8/15	13/8/15	14/8/15	15/8/15	16/8/15	17/8/15	18/8/15	19/8/15	20/8/15	21/8/15	22/8/15	23/8/15	24/8/15	25/8/15	26/8/15	27/8/15	28/8/15	29/8/15	30/8/15	31/8/15	Total
Site Compound	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.2	0.4	0.2	11	0.2	26	0.2	0	2.2	0.2	0	0	0	41
Halfway Creek	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.2	0.2	12	0.4	24	0.2	0	1.8	0	0	0	0	39
Franklins Road	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.2	0	0	0	5.2	0	3.8	0.4	32	0.2	0	3.4	0.2	0	0	0	46

## Water Monitoring – September 2015

Location	Date	Time	TEMP	PH	EC	TSS	NTU	DO	Nitrate	Nitrite	Ammonia	Total Nitrogen	Phosphate	Total Phosphorus	TPH	Comments
Halfway Ck U/S	14/09/2015	8.48am	13.6	7.11	0.1447	<5	8.33	3.9	0.06	<0.01	<0.01	0.3	<0.01	<0.01	N/A	Dry level dropped significantly
Halfway Ck D/S	14/09/2015	8.30am	14	7.01	0.1632	6	9.09	4.1	0.06	<0.01	<0.01	0.3	<0.01	0.01	N/A	Dry stagnant looking
Wells Crossing U/S	14/09/2015	10.17am	16	6.6	0.272	<5	0.98	3.3	0.02	<0.01	0.14	0.3	<0.01	0.02	N/A	Dry event
Wells Crossing D/S	14/09/2015	9.12am	15.6	6.44	0.232	5	3.87	3.3	0.02	<0.01	<0.01	0.3	<0.01	0.01	N/A	dry event seems to have stopped running
Glenugie Ck U/S	14/09/2015	9.51am	14.1	6.64	0.298	14	8.41	2.6	0.04	<0.01	<0.01	0.4	<0.01	0.02	N/A	dry event no flow
Glenugie Ck D/S	14/09/2015	9.43am	14.9	6.34	0.1945	8	40.7	3.9	0.39	0.02	0.04	1	<0.01	0.03	N/A	dry event high amounts of debris on surface
Halfway Ck U/S	18/09/15 wet event 20mm	12.40pm	20.1	6.9	0.1187	3	10.64	4.3	N/A	N/A	N/A	0.25	N/A	<0.03	N/A	Wet
Halfway Ck D/S	18/09/15 wet event 20mm	12.20pm	20.8	6.89	0.1329	7	12.38	3.6	N/A	N/A	N/A	0.26	N/A	<0.03	N/A	Wet
Wells Crossing U/S	18/09/15 wet event 20mm	2.00pm	19.5	6.57	0.251	<2	3.19	3.6	N/A	N/A	N/A	0.3	N/A	<0.03	N/A	Wet
Wells Crossing D/S	18/09/15 wet event 20mm	1.40pm	20.5	6.52	0.2165	8	10.23	3.7	N/A	N/A	N/A	0.28	N/A	<0.03	N/A	Wet
Glenugie Ck U/S	18/09/15 wet event 20mm	1.20pm	19.6	6.71	0.1051	20	59.4	3.9	N/A	N/A	N/A	0.55	N/A	<0.03	N/A	noticeable flow
Glenugie Ck D/S	18/09/15 wet event 20mm	1.10pm	19.8	6.65	0.1561	27	44.5	3.7	N/A	N/A	N/A	0.48	N/A	<0.03	N/A	rising water milky in appearance

## Rain

Location	1/9/15	2/9/15	3/9/15	4/9/15	5/9/15	6/9/15	7/9/15	8/9/15	9/9/15	10/9/15	11/9/15	12/9/15	13/9/15	14/9/15	15/9/15	16/9/15	17/9/15	18/9/15	19/9/15	20/9/15	21/9/15	22/9/15	23/9/15	24/9/15	25/9/15	26/9/15	27/9/15	28/9/15	29/9/15	30/9/15	Total
Site Compound	0	0	3.4	0	6	1.6	0	0	0	0	0	0	0	0	0	0	14	9.8	5.8	13	0	0	0.2	0	4.6	1.4	0	0	0.2	0.2	60
Halfway Creek	0	0	3.2	0	8.2	1.6	0.2	0	0	0	0	0	0	0	0	0	14	5.4	2.2	12	0	0	0	0	2.8	0.6	0	0	0.2	0.2	51
Franklins Road	0	0	5.4	0	2.2	1.6	0.2	0	0	0	0	0	0	0	0	0.4	19	9.8	3.4	14	0	0	0.2	0	3	1	0	0	0	0.2	60

## Water Monitoring – October 2015

Location	Date	Time	TEMP	PH	EC	TSS	NTU	DO	Nitrate	Nitrite	Ammonia	Total Nitrogen	Phosphate	Total Phosphorus	TPH	Comments
Halfway Ck U/S	6/10/2015	8.30am	15.6	7.92	0.18	N/A	7.96	2.6	<0.05	<0.05	<0.05	N/A	<0.03	N/A	N/A	Dry-Type B
Halfway Ck D/S	6/10/2015	8.20am	15.9	7.64	0.174	N/A	9.25	2	<0.05	<0.05	<0.05	N/A	<0.03	N/A	N/A	Dry-Type B
Wells Crossing U/S	6/10/2015	9.50am	16.8	7.38	0.356	N/A	0.64	2.5	<0.05	<0.05	<0.05	N/A	<0.03	N/A	N/A	Dry-Type B: Shallow water level large amount of macrophytes present
Wells Crossing D/S	6/10/2015	10.00am	19	7.47	0.31	N/A	6.29	3	<0.05	<0.05	<0.05	N/A	<0.03	N/A	N/A	Dry-Type B
Glenugie Ck U/S	6/10/2015	9.10am	16.1	7.31	0.2683	N/A	47.3	3	<0.05	<0.05	<0.05	N/A	<0.03	N/A	N/A	Dry-Type B: stagnant
Glenugie Ck D/S	6/10/2015	9.20am	16	7.15	0.319	N/A	8.74	1.7	0.32	<0.05	0.42	N/A	<0.03	N/A	N/A	Dry-Type B no flow algae and natural leachate on surface
Halfway Ck U/S	13/10/2015	12.15pm	23.9	6.91	0.1482	9	11.32	3.8	N/A	N/A	N/A	0.35	N/A	0.03	N/A	Wet Type A all parameters within pre construction guidelines
Halfway Ck D/S	13/10/2015	12.00pm	24.7	6.79	0.1924	11	10.79	2.4	N/A	N/A	N/A	0.33	N/A	<0.03	N/A	Wet Type A all parameters within pre construction guidelines
Wells Crossing U/S	13/10/2015	1.30pm	23.4	6.49	0.329	20	4.85	3.3	N/A	N/A	N/A	0.4	N/A	<0.03	N/A	Wet Type A all parameters within pre construction guidelines Rock platform crossing under construction
Wells Crossing D/S	13/10/2015	12.30pm	24.4	6.42	0.295	5	10.84	2.9	N/A	N/A	N/A	0.32	N/A	<0.03	N/A	Wet Type A all parameters within pre construction guidelines
Glenugie Ck U/S	13/10/2015	1.15pm	23.7	6.7	0.322	38	60.1	2.6	N/A	N/A	N/A	0.69	N/A	0.03	N/A	Wet Type A. No flow sediment evident in water
Glenugie Ck D/S	13/10/2015	1.20pm	22.9	7.15	0.292	3	4.68	2.3	N/A	N/A	N/A	1.88	N/A	0.06	N/A	Wet Type A no flow. water quality better than upstream readings
Halfway Ck U/S	23/10/2015	1.10pm	22.3	6.67	0.2029	10	14.46	2.1	<0.05	<0.05	<0.05	0.43	<0.03	<0.03	N/A	Wet type A and B 12mm
Halfway Ck D/S	23/10/2015	11.00am	23.1	6.6	0.2152	9	12	2.1	<0.05	<0.05	<0.05	0.41	<0.03	<0.03	N/A	Wet type A and B 12mm all results within P80 guidelines with the exception of DO which is still within the minimum pre-construction range recorded
Wells Crossing U/S	23/10/2015	12.30pm	23.2	6.37	0.358	20	7.63	1.9	<0.05	<0.05	<0.05	0.58	<0.03	0.03	N/A	Wet type A and B 12mm
Wells Crossing D/S	23/10/2015	11.30pm	23.7	6.31	0.316	5	6.86	2.6	<0.05	<0.05	<0.05	0.33	<0.03	<0.03	N/A	Wet type A and B 12mm pH, EC, DO marginally outside of P80 guidelines All other results compliant

<b>Glenugie Ck U/S</b>	23/10/2015	12.15pm	23.4	6.36	0.459	62	62.9	1.8	0.06	<0.05	<0.05	0.88	<0.03	0.05	N/A	Wet type A and B 12mm
<b>Glenugie Ck D/S</b>	23/10/2015	11.55am	23.3	6.72	0.34		3.75	1.9	0.43	<0.05	0.28	1.72	0.03	0.07	N/A	Wet type A and B 12mm all parameters within P80 guidelines with the exception of DO total Phosphorous and total Nitrogen which may be due to seasonal fluctuations
						5										

## Rain

Values	1/10	2/10	3/10	4/10	5/10	6/10	7/10	8/10	9/10	10/10	11/10	12/10	13/10	14/10	15/10	16/10	17/10	18/10	19/10	20/10	21/10	22/10	23/10	24/10	25/10	26/10	27/10	28/10	29/10	30/10	31/10	Totals
<b>Site Compound</b>	0	0	0	0	0	0	0	1.2	0.4	0	1.2	3	3.4	0.8	3	0	0	0	0	0	0	12.6	0.2	0	0	7.4	0	0	0	0	0	33.2
<b>Franklins Road</b>	0	0	0	0	0	0	0	0.2	0.2	0	1	5.4	1	1	0.8	0	0	0	0	0	0	11.6	0.2	0	0	5.4	0.2	0	0	0	0	27
<b>Halfway Creek</b>	0	0	0	0	0	0	0	0.8	0.2	0	0.6	15.2	2.2	0.6	0	0	0	0	0	0	0	6.2	0	0	0	6.4	0	0	0	0	0	32.2

## Water Monitoring – November 2015

Location	Date	Time	TEMP	PH	EC	TSS	NTU	DO	Nitrate	Nitrite	Ammonia	Total Nitrogen	Phosphate	Total Phosphorus	TPH	Comments
Halfway Ck U/S	4/11/2015	1.00pm	24.2	6.8	0.2766	9	18.9	2	0.005	<0.05	<0.05	0.4	<0.03	<0.04		Wet type A and B
Halfway Ck D/S	4/11/2015	1.15pm	24.7	6.6	0.316	10	12.48	2.5	<0.05	<0.05	<0.05	0.4	0.31	0.39	N/A	All parameters within P80 guidelines. DO result is low but within recorded minimum wet event for this parameter
Wells Crossing U/S	4/11/2015	2.19pm	23.8	6.83	0.326	27	12.02	1.8	<0.05	<0.05	<0.05	0.7	<0.03	0.03	N/A	Wet type A and B
Wells Crossing D/S	4/11/2015	1.40pm	24.3	6.76	0.243	17	23.4	3.1	<0.05	<0.05	<0.05	0.4	<0.03	<0.03	N/A	All parameters within P80 guidelines
Glenugie Ck U/S	4/11/2015	2.15pm	Sampling point dry													
Glenugie Ck D/S	4/11/2015	1.55pm	23.3	6.78	0.305	3	3.47	1.7	0.42	0.14	0.62	1.9	0.04	0.06	N/A	Nutrients higher than P80 and upstream results which may be due to increased micro-bat activity. All other parameters within or better than P80 guidelines with the exception of DO
Halfway Ck U/S	17/11/2015	1.20pm	23.6	7.05	0.1235	11	42.6	3.9	N/A	N/A	N/A	0.39	N/A	1.01	N/A	wet type A
Halfway Ck D/S	17/11/2015	1.10pm	23.8	6.92	0.1207	21	50.4	3.5	N/A	N/A	N/A	0.38	N/A	<0.03	N/A	NTU and DO marginally above background. All other parameters within P80 guidelines.
Wells Crossing U/S	17/11/2015	11.00am	23.6	6.24	0.1713	10	20	2.4	N/A	N/A	N/A	0.54	N/A	<0.03	N/A	wet type A
Wells Crossing D/S	17/11/2015	12.30pm	24.4	5.99	0.223	334	353	3.1	N/A	N/A	N/A	0.88	N/A	0.03	N/A	All parameters within P80 guidelines with the exception of TSS and NTU. DO below P80 but above minimum record for site
Glenugie Ck U/S	17/11/2015	2.15pm	23.4	6.2	0.0505	19	75.1	3.4	N/A	N/A	N/A	0.49	N/A	0.03	N/A	wet type A
Glenugie Ck D/S	17/11/2015	1.55pm	23.4	6.21	0.117	16	44.8	3.1	N/A	N/A	N/A	0.72	N/A	0.04	N/A	pH and DO marginally below P80 results. All other parameters within P80 guidelines
Halfway Ck U/S	26/11/2015	4.10pm	25.6	6.52	0.195	13	26.7	2.4	<0.05	<0.05	<0.05	0.44	0.09	0.14	<50	Dry type A
Halfway Ck D/S	26/11/2015	2.35pm	27.6	6.57	0.1328	21	21.5	2.7	0.06	<0.05	<0.05	0.58	<0.03	0.03	<50	pH and DO marginally below P80 results. TSS, NTU and nitrogen nutrients above background but reflective of upstream values All other parameters within P80

<b>Wells Crossing U/S</b>	26/11/2015	3.52pm	27	6.46	0.452	11	12.47	2.3	<0.05	<0.05	<0.05	0.36	<0.03	<0.03	<50	guidelines Dry type A
<b>Wells Crossing D/S</b>	26/11/2015	4.021pm	26.2	6.54	0.494	61	84.6	3.2	<0.05	<0.05	<0.05	0.53	<0.03	0.11	130	TSS and NTU above P80 levels. Nutrients marginally higher than p80 values but similar to upstream results. All other results within P80 guidelines
<b>Glenugie Ck U/S</b>	26/11/2015	3.38pm	28.5	6.45	0.295	36	22.4	3.1	<0.05	<0.05	<0.05	0.58	<0.03	<0.03	100	Dry type A
<b>Glenugie Ck D/S</b>	26/11/2015	3.12pm	27.6	6.75	0.1655	14	20.9	2.6	0.21	<0.05	0.4	1.54	<0.03	0.05	210	All parameters within P80 guidelines. All nutrients above pre-construction values which may be attributed to natural fluctuations within the system

## Rain

Location	1/11/15	2/11/15	3/11/15	4/11/15	5/11/15	6/11/15	7/11/15	8/11/15	9/11/15	10/11/15	11/11/15	12/11/15	13/11/15	14/11/15	15/11/15	16/11/15	17/11/15	18/11/15	19/11/15	20/11/15	21/11/15	22/11/15	23/11/15	24/11/15	25/11/15	26/11/15	27/11/15	28/11/15	29/11/15	30/11/15	Total	
<b>Site Compound</b>	0	0	21	21	4.2	0	0	4.4	0.4	0	0	0	15	25	1.6	14	0.2	0	0	0	0.4	0.4	0	0	0	0	0	0	1.8	42	0.2	152
<b>Halfway Creek</b>	0	0	14	20	3.6	0	0	2	0	0	0	0.4	18	19	0.2	5	0	0	0	0	0.2	0.6	0.2	0	0	0	0	0	2	29	0.2	114
<b>Franklins Road</b>	0	0	7.8	23	5	0.2	0.4	6.8	0.2	0	0	0	2	27	0.8	5	0.2	0	0	0	0	3	0	0	0	0	0	0	3	14	0	99

## Water Monitoring – December 2015

Location	Date	Time	TEMP	PH	EC	TSS	NTU	DO	Nitrate	Nitrite	Ammonia	Total Nitrogen	Phosphate	Total Phosphorus	TPH	Comments
Halfway Ck U/S	3/12/2015	12.00pm	22.7	6.76	0.1344	5	14.73	2.9	<0.05	<0.05	<0.05	0.34	<0.03	<0.03	<50	Wet Type A and B
Halfway Ck D/S	3/12/2015	10.30am	22.7	7.02	0.1352	10	15.36	3.4	<0.05	<0.05	<0.05	0.48	<0.03	0.07	<50	All results within p80 guidelines with the exception of DO and pH marginally (0.3) above p80 guidelines
Wells Crossing U/S	3/12/2015	9.30am	22.9	6.42	0.448	18	7.53	2.7	<0.05	<0.05	<0.05	0.51	<0.03	0.04	50	Wet Type A and B
Wells Crossing D/S	3/12/2015	11.30am	22.9	6.5	0.274	48	200	3.2	<0.05	<0.05	<0.05	0.42	<0.03	0.03	<50	NTU exceeds p80. TSS still within maximum record all other results with the exception of DO within pre-construction values
Glenugie Ck U/S	3/12/2015	10.15am	22.6	6.73	0.0781	22	53.4	3.3	<0.05	<0.05	<0.05	0.56	<0.03	0.03	<50	Wet Type A and B
Glenugie Ck D/S	3/12/2015	10.20am	22.6	6.7	0.1604	17	21.6	2.4	0.12	<0.05	0.17	0.81	<0.03	0.04	<50	All parameters within p80 guidelines. Nitrate, Ammonia and total Nitrogen above p80 may be due to natural fluctuations within the system
Halfway Ck U/S	9/12/2015	1.30pm	25.3	6.87	0.1204	10	17.63	2.8	<0.05	<0.05	<0.05	0.5	<0.03	0.07	<50	Dry type A
Halfway Ck D/S	9/12/2015	1.25pm	25.4	6.45	0.1699	11	18.25	2.70	<0.05	<0.05	<0.05	0.54	<0.03	0.04	<50	All parameters within p80 guidelines
Wells Crossing U/S	9/12/2015	1.15pm	25	3.1	0.829	7	6.75	2.50	<0.05	<0.05	<0.05	0.54	0.09	0.1	<50	Dry type A
Wells Crossing D/S	9/12/2015	11.20am	23.2	4.58	0.308	14	39.8	5.10	<0.05	<0.05	<0.05	0.29	<0.03	0.03	<50	All parameters within p80 guidelines
Glenugie Ck U/S	9/12/2015	1.45pm	25	6.9	0.1831	13	8.29	2.20	<0.05	<0.05	<0.05	0.64	<0.03	0.05	<50	Dry type A
Glenugie Ck D/S	9/12/2015	2.25pm	25.1	7.2	0.1049	8	28.5	2.80	0.15	<0.05	0.28	1.02	<0.03	0.06	<50	EC, Nitrate, total Phosphorous and Nitrogen all above P80 which may be due to natural fluctuations within the system
Halfway Ck U/S	10/12/2015	10.45am	24	6.7	0.194	9	17.56	2.50	<0.05	<0.05	<0.05	0.59	<0.03	0.05	<50	Wet type A
Halfway Ck D/S	10/12/2015	10.00am	25.3	6.5	0.1609	11	28.8	3.1	<0.05	<0.05	<0.05	0.53	<0.03	0.03	<50	All parameters within p80 guidelines
Wells Crossing U/S	10/12/2015	11.15am	25.6	6.1	0.297	49	78	2.6	<0.05	<0.05	<0.05	0.64	<0.03	0.04	<50	Wet type A
Wells Crossing D/S	10/12/2015	10.15am	23.8	6.2	0.219	452	642	3.3	0.06	<0.05	<0.05	0.71	<0.03	0.04	<50	Wet type A: B15 natural discharge (72.8mm recorded at Franklins rd.) EC TSS and NTU above P80 all other results within guidelines
Glenugie Ck U/S	10/12/2015	12.00pm	25.6	6.8	0.1153	1132	550	3.2	0.12	<0.05	<0.05	1.66	<0.03	0.08	<50	Wet type A



<b>Glenugie Ck D/S</b>	10/12/2015	10.30am	25.2	6.4	0.1355	1482	550	3.8	0.13	<0.05	<0.05	1.71	<0.03	0.09	<50	B26 and operational basin natural discharge. TSS, NTU, Nitrate, total Nitrogen and Phosphate above p80 but similar to upstream results. Increased turbidity and TSS likely to be due from surrounding area.
------------------------	------------	---------	------	-----	--------	------	-----	-----	------	-------	-------	------	-------	------	-----	---

**Rain**

Values	1/12	2/12	3/12	4/12	5/12	6/12	7/12	8/12	9/12	10/12	11/12	12/12	13/12	14/12	15/12	16/12	17/12	18/12	19/12	20/12	21/12	22/12	23/12	24/12	25/12	26/12	27/12	28/12	29/12	30/12	31/12	total
<b>Site Compound</b>	0.2	6.2	0.2	0	0.8	0	0	0	5.2	0	0	0.2	0.4	0.2	0.2	0	4.6	0	0	0	0	0.6	1.8	1.8	0.2	0.2	0	0	0.2	0.2	0	23.2
<b>Halfway Creek</b>	0.4	16	0.6	0	0.4	0	0	0	45	0.2	0	0.6	0.6	0.6	0.2	0	5.6	0	0	0	0	4	7.8	7.8	0.2	0	0.2	0	0	0	0	90.2
<b>Franklins Road</b>	0.2	11.4	0	0	1	0	0	0	72.8	0.2	0	3	3.4	0.2	0.6	0	0.4	0	0	0	0	6.4	4.2	8.4	0.8	0.4	0.2	0	0	0	0	113.6

# Appendix C

## ICAMS and Incident Reports



Project description: **HW10 Pacific Highway Upgrade, Halfway Creek to Glenugie**

Project address: **HW10 Pacific Highway, Clarence Valley Council area**

Contract number: **13.2544.0055**

Client: **Roads and Maritime Services**

Job number: **CN1001**

*- Building The Future -*

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# ICAM Investigation Report

## Clearing Incident South of Bald Knob Road

New South Wales

### **Edition / Revision Register**

Rev	Rev Date	Rev Details	Approved
1	12/8/2015	RMS Submission	Alistair Pagan
2	20/8/2015	Incorporating RMS/PC/CMC comments	Alistair Pagan

## Contents

Edition / Revision Register.....	1
1 Incident Description .....	3
1.1 Incident Description .....	3
1.2 Details of damage/impact .....	3
1.3 Events Leading up to the Incident / Timeline.....	3
1.4 Photographs .....	4
2 Key Findings.....	6
2.1 Basic Cause (ie “Why did the incident occur?”) .....	6
2.2 Contributing Factors.....	6
2.2.1 Absent of Failed Defenses .....	6
2.2.2 Individual or team actions .....	8
2.2.3 Task or environmental condition .....	8
2.2.4 Organisational Factors.....	9
3 Conclusion and Observations .....	9
3.1 Conclusion and Observations.....	9
4 Recommendations.....	9
4.1 Recommendations .....	9
5 Significant Learnings.....	11
5.1 Significant Learnings.....	11
6 Attachments .....	11

## 1 Incident Description

### 1.1 Incident Description

Clearing (by cut stump) of five (5) trees outside of cadastral acquisition boundary but within approved clearing boundary and approved project boundary. Ground cover and small shrubs remain undisturbed. Note that this area of 210m<sup>2</sup> is within the EPL premises boundary, as the EPL premises boundary is defined by the “approved project boundary” which incorporates public utility connections, ancillary facilities, local road connections etc which are outside the RMS road reserve which is defined by the cadastral/acquisition boundary. Note that EPA requires these project related construction activities to be included in the EPL premises boundary in order to comply with legislative requirements of the *Protection of the Environment Operations Act (1997)*, with this requirement being a driving force behind the development of the “approved project boundary” concept.

- Location:** CH 24,867 to CH 25,052 on eastern boundary (approximately 60m south of current Bald Knob Road at northern extremity). 185m long total, maximum 1.995m wide, ~ 60% less than 1m wide. Total area 210m<sup>2</sup> (0.021Ha)
- Time:** Clearing occurred approximately midday
- Date:** 31 July 2015

### 1.2 Details of damage/impact

Inspection by project ecologists was completed on 3 August with report included in Attachment 1. A summary of the trees impacted is provided below in Table 1.1.

**Table 1.1:** Tree Species Impacted by clearing outside of cadastral/acquisition boundary

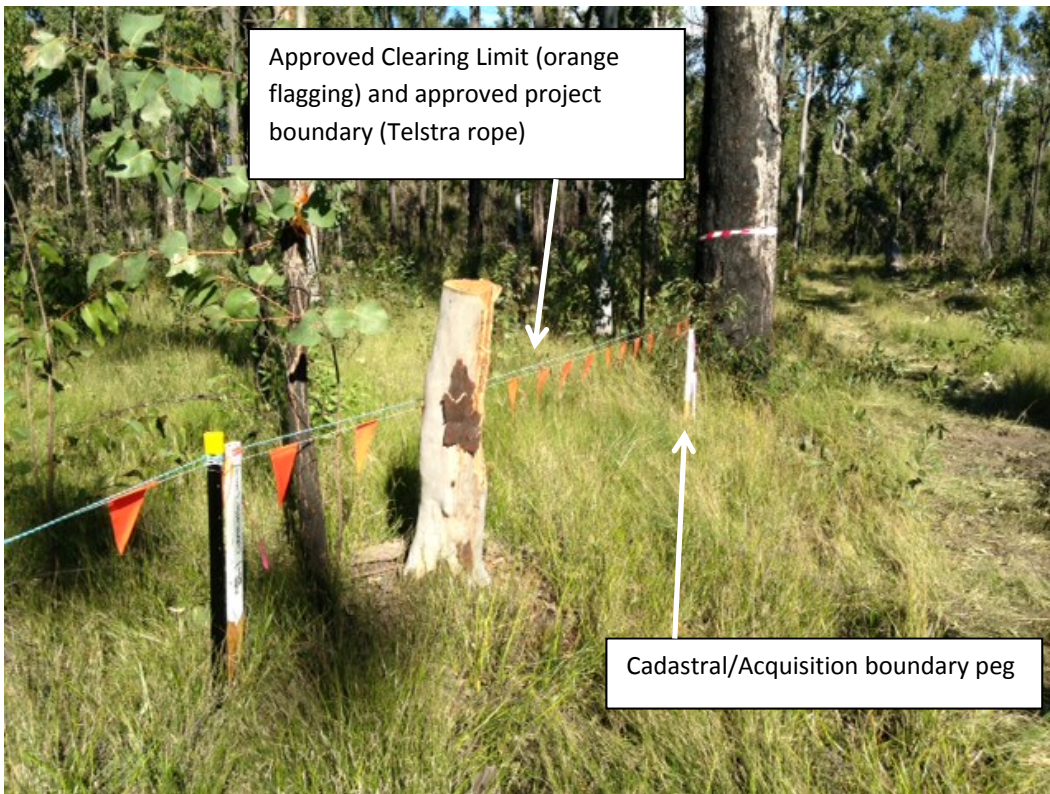
Tree	Description	Species identification
1	ironbark, 57 cm diameter at breast height (dbh)	<i>Eucalyptus fibrosa</i>
2	ironbark, 14 cm dbh	<i>Eucalyptus fibrosa</i>
3	spotted gum, 23 cm dbh	Possibly <i>Corymbia henryi</i>
4	<i>Melaleuca sp.</i> , 6 cm dbh	Not possible to identify
5	<i>Melaleuca sp.</i> , 6 cm dbh	Not possible to identify

### 1.3 Events Leading up to the Incident / Timeline

A detailed “Event and Condition Chart” has been prepared to provide a comprehensive timeline, depicting complex events in a logical manner. This Event and Condition Chart is included as Attachment 2.



### 1.4 Photographs



**Photo 1:** Tree 3 - CH 24900 looking south – cut stump Spotted Gum in foreground

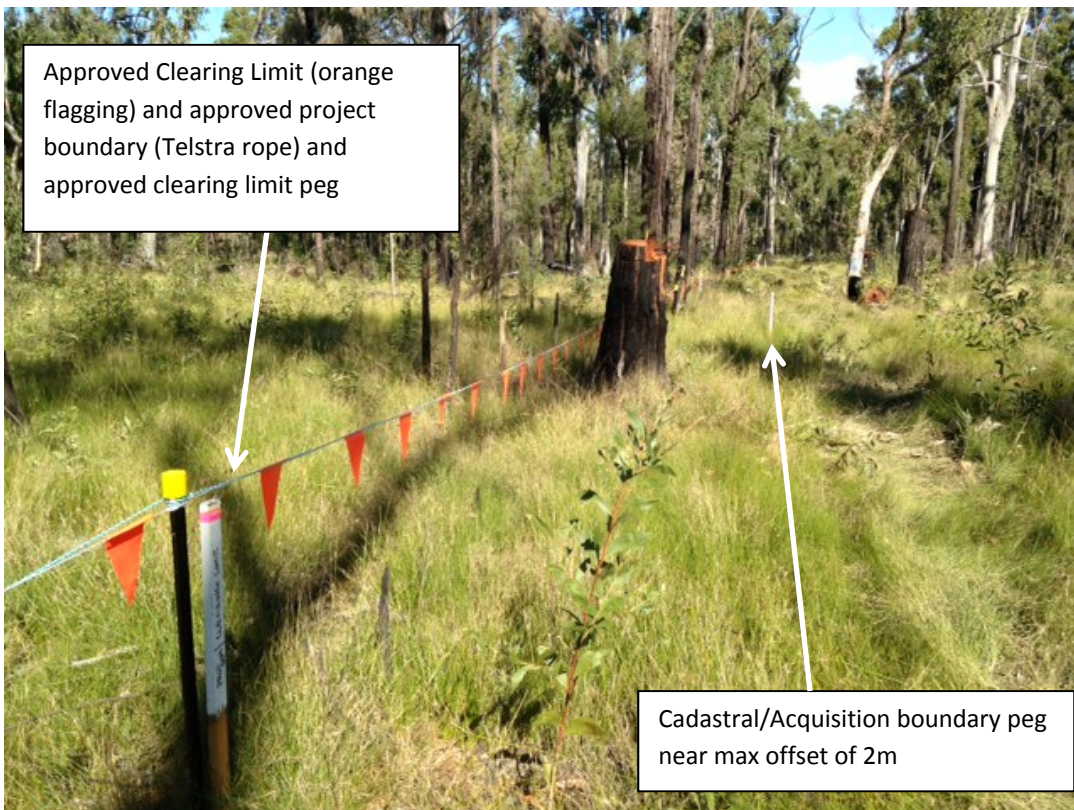


**Photo 2:** Tree 2 - CH 24934 looking south – cut stump *Eucalyptus fibrosa* in foreground





**Photo 3:** Trees 4 and 5 - CH 24950 looking south – 2 x *Melaleuca* sp



**Photo 4:** Tree 1 - CH 24964 looking south - *Eucalyptus fibrosa*

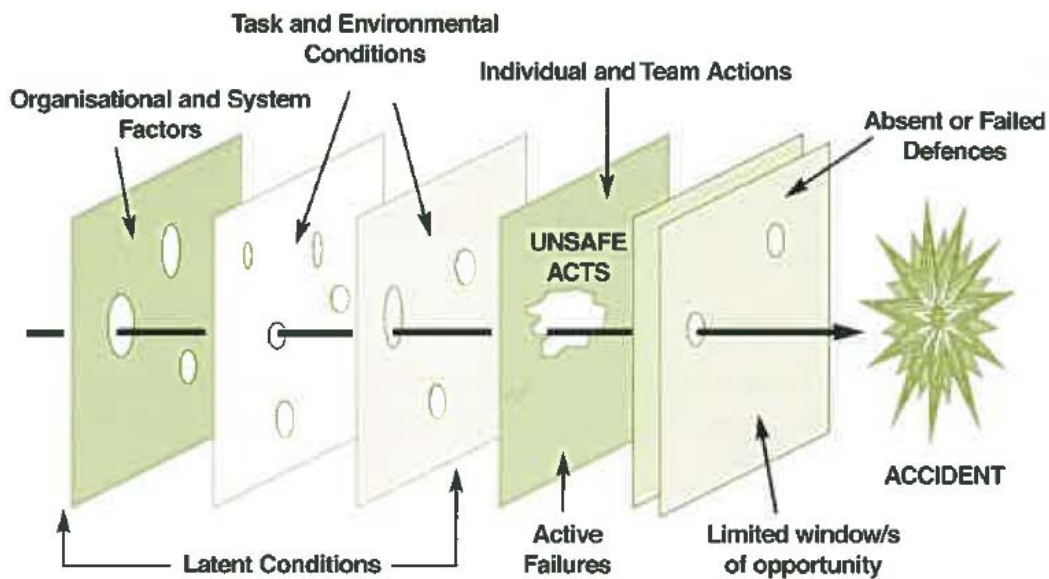
## 2 Key Findings

The key findings outline why the incident occurred and the contributing factors deduced from the investigation are categorised using the Incident Cause Analysis Method (ICAM). The ICAM chart is included as Attachment 3.

### 2.1 Basic Cause (ie “Why did the incident occur?”)

A series of defences (identified in Section 2.2) failed to prevent the incident from occurring. This combination of errors is best described as the “Swiss Cheese Model” *ICAM Incident Investigation Reference Guide, Figure 7.*

**Figure 7**



### 2.2 Contributing Factors

#### 2.2.1 Absent of Failed Defenses

##### #1 - Design Quality Assurance & Document Control (Lapse)

It is understood that at some point between the 100% Substantial Design (SD) phase and the 100% Issued For Construction (IFC) design phase certain design changes were made to facilitate drainage design rectification. During this process the Clearing Boundary was shifted over the acquisition boundary, this appears to be due to a standard offset from the edge of the designed drain for constructability. The design quality assurance and document process was not followed, resulting in the clearing limit outside the acquisition boundary not being identified at the time.

##### #2 – Consistency Review Process failed to identify clearing limit & approved project boundary (Lapse)

After the IFC stage the major consistency review process failed to identify the “clearing limit” and “approved project boundary” outside the road reserve (ie outside the acquisition boundary).



---

**#3 – Hold Point Release (Conditional) with unresolved high risk items / comments (Slip / Mistake)**

On Preclearance walk (16/7) boundary issues were raised at CH24400 (*Refer to Event & Condition Chart – Attachment 2*). [There are actually two locations where the clearing limits crossed over the acquisition boundary. This would subsequently become a contributing factor to failed defense #6.]

Following the walk, Hold Point – ATP 67 was released on 22/7 with certain conditions attached. One of said conditions was “#5 Surveying matters. There is one location where surveying doesn’t match up slightly where clearing limit was just outside the acquisition. [CMC] was chasing this up with [Survey]”. Follow up email was sent on 28/7 to remind those included in ATP67 that action was needed in this regard including clarification on CH24870 to 25000.

**#4 – Confirmation of Hold Point Condition close out (Lapse)**

Incident investigation revealed communication issues within CMC regarding tracking of close out of conditional hold point release. Responsibility and accountability for ensuring close out was not clear. Action was initiated and discussions undertaken, however the task of relocating approved clearing limit to the cadastral acquisition boundary was not completed prior to clearing in the area of the incident. An email was sent to RMS acknowledging that survey issues were to be rectified on 28 July (Attachment 4), however as noted above this was not completed.

**#5 – Survey Pegging and Flagging Relocation (Lapse)**

On 2 July, the surveyor pegged out “approved clearing limit” and “approved project boundary” as per G40 2.4 requirements. Verification of “approved clearing limit” as per G40 2.4 completed 5 July and conformance report was included with G40 2.4 Hold Point release request on 6 July.

On 28 July survey was requested to relocate the approved clearing limit to the acquisition boundary. On the 30 July the acquisition boundary was pegged based off the model provided by the registered surveyor, however the clearing limit flagging was not relocated. Usually the surveyor would have 2 labourers following behind putting in star pickets and stringing up flagging (for typical clearing limit set out over large distances). On 30 July only one labourer was available for the task. Labourer followed surveyor, carrying setout pegs. Surveyor was not aware if he was just setting a peg line or relocating the flagging. Task definition was not sufficient to identify that relocation of the clearing limit flagging should have been done immediately instead of the usual process of laboring crew following up later/next day.

Communication breakdown when ‘leading hand’ managing the clearing limit labour crew had last day on 31 July. Leading hand assigned people to work crews. Usually one to check works after completion but wasn’t available pending finishing up on 31 July.

**#6 – Pre-Clearance Walk (Mistake)**

A Pre-Clearance walk was undertaken at approximately 6:30 on 31/7. Note high work loads with significant uncertainties at time of inspection. These uncertainties included whether saw tooth arrangement was to be adopted or not, relocation of three sedimentation basins between Bald Knob Road and Wells Crossing (which conflicted with fauna underpass, glider crossing vegetation/omission from revised clearing limit). A

consistency review process was underway and unresolved at time of incident for these sedimentation basins. The accumulated uncertainty and high work load contributed to the incident.

Survey pegs on the inside of the clearing limit were seen by some members of the walk through but the connection to their relevance was not made at the time. It is noted that the Hold Point Release document (containing the comments surrounding peg alignment issues) was not in the field with those walking, leading to all parties relying on memory to identify possible risks.

All parties acknowledge that there are severe time pressures and high workloads at the current time.

Since incident – copy of Hold Point Release including supporting comments are taken into the field.

### **#7 – Failure of G71 survey process**

Incident investigation revealed the failure of the G71 Clause 3.4 survey process. A full copy of G71 3.4 is included in Attachment 6. Investigation into this failure revealed that a key contributing factor was that:

- CMC survey resources overlooked the requirements of QA Specification G71 – Construction Surveys – Section 3.4 and were over reliant on information supplied by RMS
- Some confusion between G40 2.4 approved project boundary and cadastral acquisition boundary (refer to Absent/Failed defence #8 discussion below)
- High workload of survey team during project ramp up

These items have been tabulated in the ICAM Chart included in Attachment 3 and also in Recommendations included in Section 4.

### **#8 – G40 vs G71 boundary discussion**

The “approved project boundary” concept is necessary for certain situations (eg temporary sediment basins on non-RMS land – requiring lease with landowner, ancillary facilities, public utility adjustments, driveway connections etc). The “approved project boundary” should not however include any permanent design/permanent infrastructure works. The design process needs to be rectified to ensure that no permanent works occur outside RMS road reserve/RMS owned land – including any clearing offsets from permanent infrastructure for constructability.

It is also recommended that G40 2.4 be amended to require set out of the RMS acquisition boundary as the primary set out, with the “approved project boundary” (which includes temporary works outside of the road reserve acquisition boundary) being set out separately with a different flagging type for clarity in the field.

#### **2.2.2 Individual or team actions**

Refer to ICAM Chart (Appendix 3).

#### **2.2.3 Task or environmental condition**

Low light conditions during walk through on 31 July prior to sun rising over coastal ridge in the forest considered to have minor to nil effect on incident.

Refer to ICAM Chart (Appendix 3).

#### 2.2.4 Organisational Factors

Refer to ICAM Chart (Appendix 3).

### 3 Conclusion and Observations

#### 3.1 Conclusion and Observations

- It is evident that a series of processes designed to prevent this incident failed stemming from design, through consistency review, Hold Point process and close out of conditional release, pre-clearing walkthrough and survey processes
- Late changes in the design process between 100%SD and 100% IFC were not detected
- There is significant risk and confusion around the “approved project boundary” (refer to G40 2.4) and the cadastral acquisition boundary (refer to G40 2.4 and G71 3.4 – extracts included in Attachment 6)
- The “approved project boundary” concept is necessary for certain situations (eg temporary sediment basins on non-RMS land – requiring lease with landowner, ancillary facilities, public utility adjustments, driveway connections etc). The “approved project boundary” should not however include any permanent design/permanent infrastructure works. The design process needs to be rectified to ensure that no permanent works occur outside RMS road reserve/RMS owned land – including any clearing offsets from permanent infrastructure for constructability.
- The fact that the project surveying team is new to RMS projects was a contributing factor, with unfamiliarity with G71 Specification a contributing factor. Recommendations to include G71 3.4 as a HOLD POINT or alternatively RMS procured data have been made to address this risk for future projects/activities.
- Risks were identified around conditional release of Hold Points. Associated with this risk, the investigation identified deficiencies in communication and feed back processes within CMC to ensure close out of conditions is confirmed prior to proceeding
- There were several simultaneous issues of different types regarding temporary clearing limit set out that resulted in some confusion in relocating the approved clearing limit between CH 24870 to CH 25000. These included temporary exclusion (using approved blue and white tape as per flagging protocol) of (i) saw tooth arrangement (ii) three basins requiring relocation between Bald Knob Road and Wells Crossing. Each of these did not require relocation of the clearing limit, but did require temporary installation of Blue and White exclusion tape. This in combination with communication error contributed to the clearing limit flagging not being relocated to the cadastral acquisition set out on the morning of 31 July.
- Deficiencies in the pre-clearing walk process (documentation in field, composition of walk through dependent on risk profile) were identified with preventative actions recommended
- Recommendations are made with respect to identified absent/failed defences with the objective of preventing recurrence of this type of incident

### 4 Recommendations

#### 4.1 Recommendations

1. Issue Learning Document to RMS/Agencies with the objective of preventing recurrence of this type of incident, addressing the identified risks and recommendations. Review learnings with entire HC2G project team
2. Any new survey resources procured on HC2G project will be trained and inducted into the recommendations presented here and significant learning developed as part of this incident review process
3. During detailed design RMS ensure that design consultants comply with design process (ie no substantial changes without notification from 100% SD to 100% IFC)
4. RMS revise current clearing boundary to remove any inconsistencies with acquisition boundary and re-issue
5. Ensure that Consistency Review process include step to ensure that all cases of clearing limit and approved project boundary outside of acquisition

6. Hold Point to appropriate seniority – especially for high risk. Recommend development of protocol for document distribution including appropriate escalation where required. Recommendation that Hold Point includes Senior Project Engineer and Environmental Coordinator as minimum.
7. Refuse Hold Point if risk too high
8. CMC complete full review of cadastral/acquisition boundary against construction activities within 300mm of the boundary in accordance with G71 3.4
9. CMC develop process for close out confirmation of conditional Hold Point releases. Recommend responsibility and accountability reside with the person who raised Hold Point. Tasks may be delegated, however responsibility and accountability for close out rests with the person who raised the Hold Point.
10. Pre-clearing walks include full copy of Hold Point release documentation including any conditions
11. Ensure site supervisor representative included in Pre-Clear walk through
12. If boundary issue identified in a section (as per Item 7 above), surveyor to be included in the pre-clearing walk with the purpose of ensuring that no construction activity occurs outside the road reserve acquisition boundary (as per G71 3.4), unless specifically approved activity (eg public utility connection or temporary sedimentation basins with approved lease arrangements with adjacent landowner etc)
13. (a) G71 Clause 3.4 boundary checking process be made a HOLD POINT, so that QA system captures this important step or (b) RMS procure the property/acquisition boundary from Registered Surveyor and issue as design string to both (i) design consultants and (ii) principal contractor. This option has the benefit of potentially eliminating the risk works outside road reserve at design stage, which has significant merit in terms of the Hierachy of Controls – where elimination of the risk is the optimum solution.
14. CMC provide training to Survey Team regarding details of RMS Specification including G71 requirements
15. Recommended refinements to Clearing and Grubbing Specification G40 Clause 2.4:

Reference	Current Clause	Recommended Refinements to Clause (shown in <b>Bold Itatics</b> for insertions and <b>strike through</b> for deletions)
G40 2.4 (First Paragraph)	Identify the Approved Project Boundary (area of acquisition plus any area outside the acquisition boundary required temporarily for road works such as sediment basins and ancillary site (Total Area of assessment), clearing limits, cadastral boundaries and the Working area on all relevant Sensitive Area Maps (refer to clause 4.13 of RMS G36). These maps prepared for the project must have markings that match or replicate the colouring and nature of limits marked in the field.	No change

G40 2.4 (Second Paragraph)	At least seven days prior to the proposed commencement of clearing commences, Approved Project Boundary and clearing limits must be clearly delineated on site and verified as correct by a surveyor, engaged by you, independent of the parties that installed the delineation.	At least seven days prior to the proposed commencement of clearing commences, <del>Approved Project Boundary</del> <b>ROAD RESERVE (ACQUISITION BOUNDARY)</b> and clearing limits must be clearly delineated on site and verified as correct by a surveyor, engaged by you, independent of the parties that installed the delineation.
G40 2.4 (Third Paragraph)	Delineate the Approved Project Boundary using nightline or similar barrier rope	<b>DELINEATE THE ROAD RESERVE (ACQUISITION BOUNDARY) USING TELSTRA ROPE OR SIMILAR. SEPARATELY</b> Delineate <b>AREAS WHERE</b> the Approved Project Boundary <b>IS LOCATED OUTSIDE THE ROAD RESERVE (ACQUISITION BOUNDARY)</b> using nightline or similar barrier rope, <b>THESE AREAS OUTSIDE THE ROAD RESERVE WILL REQUIRE LEASE AGREEMENTS WITH THE LANDOWNERS PRIOR TO ANY ENTRY OR CONSTRUCTION ACTIVITY.</b>

## 5 Significant Learnings

### 5.1 Significant Learnings

A project learning document will be prepared to capture the mechanism and failed defences of this incident and the associated preventative actions. This will be distributed to RMS and agencies to share these learning s and seek to prevent recurrence of this type of incident.

All recommendations and significant learnings will also be provided as a training package to the entire HC2G project team in order to prevent recurrence of a similar incident. Preliminary findings have already been discussed at the CMC project team meeting including all engineers, survey team, managers, support functions and site supervisors on 19 August 2015.

Implementation of the key recommendations as included in Section 4 has already commenced with respect to survey boundary checks as per G71 3.4, design review of boundary conflicts, Hold Point processes and Pre-clearing walk process.

## 6 Attachments

Attachment	Details	Attached Y/N
Attachment 1	Ecologist's Report	
Attachment 2	Event and Condition Chart – detailed timeline	
Attachment 3	ICAM Chart	
Attachment 4	Hold Point – ATP 67 Documentation (ATP & correspondence)	
Attachment 5	Clearing Limit Drawing x 2	
Attachment 6	G40 2.4 and G71 3.4 extracts	



**Attachment 1 - Ecologist's Report**

20 August 2015

Martin Mulhearn  
Environment Manager  
CMC Pty Ltd

**RE: Ecological report on clearing within approved clearing limit and approved project boundary, but outside of cadastral acquisition boundary– Section 2 of the Woolgoolga to Ballina Pacific Highway Upgrade: Halfway Creek to Glenugie – R2**

Dear Martin,

This brief report summarises Ecosure's understanding of the vegetation that has been accidentally cleared as part of temporary frog fencing installation on Section 2 of the Woolgoolga to Ballina Pacific Highway Upgrade: Halfway Creek to Glenugie. We understand that five trees were cleared outside of the clearing footprint in ironbark – spotted gum forest at Chainage 24, 867 to Chainage 25, 052 on eastern boundary, approximately 60 m south from Bald Knob Road. The total area between the approved clearing limit/approved project boundary and the cadastral/acquisition boundary was 210m<sup>2</sup>. Note that within this area only five trees were felled by cut stump method and grubbing was not undertaken. Ground cover also remained undisturbed.

Photos were provided to our principal botanist, Dr Alan House for flora species identification. Dr Alan House is familiar with this area having led the field team for detailed surveys carried out for pre-clearing work in 2013 (Ecosure 2013). No re-inspection of this area was done after notification of this clearing event, however it is within the buffer area inspected as part of pre-clearing surveys.

From photographic analysis, it is considered unlikely that any threatened flora were damaged, since the ground cover appears to be largely intact and from our previous surveys, this community is considered unlikely to provide habitat for locally occurring threatened flora such as maundia (*Maundia triglochoides*) or square-stemmed olax (*Olx angulata*).

Table 1 Tree species cleared

Tree number	Plate id (see Appendix 1)	Description	Species identification
1	1 & 2	ironbark, 57 cm diameter at breast height (dbh)	<i>Eucalyptus fibrosa</i>
2		ironbark, 14 cm dbh	<i>Eucalyptus fibrosa</i>
3		spotted gum, 23 cm dbh	possibly <i>Corymbia henryi</i>
4		<i>Melaleuca</i> sp., 6 cm dbh	not possible to identify
5		<i>Melaleuca</i> sp., 6 cm dbh	not possible to identify

None of the trees cleared are likely to be the threatened square-fruited ironbark (*Eucalyptus tetrapleura*) which occurs approximately 500 metres to the south of this location. Square-fruited ironbark is listed as vulnerable under both the NSW *Threatened Species Conservation Act 1995* and the Commonwealth *Environment Protection and Biodiversity Conservation Act 1999*. Both of the cleared ironbarks were confidently identified as broad-leaved ironbark (*Eucalyptus fibrosa*) (Table 1); tree 3 appears to be large-leaved spotted gum (*Corymbia henryi*) and trees 4 and 5 to be *Melaleuca* species, but these cannot be confirmed from the photographs we have seen.

Kind regards,

A handwritten signature in black ink that reads "Elvira Lanham". The signature is written in a cursive, flowing style.

Elvira Lanham  
Senior Ecologist



Appendix 1 – Photos



Plate 1 Fruits from tree 1 – Ironbark. Confidently identified as *Eucalyptus fibrosa*.



Plate 2 Stump tree 1 cleared



Plate 3 Tree 3 stump cleared



Plate 4 Tree 4 & 5 stumps

**Attachment 2- Event and Condition Chart – detailed timeline**



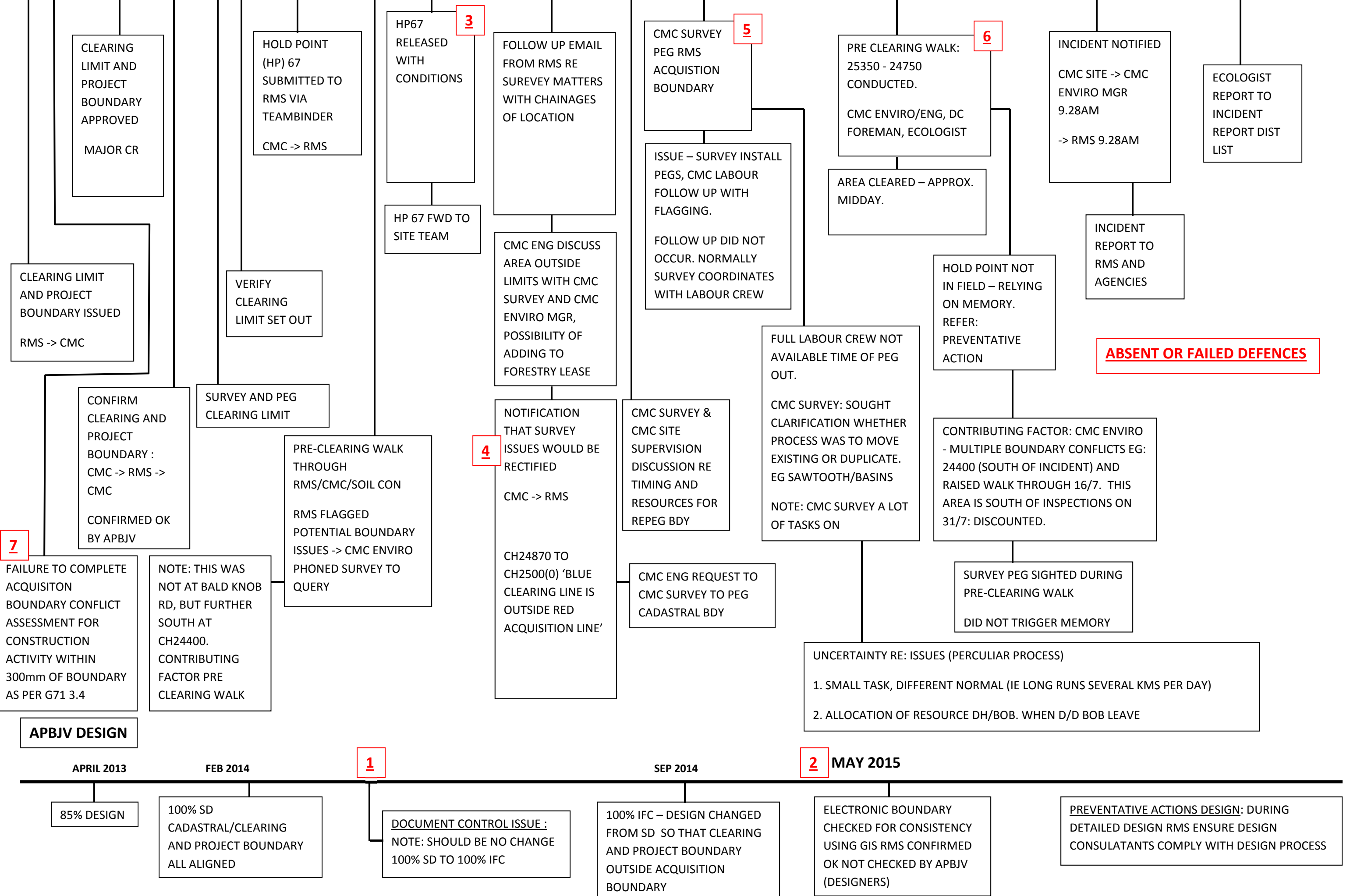
DESIGN & SURVEY

CLEARING HOLD POINT RELEASE (G402.4)

CLEARING

POST CLEARING

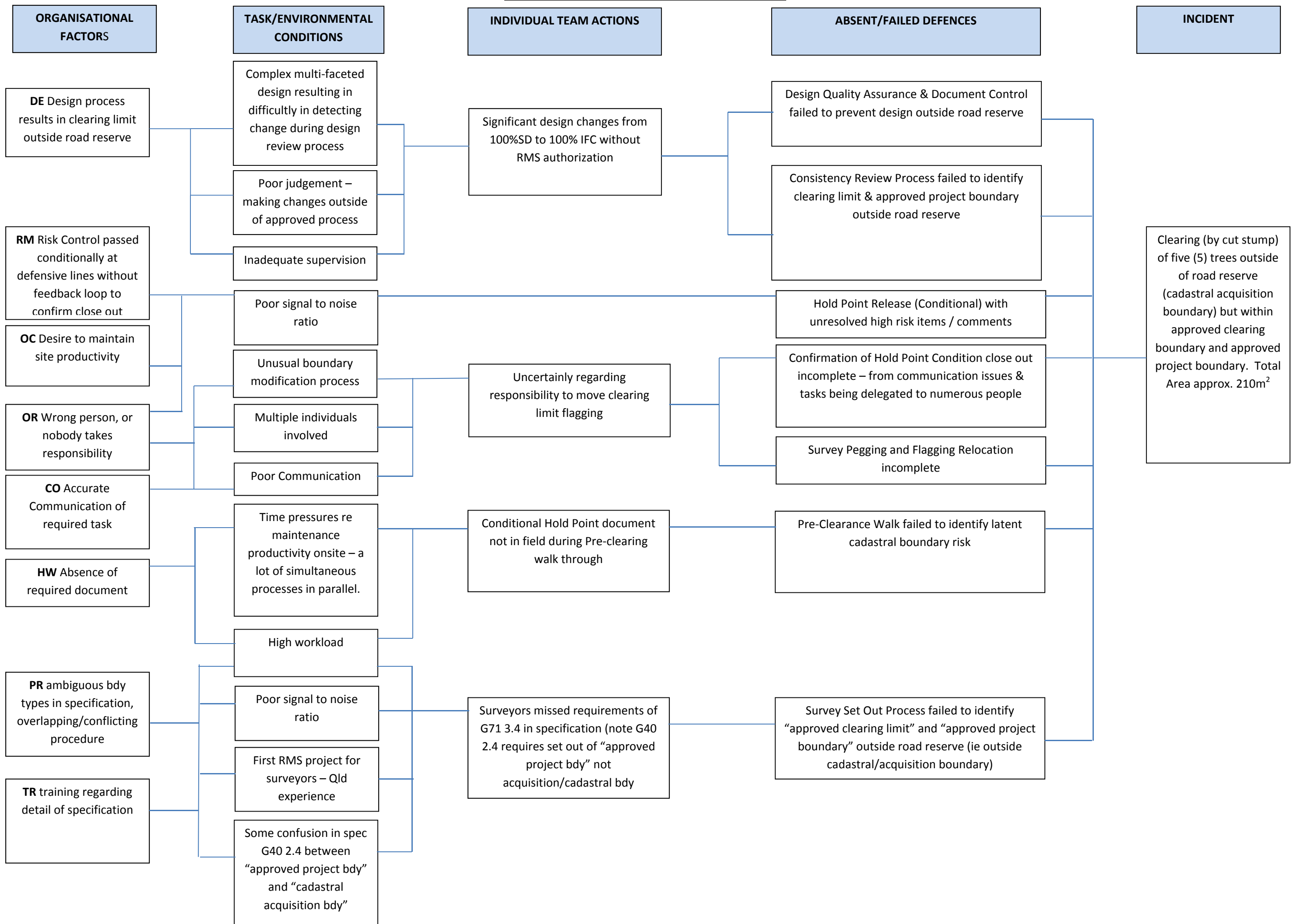
7/5 MAY 1/6 12/6 2/7 5/7 6/7 16/7 22/7 28/7 29/7 30/7 31/7 3/8 10/8





**Attachment 3- ICAM Chart**

# ICAM CHART



**ORGANISATIONAL FACTORS**

**TASK/ENVIRONMENTAL CONDITIONS**

**INDIVIDUAL TEAM ACTIONS**

**ABSENT/FAILED DEFENCES**

**INCIDENT**

**DE** Design process results in clearing limit outside road reserve

Complex multi-faceted design resulting in difficulty in detecting change during design review process

Significant design changes from 100%SD to 100% IFC without RMS authorization

Design Quality Assurance & Document Control failed to prevent design outside road reserve

**RM** Risk Control passed conditionally at defensive lines without feedback loop to confirm close out

Poor judgement – making changes outside of approved process

Consistency Review Process failed to identify clearing limit & approved project boundary outside road reserve

**OC** Desire to maintain site productivity

Inadequate supervision

Poor signal to noise ratio

Hold Point Release (Conditional) with unresolved high risk items / comments

**OR** Wrong person, or nobody takes responsibility

Unusual boundary modification process

Uncertainly regarding responsibility to move clearing limit flagging

Confirmation of Hold Point Condition close out incomplete – from communication issues & tasks being delegated to numerous people

**CO** Accurate Communication of required task

Multiple individuals involved

Poor Communication

Survey Pegging and Flagging Relocation incomplete

**HW** Absence of required document

Time pressures re maintenance productivity onsite – a lot of simultaneous processes in parallel.

Conditional Hold Point document not in field during Pre-clearing walk through

Pre-Clearance Walk failed to identify latent cadastral boundary risk

**PR** ambiguous bdy types in specification, overlapping/conflicting procedure

High workload

Poor signal to noise ratio

Surveyors missed requirements of G71 3.4 in specification (note G40 2.4 requires set out of “approved project bdy” not acquisition/cadastral bdy)

Survey Set Out Process failed to identify “approved clearing limit” and “approved project boundary” outside road reserve (ie outside cadastral/acquisition boundary)

**TR** training regarding detail of specification

First RMS project for surveyors – Qld experience

Some confusion in spec G40 2.4 between “approved project bdy” and “cadastral acquisition bdy”

Clearing (by cut stump) of five (5) trees outside of road reserve (cadastral acquisition boundary) but within approved clearing boundary and approved project boundary. Total Area approx. 210m<sup>2</sup>



**Attachment 4- Hold Point – ATP 67 Documentation (ATP & correspondence)**



## General Correspondence

**Reference No.:** CMC-CN1001-GC-00273  
**Project Title:** Upgrade of HW10 Pacific Highway Upgrade, Halfway Creek

---

**Date:** 28 July 2015, 02:33:56 PM +10:00 **Response required by:**

**To:** John O'Donnell, Roads and Maritime Services  
 Tom Jefferys, Civil Mining and Construction

**CC:** Peter Atkinson, Civil Mining and Construction  
 Steven Alford, Roads and Maritime Services  
 Lynden Kingsley, Roads and Maritime Services  
 Team Binder, Roads and Maritime Services

**From:** Alex Lahey-Dillon, Civil Mining and Construction

**Subject:** **RE: ATP 67 G40 cl 2.4 Clearing ch 22800 to ch 25100**

---

This mail item is received via EMAIL from Alex Lahey-Dillon on 2015-07-28 02:13:31 PM +10:00 and processed by Shaun Nicholson of Civil Mining and Construction on 28/07/2015 2:33:55 PM

**From:** Alex Lahey-Dillon<alaheydillon@cmc.net.au>  
**Sent:** Tuesday, 28 July 2015 02:13:20 PM  
**To:** 'O'DONNELL John'<John.O'Donnell@rms.nsw.gov.au>, Tom Jefferys<TJefferys@cmc.net.au>, CMC\_CN1001\_HalfwayCrk<CMC\_CN1001\_HalfwayCrk@cmc.net.au>  
**Cc:** Peter Atkinson<PATkinson@cmc.net.au>, ALFORD Steven B<Steven.ALFORD@rms.nsw.gov.au>, KINGSLEY Lynden D<Lynden.KINGSLEY@rms.nsw.gov.au>, WALKER Shayne<Shayne.WALKER@rms.nsw.gov.au>, HC2G@rms.nsw.gov.au  
**Subject:** RE: ATP 67 G40 cl 2.4 Clearing ch 22800 to ch 25100

John,

The surveyors will pull the flagging back to the acquisition boundary in this area tomorrow.

Regards,

Alex Lahey-Dillon | Project Engineer | M 0417 076 679

---

**From:** O'DONNELL John [mailto:John.O'Donnell@rms.nsw.gov.au]  
**Sent:** Tuesday, 28 July 2015 1:49 PM  
**To:** Tom Jefferys; Alex Lahey-Dillon; CMC\_CN1001\_HalfwayCrk  
**Cc:** Peter Atkinson; ALFORD Steven B; KINGSLEY Lynden D; WALKER Shayne; HC2G@rms.nsw.gov.au  
**Subject:** FW: ATP 67 G40 cl 2.4 Clearing ch 22800 to ch 25100

Tom,



In my release for ATP 67, I had raised as an issue "Surveying matters. There is one location where surveying doesn't match up slightly where clearing limit was just outside the acquisition. Tom was chasing this up with Tim Hutch". On the day I issued this release, I didn't have my IPAD with me and didn't add further notes. Between Ch 24870 to a little north of Ch 2500 East, the blue clearing line is outside the red acquisition line. Thus, this line would need to be pulled back into the red line.

I haven't heard anymore re this matter and would appreciate advice pls.

John

---

**From:** O'DONNELL John  
**Sent:** Wednesday, 22 July 2015 12:36 PM  
**To:** 'Peter Atkinson'; Alex Lahey-Dillon; [TJefferys@cmc.net.au](mailto:TJefferys@cmc.net.au)  
**Cc:** ALFORD Steven B; [HC2G@rms.nsw.gov.au](mailto:HC2G@rms.nsw.gov.au)  
**Subject:** RE: ATP 67 G40 cl 2.4 Clearing ch 22800 to ch 25100

All,  
Signed release for ATP 67.

John

---

**From:** Peter Atkinson [<mailto:system@teambinder.com>] **On Behalf Of** Peter Atkinson  
**Sent:** Monday, 6 July 2015 10:31 AM  
**To:** ALFORD Steven B; O'DONNELL John; [HC2G@rms.nsw.gov.au](mailto:HC2G@rms.nsw.gov.au)  
**Subject:** ATP 67 G40 cl 2.4 Clearing ch 22800 to ch 25100



## General Correspondence

**Reference No.:** CMC-CN1001-GC-00184  
**Project Title:** Upgrade of HW10 Pacific Highway Upgrade, Halfway Creek

---

<b>Date:</b>	06 July 2015, 10:23:26 AM +10:00	<b>Response required by:</b>
<b>To:</b>	Steven Alford, Roads and Maritime Services John O'Donnell, Roads and Maritime Services Team Binder, Roads and Maritime Services	
<b>CC:</b>	Tom Jefferys, Civil Mining and Construction Alex Lahey-Dillon, Civil Mining and Construction	
<b>From:</b>	Peter Atkinson, Civil Mining and Construction	

**Subject:** ATP 67 G40 cl 2.4 Clearing ch 22800 to ch 25100

---

Hi John,

Please find enclosed ATP 67 for clearing approval between ch 22800 to ch 25100 for approval.

Regards

Peter Atkinson

---

<b>Discipline:</b>	<b>Area:</b>	<b>Location:</b>
<b>Originator's Reference No.:</b>		



Before printing, please consider the environment

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<b>Discipline:</b>	<b>Area:</b>	<b>Location:</b>
<b>Originator's Reference No.:</b>		



**ATP Report**

CN1001: Halfway Creek to Glenugie

ATP: 67

**ATP No.** 67

**Sent To** Principal's Authorised Person, **Date Response Req'd**

**Description** HOLD POINT (G40 Cl. 2.4)

With reference to the abovementioned clause, CMC submit the attached details for the Principal's review and subsequent release of the Hold Point to enable clearing (Ch. 23600 - 25100):  
- Clearing & Grubbing Environmental Work Method Statement (EWMS)  
- Confirmation on the limits of clearing  
- Weed infestation report

**Raised By** Lahey-Dillon, Alex **Date created** Mon, 06 Jul 2015 **Time created** 7:18 AM

**Date Inspect** 06 Jul 2015

**Lot:** CGZON2003 - Clearing & Grubbing - Ch. 23600 - 25100

**Item to Inspect:** EWMS

**Signature:** \_\_\_\_\_ **Approved By:**  **Date:**

**Approval Comments:**

**Date Inspect** 06 Jul 2015

**Lot:** CGZON2003 - Clearing & Grubbing - Ch. 23600 - 25100

**Item to Inspect:** Survey conformance reports

**Signature:** \_\_\_\_\_ **Approved By:**  **Date:**

**Approval Comments:**

**Date Inspect** 06 Jul 2015

**Lot:** CGZON2003 - Clearing & Grubbing - Ch. 23600 - 25100

**Item to Inspect:** Weed infestation report

**Signature:**  \_\_\_\_\_ **Approved By:**  **Date:**

**Approval Comments:**

*J. O'Donnell 22/7/15.  
Refer attached release comments,  
some bigger issues to resolve  
re the sediment basin at  
Channel 23760.*

RMS ATP Release review. ATP no: 67 from 23600 to 25100

ATP release approval comments:

1. There is frog fencing to be installed and this fencing needs to meet timeframe requirements for survey and times after frog fence installation where clearing cant commence, covered in the Frog Fence EWMS. The revised requirements took a lot of negotiation and are mandatory requirements.
2. Good discussion re retaining vegetation at fauna underpasses, and further discussion required. There is a dedicated fauna structure at Ch 23760 where vegetation needs to be retained at the ends. Unfortunately there is a sediment basin proposed there. RMS understands that the permanent basin at Ch 23880 has been deleted. There is parawebbed heritage at the SW corner another advantage of retaining along for the fauna. . It is recommended that RMS and CMC explore options to keep vegetation for fauna and install the required basin and it would be appreciated if CMC could set up a meeting with Lynden, Steve and I.
3. Understand that Forest Corp has approved the extra clearing outside the acquisition line for sediment basins.
4. Machines coming into site all need to be washed down, including clearing machines, to reduce risk of spreading phytosphthora. The safest presumption is to presume the operators are bringing disease in or taking it out. If machines are coming from outside the work/ outside this catchment, they do need to be washed down and meet the requirements of the Weed and Pathogen MP, a mandatory project requirement. RMS has raised the importance of this issue and understands CMC have/ are advising all clearing and other personnel of this issue. Chytrid measures need to be in place, noting this is frog habitat in this area.
5. Surveying matters. There is one location where surveying doesn't match up slightly where clearing limit was just outside the acquisition. Tom was chasing this up with Tim Hutch.

Other comments for information/ awareness/ potential discussion/ iopportunity consideration:

1. RMS hasn't received the ESCP for this area.
2. The new clearing contractor will require close supervision on clearing limits, clearing to install controls etc.
3. Seed collection for E tetrapleura has commenced and has been discussed with CMC enviro and safety personnell. Fruit has been a bit green to date, maybe better in this area.





**Attachment 5 - Clearing Limit Drawing x 2**

PRODUCED: 16 May 2014 AT 3:43:33 PM USER: Bob Liu


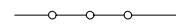

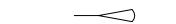

PLOT DRIVER: NONE FILE PATH: C:\projectwise\Exports\W2GWZG-2-GN-DG-0070.dwg

PEN TABLE: RMS\_A3.ctb A3 ORIGINAL

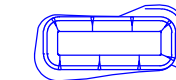



**PROPOSED GENERAL**

-  PROJECT BOUNDARY
-  EXISTING CADASTRAL BOUNDARY


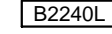
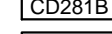
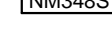
**PROPOSED ROADWORKS**

-  CONTROL LINE
-  WIRE ROPE SAFETY BARRIER
-  W-BEAM GUARD FENCE
-  BATTER TICK
-  EARTH MOUND



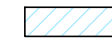
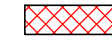
**PROPOSED DRAINAGE**

-  PERMANENT DRAINAGE BASIN
-  PROPOSED CHANNEL
-  PROPOSED SO GUTTER
-  PROPOSED CROSS DRAINAGE

**REFERENCE TAGS**

-  BR01 BRIDGE TAG
-  B2240L BASIN TAG
-  CD281B PROPOSED CULVERT TAG
-  NM348S NOISE MOUND TAG

**CLEARING LIMITS AND SPOIL SITES**

-  LIMIT OF CONSTRUCTION FOOTPRINT
-  POTENTIAL SPOIL LOCATIONS - RMS OWNED LAND (WITHIN ROAD RESERVE)
-  POTENTIAL SPOIL LOCATIONS - RMS OWNED LAND - ADDITIONAL CLEARING REQUIRED
-  EXCLUSION AREA WITHIN CONSTRUCTION FOOTPRINT AT BRIDGE SITES


**ACCEPTED FOR CONSTRUCTION**

ISSUE	DATE	ISSUE / AMENDMENT DESCRIPTION
1	02/05/14	ISSUED FOR CONSTRUCTION
0	29/11/13	ISSUED FOR TENDER

This sheet is prepared using colour and may be incomplete if copied

SCALES  
**NOT TO SCALE**

CO-ORDINATE SYSTEM: MGA Zone 56 HEIGHT DATUM: A.H.D.



**APBJV** Anup Parsons Brinckerhoff  
Joint Venture  
Level 27  
680 George Street  
Sydney NSW 2000  
(02) 9272 5100

DESIGNED: Nick Cameron  
REVIEWED: Angus Sturrock

**ROADS AND MARITIME SERVICES**

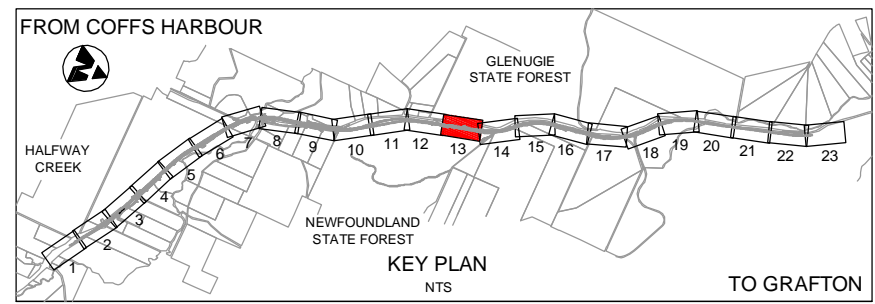
CLARENCE VALLEY COUNCIL  
HW10 PACIFIC HIGHWAY UPGRADE  
BETWEEN HALFWAY CREEK AND GLENUGIE AT GLENUGIE  
CLEARING LIMITS AND SPOIL SITES  
GENERAL LEGEND

DRAWING W2G-2-GN-DG-0070.dwg	STAGE IFC	VOL 1	ISSUE 1
REGISTRATION NUMBER <b>DS2012/001004</b>		SHEET No. <b>GN-0070</b>	





PLAN  
 SCALE 1:2000



NOTES

1. FOR GENERAL NOTES REFER TO DRAWING W2G-2-GN-DG-0064.
2. FOR GENERAL LEGEND REFER TO DRAWING W2G-2-GN-DG-0070.
3. FOR UTILITY DETAILS REFER TO VOLUME 6 - UTILITY PACKAGE.

ACCEPTED FOR CONSTRUCTION

ISSUE	DATE	ISSUE / AMENDMENT DESCRIPTION
2	21/05/15	REISSUED FOR CONSTRUCTION
1	02/05/14	ISSUED FOR CONSTRUCTION
0	29/11/13	ISSUED FOR CONSTRUCTION

This sheet is prepared using colour and may be incomplete if copied

SCALES	
0	100
20	
40	
60	
80	

SCALE 1:2000m

**APBJV**  
 Anup Parsons Brinckerhoff  
 Joint Venture  
 Level 27  
 680 George Street  
 Sydney NSW 2000  
 (02) 9272 5100

DESIGNED: Nick Cameron  
 REVIEWED: Angus Sturrock

**ROADS AND MARITIME SERVICES**

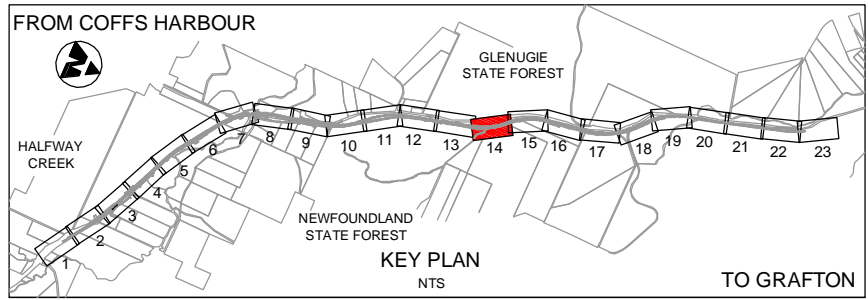
CLARENCE VALLEY COUNCIL  
 HW10 PACIFIC HIGHWAY UPGRADE  
 BETWEEN HALFWAY CREEK AND GLENUGIE AT GLENUGIE  
 CLEARING LIMITS AND SPOIL SITES  
 PLAN SHEET 13 OF 23

DRAWING	STAGE	VOL	ISSUE
W2G-2-GN-DG-0113.dwg	IFC	1	2
REGISTRATION NUMBER		SHEET No.	
DS2012/001004		GN-0113	





PLAN  
SCALE 1:2000



KEY PLAN  
NTS

NOTES

1. FOR GENERAL NOTES REFER TO DRAWING W2G-2-GN-DG-0064.
2. FOR GENERAL LEGEND REFER TO DRAWING W2G-2-GN-DG-0070.
3. FOR UTILITY DETAILS REFER TO VOLUME 6 - UTILITY PACKAGE.

ACCEPTED FOR CONSTRUCTION

ISSUE	DATE	ISSUE / AMENDMENT DESCRIPTION
2	21/05/15	REISSUED FOR CONSTRUCTION
1	02/05/14	ISSUED FOR CONSTRUCTION
0	29/11/13	ISSUED FOR CONSTRUCTION

This sheet is prepared using colour and may be incomplete if copied

SCALES	
0	20 40 60 80 100
SCALE 1:2000m	
CO-ORDINATE SYSTEM: MGA Zone 56 HEIGHT DATUM: A.H.D.	

**APBJV** Anup Parsons Brinckerhoff  
 Joint Venture  
 Level 27  
 680 George Street  
 Sydney NSW 2000  
 (02) 9272 5100

DESIGNED: Nick Cameron  
 REVIEWED: Angus Sturrock

**ROADS AND MARITIME SERVICES**

CLARENCE VALLEY COUNCIL  
 HW10 PACIFIC HIGHWAY UPGRADE  
 BETWEEN HALFWAY CREEK AND GLENUGIE AT GLENUGIE  
 CLEARING LIMITS AND SPOIL SITES  
 PLAN SHEET 14 OF 23

DRAWING	STAGE	VOL	ISSUE
W2G-2-GN-DG-0114.dwg	IFC	1	2
REGISTRATION NUMBER		SHEET No.	
DS2012/001004		GN-0114	



**Attachment 6 - G40 2.4 and G71 3.4 extracts**

## HOLD POINT

Process Held:	Covering up of work subject to a conformity survey.
Submission Details:	Survey Report verifying conformity.
Release of Hold Point:	The Principal will consider the submitted documents prior to authorising the release of the Hold Point.

### 3.4 MARKING LAND PROPERTY BOUNDARIES AND BOUNDARY FILE

*You are not to use the electronic road corridor boundary provided in the tender documents to set out any property boundaries.*

Where the contract drawings, models or specifications indicate construction activity within 300 mm of a property boundary, determine the property line using the most current cadastral information Registered Deposited Plans (DPs) supplied by the Principal where available or you are to source them from Land Titles Office of NSW. The survey and setout must be carried out by or under the immediate supervision of a Registered Land Surveyor, in accordance with the Surveying Act.

*Provide the Principal with a composite electronic CAD boundary file developed from the DPs within two months of contract award. Where acquisition for the project is not complete at the time of Contract award, the composite electronic CAD boundary file must be updated to include any updated DPs as they become available.*

*The composite electronic CAD boundary file from the DP plans is to be use in the 'Work as Executed' plans and provided to RMS in electronic format.*

## 4 SURVEYING TECHNIQUES

### 4.1 GENERAL

This Clause contains Orders of Accuracy for horizontal (two dimensional) coordinates and height or vertical control (the third dimension). Comply with these Orders of Accuracy for construction activities listed in Clause 5 to assure that spatial requirements are met.

For the purpose of this Clause, an EDM Tacheometry survey is considered to determine horizontal coordinates and vertical coordinates simultaneously.

Guide RMS NG71 contains surveying procedures using traditional survey techniques of radiation and height determination, as well as GNSS procedures, that are considered capable of meeting the Orders of Accuracy listed in this Clause. Use these procedures for traditional survey techniques or use other procedures that you can verify as capable of meeting the required Orders of Accuracy.

Where you use procedures other than those contained in RMS NG71, present evidence that the alternative procedures are capable of achieving the specified Order of Accuracy to the Principal for approval before use.

## HOLD POINT

Process Held:	Work process surveys.
---------------	-----------------------

*remove the trees to ground level, the portion of the tree / stump remaining below ground is left there at your risk. Should you encounter the tree / stump during other construction activities you must bear all additional costs and/ or time involved with working around / removal of the tree / stump and associated works.*

All minor built structures within the road reserve must be removed unless otherwise shown on the drawings or marked to be preserved. *Where not already undertaken by the Principal, clear also for utilities.*

### 2.3 AREA TO BE CLEARED FOR BRIDGES

At bridges all trees and stumps and all built structures must be removed within the area specified in Annexure G40/A except:

- (a) where shown otherwise on the Drawings; or
- (b) marked to be preserved; or
- (c) within 5 metres of the bank of any stream or other waterway.

Trees outside this area but having branches overhanging the bridge must have their branches lopped to be 3 metres clear of the bridge.

Trees within 10 metres of the centreline of the bridge and within 5 metres of the bank of any stream or other waterway must be cleanly cut off between 300 and 600 mm above the adjacent ground level so that stable vegetation is retained on the banks. This work must be undertaken in consultation with the Principal.

### 2.4 CLEARING

*Before clearing commences, identify the limits of clearing by clearly visible markers placed at 25 m intervals on each side of the road formation and bridges as shown on the Drawings.*

*Identify the Approved Project Boundary (area of acquisition plus any area outside the acquisition boundary required temporarily for road works such as sediment basins and ancillary sites (Total Area of assessment), clearing limits, cadastral boundaries and the Working area on all relevant Sensitive Area Maps (refer to clause 4.13 of RMS G36). These maps prepared for the project must have markings that match or replicate the colouring and nature of limits marked in the field.*

*At least seven days prior to the proposed commencement of clearing commences, Approved Project Boundary and clearing limits must be clearly delineated on site and verified as correct by a surveyor, engaged by you, independent of the parties that installed the delineation.*

*Delineate the Approved Project Boundary using nightline or similar barrier rope that contains rope with reflective strips periodically along its length.*

*Delineate clearing limits using highly visible continuous barrier such as safety flagging, or other similarly robust and durable material.*

*Provide temporary exclusion fencing along the full limits of clearing using durable and sturdy construction consisting of star pickets, two wires and highly visible barrier or tape such as bunting, nightline or other similarly robust and durable material as appropriate.*

*Install all delineation consistently throughout the project to reduce risk of error or misinterpretation of boundaries.*

Also provide a report which:

- (a) includes a statement from ~~an~~ *your Ecologist or Local Council Weeds Inspector* that identifies the species and location of any weeds growing anywhere in the road reserve over the length to be cleared and grubbed;
- (b) identifies all locations of threatened flora species ~~and trees~~, *and any other plants* which have been marked or otherwise identified for preservation; and
- (c) lists any trees *identified by a suitably qualified arborist* outside the limits of clearing which are unsound and likely to fall upon the roadway or onto private property.

*In addition to any Hold Point under this Contract, implement an internal hold point system for all required clearing works to ensure that environmental protection requirements as outlined in RMS G36 are in place. The internal hold point system must provide specific details of environmental protection measures to be implemented in each clearing area and must be signed off by your Environmental Representative.*

Plan and carry out all operations to ensure that there is no damage to any trees outside the limits of clearing specified *or to any retained trees inside the limits of clearing.*

*Do not disturb native vegetation forming part of the identified widened medians including for ancillary construction purpose such as access tracks, stockpiles, materials laydown and ancillary facilities. Vegetation to be retained in widened medians is an environmentally sensitive area (Refer Clause 4.13 of RMS G36).*

Trees nominated in (c) above must be marked and identified in the *Clearing and Grubbing Environmental Work Method Statement (EWMS)* ~~clearing and grubbing plan~~ in a manner which allows them to be identified as one of the listed trees and whether pruning or removal is recommended. Areas of weed infestation identified in ~~the~~ *your* ecologist report (Clause 2.4 (a) must be marked).

### **HOLD POINT**

Process Held.	Clearing any area of work.
Submission Details.	Clearing and Grubbing <del>Plan</del> <i>Environmental Work Method Statement</i> (Annexure G40/D) and report on the presence of weeds and unsound trees together with written notice that limits of clearing <i>confirmed by an independent surveyor</i> , and areas of weed infestation identified in <del>the</del> <i>your</i> ecologist report (Clause 2.4 (a) are marked), at least seven days before starting any clearing.
Release of Hold Point.	The Principal will consider the submitted documents, inspect and mark trees or built structures for preservation, prior to authorising the release of the Hold Point.

Before commencing clearing and grubbing all soil erosion and sedimentation controls required for this phase of construction must be installed in accordance with the specification for SOIL AND WATER MANAGEMENT. All staff must be made aware of the Noxious Weeds present on-site and requirements related to the listing under the Noxious Weeds Act 1993.

### **HOLD POINT**

<i>Process Held.</i>	<i>Clearing (excluding clearing for clean water diversions and operational and construction basins).</i>
----------------------	--



# Environmental Incident Report – 624

Complete this form for all environmental incidents that occur due to Roads and Maritime Services works or on Roads and Maritime worksites. The purpose of this form 624 is to alert Environment Branch to potential environmental incidents. It does not represent the Roads and Maritime final position for any incident reported on this form.

**Remember!** Complete all fields prior to submitting form. Be succinct, stick to the facts and **do not** make assumptions. Only record information you know to be correct.

Project name: Halfway Creek to Glenugie Pacific Highway Upgrade      Region: Northern

Contractor name: Civil Mining and Construction Pty Ltd

**Incident details**      Date    13 Aug 2015      Time    09 : 00    am  | pm       Duration      NA hr:      min

<b>Description</b> (provide a brief description of what happened during the incident)	Approximately 50-80m2 area was cleared within approved clearing limit and project boundary but outside of cadastral/aquisition boundary. Unknown impacts to vegetation, estimated as several small shrubs of Melaleuca species. Review of sensitive area maps confirms no impact to threatened species. The affected land outside road reserve is State Forest.
<b>EXACT location of the incident</b> (include chainage, landmarks, features, nearest cross street, etc to make it easier to identify later) - provide a sketch if appropriate	North eastern intersection of Franklins Road with Pacific Highway. CH 27960 east
<b>Quantity or volume of material escaped or causing incident</b> (provide an estimate if quantity unknown)	Unknown impacts to vegetation, estimated as several small shrubs of Melaleuca species.
<b>Estimated distance to nearest waterway</b> (can include stormwater drains and dry watercourses)	Approximately 200m to uppermost headwater of Glenugie Creek (north)
<b>What activity was being undertaken when the incident occurred?</b>	Clearing for Franklins Road reconstruction
<b>How was the incident identified?</b> (e.g. Roads and Maritime employee, Council, community, complaint)	RMS surveyor

**Potential Category 1 Incident:** (may involve one or more of the following – tick category, fill in table over page)

- |  |  |
|--|--|
| <input type="checkbox"/> Pollution, or potential pollution, of waters with sediment or chemicals/fuels/oils that travel beyond the site boundary causing or potentially causing adverse impact to the environment, including discharges or spills to waters from the Rozelle Maritime marina or Maritime operated vessels. | <input type="checkbox"/> Unauthorised harm or damage to native vegetation, threatened species, endangered populations, endangered ecological communities or critical habitat.          |
| <input type="checkbox"/> Discharge of waters from site not in accordance with any applicable Minor Works memo or safeguard / Part 5 determination / approval / licence condition.  | <input type="checkbox"/> Material harm to the environment or persons as per Part 5.7 of POEO Act.  |
| <input checked="" type="checkbox"/> Works undertaken outside approved areas, without required approval or environmental assessment.  | <input type="checkbox"/> Unauthorised harm or damage to threatened aquatic species and protected marine vegetation or unauthorised dredging or reclamation works within a watercourse. |
| <input type="checkbox"/> Unauthorised harm to Aboriginal objects and Aboriginal places or damage to any State or locally significant relic or Heritage item.   | <input type="checkbox"/> Unauthorised damage or destruction to any State or locally significant relic or Heritage item.  |
| <input type="checkbox"/> A spill or other incident that causes pollution to land or residual environmental impact.   | <input type="checkbox"/> Emission of excessive levels of dust, or an offensive odour or noise that travel beyond the site boundary and might impact on nearby land users.              |
| <input type="checkbox"/> Breach of legislation, failure to comply with a Minor Works memo or safeguard / Part 5 determination / approval or permit/ environment protection licence condition.  | <input type="checkbox"/> Unauthorised disposal or transport of waste.  |
|  | <input type="checkbox"/> A fire that travels beyond the boundary causing or potentially causing adverse impact on the environment or community.  |

**Potential Category 2 Incident:** (may involve one or more of the following – tick category, fill in table over page)

- A procedural, administrative or technical breach that relates to the preparation or submission of documents, reports or other correspondence.
- Failure to implement component of Environment Management Plan or work method statement that does not result in a Category 1 incident.
- Spills that do not leave the site boundary and are cleaned up without material environmental harm or residual environmental impact.
- A fire that is contained on site and does not cause or potentially cause adverse impact to the environment or community.

**Any other details of the incident** (including any information which did not fit in spaces above, as well as any special circumstances of the day or the location):

An unidentified error (approximately 11m) in cadastral/aquisition boundary utilised in the design process on clearing limit plans contributed to the incident. Review of sensitive area maps confirms no impact to threatened species.

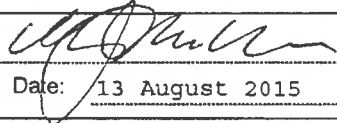
**What immediate actions/control measures were taken to rectify or contain the incident?**

Nil/NA. Unknown at the time of the incident. Clearing occurred on 14 July 2015 and was only identified by audit following previous clearing incident (03 August 2015).

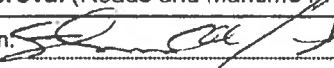
**What corrective action has been taken to prevent similar incidents recurring?**

1. Compile cadastral boundary from Land and Property Information Deposited Plans 2. Project wide review of approved clearing limit against compiled cadastral acquisition boundary (ref Item 1) 3. RMS re-design Franklins Road intersection to avoid conflicts with cadastral/aquisition boundary 4. Issue learnings from Incident 5. Re-vegetation in consult with Forestry Corp

**Sign off (officer making report)**

Print name: Martin Mulhearn Sign:  Date: 13 August 2015  
Position: CMC Environmental Manager

**Approval (Roads and Maritime Project Manager)**

Sign:  Print name: Steven Alford Date: 14/08/15

**Notification to EPA and other relevant authorities**

To be completed by the relevant Roads and Maritime Regional Environment Manager

Was EPA notified?  Yes  No - If No, provide reasons for not notifying EPA  
Peter Higgs (3:25pm 13/8/15) of OEH/EPA notified

Who notified them? Name: Martin Mulhearn Position: CMC Environmental Manager

Notification method:  Telephone  On site Date: 13/8/2015 Time: 3:25 am  1pm


Has there been a EPA Environment Line Complaint?  Yes  No EPA Complaint No. \_\_\_\_\_

Were any of the following authorities notified?  
 NSW Fire & Rescue  Local Government  WorkCover  Ministry of Health

Were any other authorities notified and why (eg Department of Planning and Infrastructure, Department of Primary Industries (Fisheries), Sydney Catchment Authority, SES).  
Forestry Corporation of NSW - Jude Parr (phone message 3:41pm 13/8/2015 by M Mulhearn)

Is there an Environment Protection Licence for the project?  Yes  No  
 If Yes - was the Pollution Incident Response Management Plan implemented  Yes  No

**Concurrence (Roads and Maritime Regional Environment Manager)**

Print name: Sean Hardiman Sign:  Date: 17/8/15

**Comments**

Please submit all completed forms to Environment Branch by email to [envops@rms.nsw.gov.au](mailto:envops@rms.nsw.gov.au)